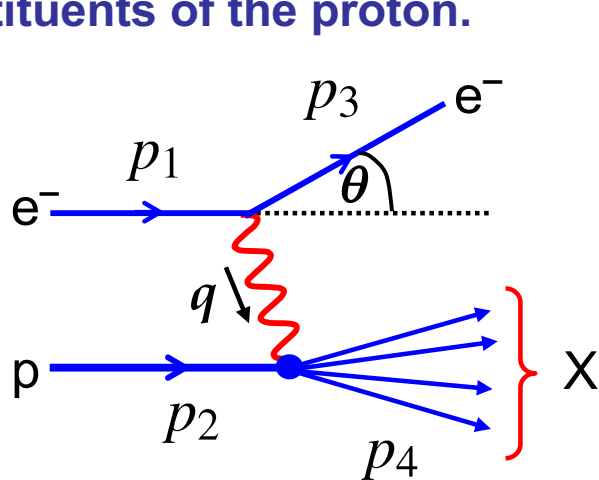
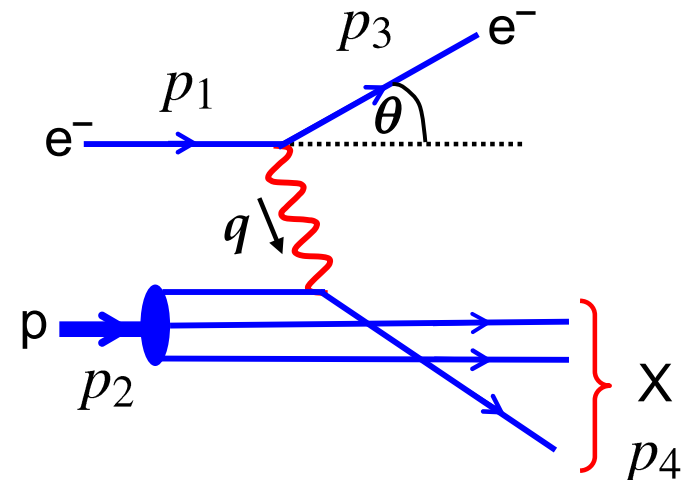


# The Quark-Parton Model

- Before quarks and gluons were generally accepted Feynman proposed that the proton was made up of point-like constituents “**partons**”
- Both Bjorken Scaling and the Callan-Gross relationship can be explained by assuming that Deep Inelastic Scattering is dominated by the scattering of a single virtual photon from point-like spin-half constituents of the proton.



Scattering from a proton  
with structure functions



Scattering from a point-like  
quark within the proton

# $e^- p$ Elastic Scattering at Very High $q^2$

- ★ At high  $q^2$  the Rosenbluth expression for elastic scattering becomes

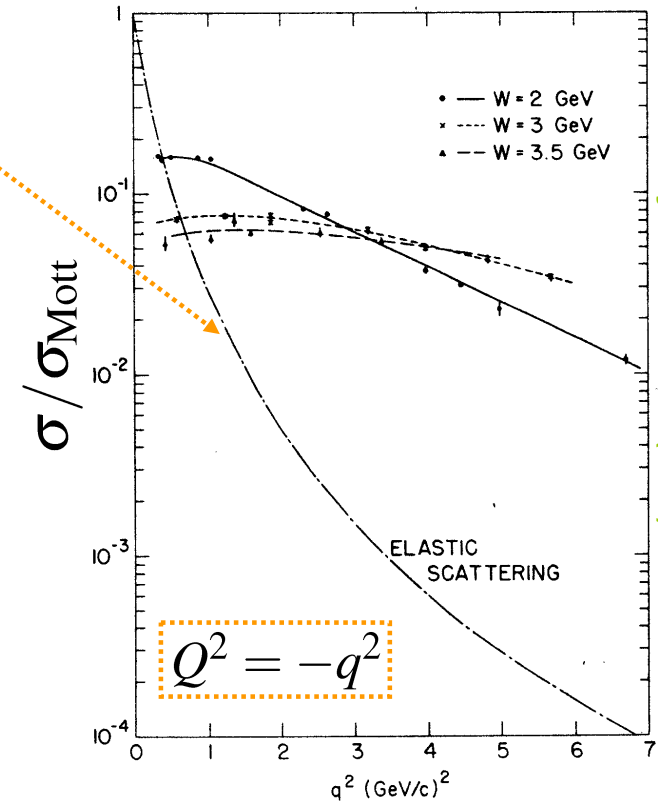
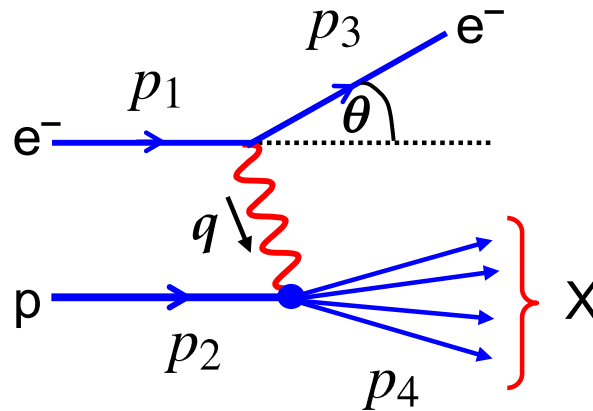
$$\left(\frac{d\sigma}{d\Omega}\right)_{elastic} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left(\frac{q^2}{2M^2} G_M^2 \sin^2 \frac{\theta}{2}\right) \quad \tau = -\frac{q^2}{4M^2} \gg 1$$

