Self-energy	concept

Approximations and Feynman diagrams

Single-time kinetic equations

Quantum kinetic equations Lecture #2

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Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion 000

Outline



Hierarchy decoupling

Approximations and Feynman diagrams

- Conserving approximations
- Feynman diagrams

3 Single-time kinetic equations

Boltzmann equation



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Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion

Kadanoff-Baym/Keldysh equations · ™

Kadanoff-Baym/Keldysh equations (KBE)—Review

$$\left(i\frac{\partial}{\partial t_{1}}-H^{1}(1)\right)G(1,\bar{1}) = \delta_{\mathscr{C}'}(1-\bar{1}) \pm i\int_{\mathscr{C}'}d2 W(1-2) G_{12}(1,2;\bar{1},2^{+}) \\ \left(-i\frac{\partial}{\partial t_{\bar{1}}}-H^{1}(\bar{1})\right)G(1,\bar{1}) = \delta_{\mathscr{C}'}(1-\bar{1}) \pm i\int_{\mathscr{C}'}d2 W(\bar{1}-2) G_{12}(1,2;\bar{1},2^{+})$$

- $H^1(1) = -\frac{\hbar^2}{2m} \nabla_{r_1}^2 + V(1) \mu \hat{N}$ denotes the single-particle Hamiltonian
- The upper sign refers to bosons the lower one refers to fermions, and the temporal integrations are taken over the Schwinger/Keldysh time contour \mathscr{C}'
- KBE not closed but coupled to higher orders via the 2-particle Green's function G₁₂(1, 2; 1, 2)
- Martin-Schwinger (MS) hierarchy: The *n*-particle Green's function generally requires information from the $(n \pm 1)$ -particle Green's function

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Single-time kinetic equations

Conclusion 000

MS Hierarchy decoupling

Idea: Express 2-particle Green's function G_{12} in terms of products of 1-particle Green's functions

$$G_{12}(1,2;\bar{1},\bar{2}) = [G(\cdot,\cdot)G(\cdot,\cdot)](1,2;\bar{1},\bar{2}) + \Delta_{corr}(1,2;\bar{1},\bar{2})$$

with corrections $\Delta_{\rm corr}(1,2;\overline{1},\overline{2})$ (\Rightarrow correlation contributions)

• Simplest example: Hartree-Fock approximation (first order)

 Fock (exchange)

 $G_{12}(1,2;\bar{1},\bar{2}) \approx G(1,\bar{1}) G(2,\bar{2}) \pm G(1,\bar{2}) G(2,\bar{1})$

 Hartree (classical mean-field)

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Formal MS hierarchy decoupling

Introduce self-energy Σ :

$$\pm i \int_{\mathscr{C}'} d2 \ W(1-2) \ G_{12}(1,2;\overline{1},2^+) \quad \stackrel{!}{=} \quad \int_{\mathscr{C}'} d2 \ \Sigma[G,W](1,2) \ G(2,\overline{1})$$

Remarks:

- All interaction effects are now contained in the self-energy $\Sigma[G, W](1, \overline{1})$
- The self-energy appears as a functional of the interaction potential W(1, 1) and the 1-particle Green's function G(1, 1), and thus varies on the Schwinger/Keldysh contour C' → Σ[≷],Σ^{1/Γ}, and Σ^M.
- In general, the pair interaction enters $\boldsymbol{\Sigma}$ as infinite summations
- A diagrammatic expansion of Σ (known from ground state theory) can be extended to finite temperature and nonequilibrium situations

Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion

Conserving approximations

<u>Definition</u>: Approximations for G_{12} which conserve total energy, momentum and angular momentum [when calculated from $G(1, \overline{1})$] are denoted as *conserving approximations*.

How can one guarantee that a self-energy gives a conserving approximation for the Green's function?