- From  $e^- p$  elastic scattering, the proton magnetic form factor is

$$G_M(q^2) \approx \frac{1}{(1 + q^2/0.71 \text{ GeV}^2)^2} \quad \rightarrow \quad G_M(q^2) \propto q^{-4} \quad \text{at high } q^2$$

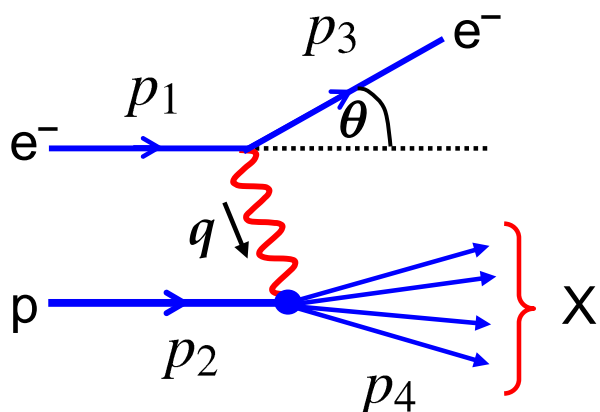
$$\Rightarrow \left(\frac{d\sigma}{d\Omega}\right)_{elastic} \propto q^{-6}$$

- Due to the finite proton size, elastic scattering at high  $q^2$  is unlikely and inelastic reactions where the proton breaks up dominate.



M. Breidenbach et al.,  
Phys. Rev. Lett. 23 (1969) 935

# Kinematics of Inelastic Scattering



- For inelastic scattering the mass of the final state hadronic system is no longer the proton mass,  $M$
- The final state hadronic system must contain at least one **baryon** which implies the final state invariant mass  $M_X > M$

$$M_X^2 = p_4^2 = (E_4^2 - |\vec{p}_4|^2)$$

★ For inelastic scattering introduce four new kinematic variables:  $x, y, \nu, Q^2$

★ Define:

$$x \equiv \frac{Q^2}{2p_2 \cdot q}$$

**Bjorken x**

(Lorentz Invariant)

where

$$Q^2 \equiv -q^2$$

$$Q^2 > 0$$

• Here  $M_X^2 = p_4^2 = (q + p_2)^2 = -Q^2 + 2p_2 \cdot q + M^2$

$\Rightarrow Q^2 = 2p_2 \cdot q + M^2 - M_X^2 \quad \Rightarrow Q^2 \leq 2p_2 \cdot q$

Note: in many text books  $W$  is often used in place of  $M_X$

hence

$$0 < x < 1 \text{ inelastic}$$

$$x = 1 \text{ elastic}$$

Proton intact  
 $M_X = M$

★ Define:  $y \equiv \frac{p_2 \cdot q}{p_2 \cdot p_1}$  (Lorentz Invariant)

• In the Lab. Frame:

$$p_1 = (E_1, 0, 0, E_1) \quad p_2 = (M, 0, 0, 0)$$

$$q = (E_1 - E_3, \vec{p}_1 - \vec{p}_3)$$

$$\rightarrow y = \frac{M(E_1 - E_3)}{ME_1} = 1 - \frac{E_3}{E_1}$$

So  $y$  is the fractional energy loss of the incoming particle

$$0 < y < 1$$

• In the C.o.M. Frame (neglecting the electron and proton masses):

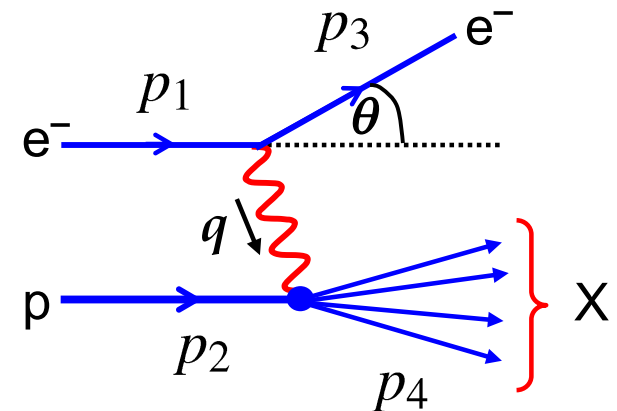
$$p_1 = (E, 0, 0, E); \quad p_2 = (E, 0, 0, -E); \quad p_3 = (E, E \sin \theta^*, 0, E \cos \theta^*)$$

$$\rightarrow y = \frac{1}{2}(1 - \cos \theta^*) \quad \text{for } E \gg M$$

★ Finally Define:  $v \equiv \frac{p_2 \cdot q}{M}$  (Lorentz Invariant)