• Conservation of total energy and momentum if

(A) $G(1, \overline{1})$ satisfies the general equations of motions (KBE),

(B) the approximation for G_{12} satisfies the symmetry condition

$$G_{12}(1,2;1^+,2^+) = G_{12}(2,1;2^+,1^+).$$

[G. Baym, and L.P. Kadanoff, Phys. Rev 124, 287 (1961)]

Conclusion: Φ-derivable self-energy

$$\Sigma(1,\overline{1}) \quad = \quad rac{\delta \Phi[G]}{\delta G(\overline{1},1)} \; .$$

How Φ can be constructed? [J.M. Luttinger, and J.C. Ward Phys. Rev. 118, 1417 (1960)]

Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion

Lowest-order Σ -diagrams

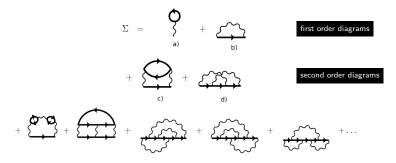


Figure: Self-energy (Feynman) diagrams. First order in the interaction $W(1, \overline{1})$: a) Hartree, b) Fock. Second order in $W(1, \overline{1})$: c) & d) second Born terms.

- Waved lines (1 → 1) indicate the interaction W(1 1), whereas solid lines (1 → 1) denote the *full* nonequilibrium Green's function G(1, 1)
- All self-energy terms contain an infinite summation of diagrams.

Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion

Interpretation of Σ -diagrams

Contributions of *first order* in the interaction W :



Figure: First order self-energies: Hartree (class. mean-field) term [left], Fock (exchange) term [right].

Hartree-Fock self-energy $\Sigma^{\rm HF} = \Sigma^{\rm H} + \Sigma^{\rm F}$

$$egin{array}{rcl} \Sigma^{
m H}(1,ar{1}) &=& \pm i\,\delta_{\mathscr{C}}(1-ar{1})\int_{\mathscr{C}}{
m d}2\, \mathcal{W}(1-2)\, \mathcal{G}(2,2^+) \ \Sigma^{
m F}(1,ar{1}) &=& i\, \mathcal{G}(1,ar{1})\, \mathcal{W}(1^+-ar{1}) \end{array}$$

- Note the time-ordering and recall $1^+ = t_1 \rightarrow t_1 + \epsilon_{>0}$
- Contributions of order n take a sign of (-i)ⁿ, the direct (Hartree) term has an additional minus sign only for fermions

Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion 000

Interpretation of Σ -diagrams

Contributions of second order in the interaction W:



Figure: Second (order) Born diagrams, composed of two interaction lines and three Green's functions. Direct term [left], exchange term [right].

Second Born contributions $\Sigma^{\rm 2ndB} = \Sigma_1^{\rm 2ndB} + \Sigma_2^{\rm 2ndB}$

$$\begin{split} \Sigma_2^{2ndB}(1,\bar{1}) &= \pm (-i)^2 \int_{\mathscr{C}} d2 \, d3 \, G(1,\bar{1}) \, W(1^+-2) \, W(\bar{1}-3) \, G(3,2) \, G(2,3^+) \\ \Sigma_1^{2ndB}(1,\bar{1}) &= (-i)^2 \int_{\mathscr{C}} d2 \, d3 \, G(1,2) \, W(1-3^+) \, G(2,3) \, G(3,\bar{1}) \, W(2^+-\bar{1}) \end{split}$$

 $\bullet~\Sigma_1^{\rm 2ndB}$ and $\Sigma_2^{\rm 2ndB}$ are to be added to the Hartree-Fock self-energy $\Sigma^{\rm HF}$

Approximations and Feynman diagrams

Single-time kinetic equations

Equation of motion for $G^*(1,ar{1}),\,*\in\{\underline{M},>,<, ceil, ceil\}$

 ${\sf Keldysh}/{\sf Kadanoff}{\sf -Baym\ equations}:$

$$\left(i\frac{\partial}{\partial t_1}-H^1(1)
ight) G(1,\overline{1}) = \delta_{\mathscr{C}'}(1-\overline{1}) + \int_{\mathscr{C}'} d2\Sigma(1,2) G(2,\overline{1})$$

together with its adjoint equation including the derivative $\partial_{\bar{1}}$

• $G(1,\overline{1})$ is a 3 \times 3-matrix of the form

$$\begin{pmatrix} \mathbf{G}^{++} & \mathbf{G}^{+-} & \mathbf{G}^{+} \\ \mathbf{G}^{-+} & \mathbf{G}^{--} & \mathbf{G}^{-} \\ \hline \mathbf{G}^{|+} & \mathbf{G}^{|-} & \mathbf{G}^{||} \end{pmatrix} = \begin{pmatrix} \mathbf{G}^{\mathsf{c}} & \mathbf{G}^{\mathsf{c}} & \mathbf{G}^{\mathsf{l}} \\ \mathbf{G}^{\mathsf{c}} & \mathbf{G}^{\mathsf{s}} & \mathbf{G}^{\mathsf{l}} \\ \hline \mathbf{G}^{\mathsf{l}} & \mathbf{G}^{\mathsf{l}} & \mathbf{G}^{\mathsf{l}} \end{pmatrix}$$

• Need equations for the elements of the Keldysh matrix

$$G^\gtrless(1,ar{1})\,,\qquad \qquad G^M(1,ar{1})\,,\qquad \qquad G^{\lceil/\rceil}(1,ar{1})$$

Question: How to evaluate the integrals ∫_𝔅 d2 Σ(1,2) G(2,1̄) for the matrix elements of a product or convolution? ⇒ "Langreth rules"

Self-energy concept	Approximations and Feynman diagrams	Single-time kinetic equations	Conclusion 000
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Results for Convolution and product of two (Keldysh) functions defined on \mathscr{C}'

quantity ¹	convolution	product
	$c(z,z') = \int_{\mathscr{C}'} dar{t} a(t,ar{t}) b(ar{t},t')$	c(z,z') = a(t,t') b(t',t)
$c^{>}(t,t')$	$a^{>} \circ b^{A} + a^{R} \circ b^{>} + a^{\uparrow} \star b^{\uparrow}$	a ^{>} b ^{<}
$c^{<}(t,t')$	$a^{<} \circ b^{A} + a^{R} \circ b^{<} + a^{\rceil} \star b^{\upharpoonright}$	$a^{<}b^{>}$
$c^{ eal}(au,t)$	$a^R \circ b^{ cent} + a^{ cent} \star b^M$	$a^{ m l} b^{ m l}$
$c^{\lceil}(t, au)$	$a^{ar{}} \circ b^A + a^M \star b^{ar{}}$	$a^{\lceil}b^{\rceil}$
$c^{M}(au, au')$	$a^M \star b^M$	$a^M b^M$
$c^{R}(t,t')$	$a^R \circ b^R$	$a^R b^\gtrless + a^\gtrless b^A$
$c^{A}(t,t')$	$a^A \circ b^A$	$a^A b^\gtrless + a^\gtrless b^R$
	$[a \circ b](t, t') = \int_{t_0}^{\infty} \mathrm{d}\overline{t} \ a(t, \overline{t}) b(\overline{t}, t')$	
	$[a \star b](t, t') = -i \int_{t_0}^{t_0 - i\beta} \mathrm{d}\bar{\tau} \ a(t, \bar{\tau}) \ b(\bar{\tau}, t')$	$a^{R/A}(t,t')=a^{\gtrless}(t,t')-a^{\lessgtr}(t,t')$

¹Space variables are dropped for simplicity

Approximations and Feynman diagrams

Single-time kinetic equations

Conclusion

Equation of motion for the correlation functions G^{\gtrless}

Summary:

Write ∂_t instead of $\frac{\partial}{\partial_t}$

with definitions of retarded (R) and advanced (A) quantities

$$\mathcal{G}^{R/A}(1,ar{1})=\mathcal{G}^\gtrless(1,ar{1})-\mathcal{G}^\lessgtr(1,ar{1})\,,\qquad \Sigma^{R/A}(1,ar{1})=\Sigma^\gtrless(1,ar{1})-\Sigma^\lessgtr(1,ar{1})$$

Memory kernels: Memory and dissipation, decay of the Green's function. Initial correlations enter via the Matsubara Green's function $G^M \Rightarrow G^{\gtrless}(\mathbf{r}_1 t_0, \mathbf{r}_1 t_0, \mathbf{r}_1 t_0)$ and $G^{\rceil}(\mathbf{r}_1 t_0, \mathbf{r}_1 t_0 - i\tau)$, $G^{\lceil}(\mathbf{r}_1 t_0 - i\tau, \mathbf{r}_1 t_0)$.

Derivation of single-time kinetic equations

Single-time kinetic equations can be obtained in the limit of slowly varying disturbances.

Motivation:

- Avoid to deal with two-time quantities, or obtain only statistical information (fully included in the Wigner function—the time-diagonal part of $G^{<}$)
- Relevant for studying *simple* transport processes

Basic assumptions:

- Consider the case where the single-particle energy H¹(r₁, t₁) is slowly varying in time and space
- Consequence of a scale separation: $G^{\gtrless}(1,\overline{1})$ are slowly varying functions of the coordinates

$$\mathbf{R} = rac{\mathbf{r}_1 + \mathbf{r}_{ar{1}}}{2} , \qquad \qquad \mathbf{T} = rac{t_1 + t_{ar{1}}}{2} ,$$

but are sharply peaked about zero values of

$$\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_{\bar{1}} , \qquad \qquad \mathbf{t} = t_1 - t_{\bar{1}} .$$

 \Rightarrow Consider $G^{\gtrless}(\mathbf{r}t, \mathbf{R}T)$ and its Fourier transform in \mathbf{r} and t \checkmark remind yourself



Derivation of the Boltzmann equation I

Recipe: [see L.P. Kadanoff, and G. Baym, Quantum Statistical Mechanics (Benjamin, Inc., New York, 1962)]

- Substract adjoint KBE (with time arguments t₁ and t₁ interchanged) from the KBE for G[<](1, 1), and express the space time variables of G and Σ in terms of relative and center of mass coordinates.
- Q Neglect the third collision integral on the r.h.s. accounting for initial correlations—instead integrate over ∫^{t1}_{-∞} dt̄ ... and ∫^{t1}_{-∞} dt̄ ...
- Expand the difference of

$$\mathcal{H}^{1}\left(\mathbf{r}_{1}=\mathbf{R}-\frac{\mathbf{r}}{2},t_{1}=\mathcal{T}-\frac{t}{2}\right) - \mathcal{H}^{1}\left(\mathbf{r}_{\bar{1}}=\mathbf{R}+\frac{\mathbf{r}}{2},t_{\bar{1}}=\mathcal{T}-\frac{t}{2}\right)$$

in powers of \mathbf{r} and t, and retain only lowest-order terms (\mathbf{r} and t small)

Similarly, proceed with the relative variables on the r.h.s. (collision integrals) of the KBE—fully neglect the small quantities added to R and T.
 Typical integrals:

$$\int_{\mathscr{C}'} d^3 \bar{r} \, d\bar{t} \, G(\bar{r} \, \bar{t}; \mathsf{R} \, T) \, \Sigma(\mathbf{r} - \bar{\mathbf{r}} \, t - \bar{t}; \mathsf{R} \, T) \qquad \text{convolution in Fourier}$$

Approximations and Feynman diagrams

Single-time kinetic equations 00000

Conclusion

Derivation of the Boltzmann equation II

Sourier transformation with respect to variables r and t lead to approximate equations for G[≷](pω, RT)

Intermediate result:
$$H^{1} = \frac{-\nabla^{2}}{2m} + V(1)$$

 $\hbar = 1$

$$\left[\partial_{T} + \frac{\mathbf{p} \nabla_{\mathbf{R}}}{m} - \nabla_{\mathbf{R}} V(\mathbf{R}, T) \nabla_{\mathbf{p}} + \partial_{T} V(\mathbf{R}, T) \partial_{\omega}\right] G^{<}(\mathbf{p}\omega, \mathbf{R}T)$$

$$= -G^{<}(\mathbf{p}\omega, \mathbf{R}T) \Sigma^{>}(\mathbf{p}\omega, \mathbf{R}T)$$

$$+ G^{>}(\mathbf{p}\omega, \mathbf{R}T) \Sigma^{<}(\mathbf{p}\omega, \mathbf{R}T)$$

- O Insert second Born expressions for the self-energy Σ[≷] (take all second spatial arguments in G to be R—the disturbance varies very little within a distance of the order of the potential range)
- **②** Fourier transform in **r**, *t*: Σ^{\gtrless} (**r***t*, **R***T*) → Σ^{\gtrless} (**p** ω , **R***T*)

Approximations and Feynman diagrams

Single-time kinetic equations $000 \bullet 0$

Conclusion 000

Derivation of the Boltzmann equation III

Self-energy (second order):

$$\begin{split} \Sigma^{\gtrless}(\mathbf{p}\omega,\mathbf{R}\mathcal{T}) &\approx \quad \frac{1}{2}\int\!\mathrm{d}^{3}\mathbf{p}'\mathrm{d}\omega'\,\mathrm{d}\mathbf{\bar{p}}\,\mathrm{d}\bar{\omega}\,\mathrm{d}^{3}\mathbf{\bar{p}}'\,\mathrm{d}\omega' \\ &\times \delta(\mathbf{p}+\mathbf{p}'-\mathbf{\bar{p}}-\mathbf{\bar{p}}')\,\delta(\omega+\omega'-\bar{\omega}-\bar{\omega}') \\ &\times \left[w(\mathbf{p}-\mathbf{\bar{p}})\pm w(\mathbf{p}-\mathbf{\bar{p}}')\right]^{2} \\ &\times G^{\lessgtr}(\mathbf{p}'\omega',\mathbf{R}\mathcal{T})\,G^{\gtrless}(\mathbf{\bar{p}}\bar{\omega},\mathbf{R}\mathcal{T})\,G^{\gtrless}(\mathbf{\bar{p}}'\bar{\omega}',\mathbf{R}\mathcal{T}) \end{split}$$

Q Reconstruction problem: Assume that the Green's functions can be expressed via the Wigner distribution function $f(\mathbf{p}, \mathbf{R}, T)$ and the spectral function $A(\mathbf{p}\omega, \mathbf{R}T) = 2\pi\delta[\omega - E(\mathbf{p}, \mathbf{R}, T)]$

$$G^{<}(\mathbf{p}\omega,\mathbf{R}T) = A(\mathbf{p}\omega,\mathbf{R}T)f(\mathbf{p},\mathbf{R},T)$$

$$G^{>}(\mathbf{p}\omega,\mathbf{R}T) = A(\mathbf{p}\omega,\mathbf{R}T)[1\pm f(\mathbf{p},\mathbf{R},T)]$$

Some differential algebra ...

Approximations and Feynman diagrams

Single-time kinetic equations $0000 \bullet$

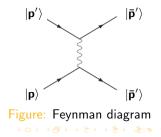
Conclusion

The Boltzmann (Landau) equation

Result: Boltzmann equation with Born approximation collision cross section:

$$\begin{bmatrix} \partial_{T} + \frac{\mathbf{p} \nabla_{\mathbf{R}}}{m} - \nabla_{\mathbf{R}} V(\mathbf{R}, T) \nabla_{\mathbf{p}} \end{bmatrix} f(\mathbf{p}, \mathbf{R}, T) = I(\mathbf{p}, \mathbf{R}, T) \\ \text{collision integral} \\ = -\frac{1}{2} \int d^{3} \mathbf{p}' \, d\bar{\mathbf{p}} \, d^{3} \bar{\mathbf{p}}' \, \delta(\mathbf{p} + \mathbf{p}' - \bar{\mathbf{p}} - \bar{\mathbf{p}}') \, \delta(E(\mathbf{p}) + E(\mathbf{p}') - E(\bar{\mathbf{p}}) - E(\bar{\mathbf{p}}')) \\ \times [w(\mathbf{p} - \bar{\mathbf{p}}) \mp w(\mathbf{p} - \bar{\mathbf{p}}')]^{2} \\ \times [f \, f' \, (1 \pm \bar{f}) \, (1 \pm \bar{f}') - (1 \pm f) \, (1 \pm f') \, \bar{f} \, \bar{f}'] \end{bmatrix}$$

- $f(\mathbf{p}, \mathbf{R}, T)$ is the Wigner distribution function, $E(p) = \frac{p^2}{2m}$ denotes the quasiparticle energy. $f = f(\mathbf{p}, \mathbf{R}, t)$, $f' = f(\mathbf{p}', \mathbf{R}, t)$, etc.
- Kinetic energy and momentum are preserved due to the δ-functions
- Limited to times larger than the correlation time, $T \gg \tau_{\rm corr}$



Conclusion I

Boltzmann equation

- Single-time equation for the Wigner function $f(\mathbf{p}, \mathbf{R}, T)$
- Stationary solutions: Maxwell, Fermi or Bose distributions
- $I(\mathbf{p}, \mathbf{R}, T) \rightarrow 0$ leads to thermodynamic properties of the *ideal gas*, where correlations are neglected
- Reason: local approximation (neglect of memory)

 \Rightarrow Memory is fully contained in the two-time Keldysh/Kadanoff-Baym equations for ${\cal G}(1,\bar{1})$

Self-energy concept	Approximations and Feynman diagrams	Single-time kinetic equations	Conclusion ○●○
Conclusion	II.		

- MS hierachy formally decoupled by introducing the self-energy Σ[G, W]
 ⇒ Describe binary interactions as an infinite summation of diagrams
- Conserving approximations allow for a systematic truncation of the hierarchy.
 Examples: Hartree-Fock, second Born, GW

$$\Sigma = \sum_{a)}^{A} + \sum_{b)} + \sum_{c)} + \sum_{d)}$$

Figure: Self-energy diagrams. First order in the interaction $W(1, \overline{1})$: a) Hartree, b) Fock. Second order in $W(1, \overline{1})$: c) & d) second Born terms.

• Structure/identification and meaning of the appearing terms in the equation:

memory kernels & inclusion of initial correlations

Self-energy	concept

Approximations and Feynman diagrams

Single-time kinetic equations



Thanks for your attention!

Next lecture: (i) Numerical technique solving the KBE (ii) Applications to semiconductors (homogeneous systems) as well as quantum dots, atoms and molecules (inhomogeneous systems) Appendix

Structure of the nonequilibrium Green's function • back

Indeed, the nonequilibrium Green's function (NEGF) has the structure of a usual (mathematical) Green's function in the following sense:

(a) When neclecting all collision integrals on the r.h.s. of the KBE \rightarrow effective single-particle or ideal quantum system described by $G_0(1,\bar{1})$ which obeys

$$\left[i\frac{\partial}{\partial t_1} - H^1(1)\right] G_0(1,\bar{1}) = \delta_{\mathscr{C}'}(1-\bar{1})$$
(3)

Eq. (3) then gives rise to an inverse Green's function

$$G_0^{-1}(1,\overline{1}) = \left[irac{\partial}{\partial t_1} - H^1(1)
ight]\delta_{\mathscr{C}'}(1-\overline{1})$$

with matrix equation $G_0^{-1}G_0=1$.

(b) generaliztaion to case with correlations: define

$$G^{-1}(1,\bar{1}) = G_0^{-1}(1,\bar{1}) - \Sigma(1,\bar{1})$$

such that

$$\int_{\mathscr{C}'} d2 \ G^{-1}(1,2) \ G(2,\bar{1}) = \delta_{\mathscr{C}'}(1-\bar{1})$$

is equivalent to the Kadanoff-Baym equations.

Lowest-order Φ-diagrams + back

Figure: Generating Φ -diagrams. Prefactor is given by $n_{\Sigma}/2n$.

The functional Φ can be constructed by summation over irreducible self-energy diagrams closed with an additional Green's function line and multiplied by appropriate numerical factors:

$$\Phi[G] = \sum_{n,k} \frac{1}{2n} \int d1 d2 \, \Sigma_k^{(n)}(1,\bar{1}) \, G(\bar{1},1^+)$$

- n denotes the number of interaction lines
- k labels Σ-diagrams
- n_{Σ} is the number of topologically different Σ -diagrams that can be generated from the Φ -diagram

We recall that

$$G^{<}(\mathbf{p}\omega,\mathbf{R}\mathcal{T}) = \int d^{3}r \, dt \, e^{-i\mathbf{p}\mathbf{r}+i\omega t} \left[\pm iG^{<}(\mathbf{r}t,\mathbf{R}\mathcal{T})\right]$$

$$G^{>}(\mathbf{p}\omega,\mathbf{R}T) = \int \mathrm{d}^{3}r\,\mathrm{d}t \; e^{-i\mathbf{p}\mathbf{r}+i\omega t}\,i\,G^{>}(\mathbf{r}t,\mathbf{R}T)$$

$${f R} = {{f r}_1 + {f r}_{ar 1} \over 2} \,, \qquad {f T} = {t_1 + t_{ar 1} \over 2} \,, \qquad {f r} = {f r}_1 - {f r}_{ar 1} \,, \qquad {f t} = t_1 - t_{ar 1}$$

- G[<](pω, RT) can be interpreted as the density of particles with momentum p and energy ω at the space time point (R, t)
- Correspondingly, G[>](pω, RT) denotes the density of states available to a particle that is added to the system at (R, t) with momentum p and energy ω

GW approximation • bac

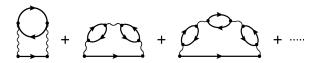


Figure: GW self-energy (~ dynamical screened potential)—summation of all bubble-like diagrams [A. Stan et al, Europhys. Lett. **76**, 298 (2006)]