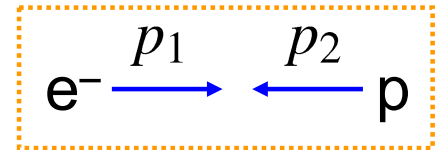
• In the Lab. Frame:  $v = E_1 - E_3$

$v$  is the energy lost by the incoming particle



# Relationships between Kinematic Variables

- Can rewrite the new kinematic variables in terms of the squared centre-of-mass energy,  $s$ , for the electron-proton collision



$$s = (p_1 + p_2)^2 = p_1^2 + p_2^2 + 2p_1 \cdot p_2 = 2p_1 \cdot p_2 + M^2 + \cancel{m_e^2}$$

$$2p_1 \cdot p_2 = s - M^2$$

Neglect mass of electron

- For a fixed centre-of-mass energy, it can then be shown that the four kinematic variables

$$Q^2 \equiv -q^2 \quad x \equiv \frac{Q^2}{2p_2 \cdot q} \quad y \equiv \frac{p_2 \cdot q}{p_2 \cdot p_1} \quad v \equiv \frac{p_2 \cdot q}{M}$$

are not independent.

- i.e. the scaling variables  $x$  and  $y$  can be expressed as

$$x = \frac{Q^2}{2Mv}$$

$$y = \frac{2M}{s - M^2} v$$

Note the simple relationship between  $y$  and  $v$

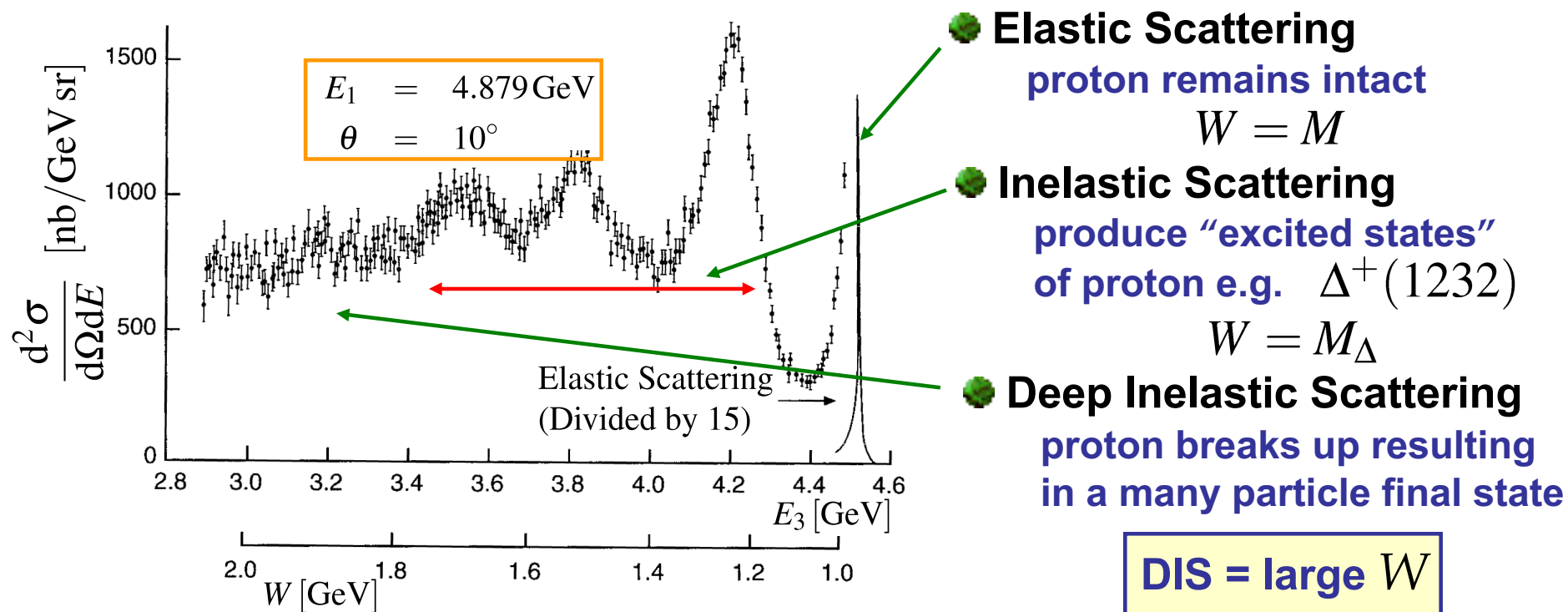
and  $xy = \frac{Q^2}{s - M^2} \Rightarrow Q^2 = (s - M^2)xy$

- For a fixed centre of mass energy, the interaction kinematics are completely defined by **any two** of the above kinematic variables (except  $y$  and  $v$ )
- For elastic scattering ( $x = 1$ ) there is only one independent variable. As we saw previously if you measure electron scattering angle know everything else.

# Inelastic Scattering

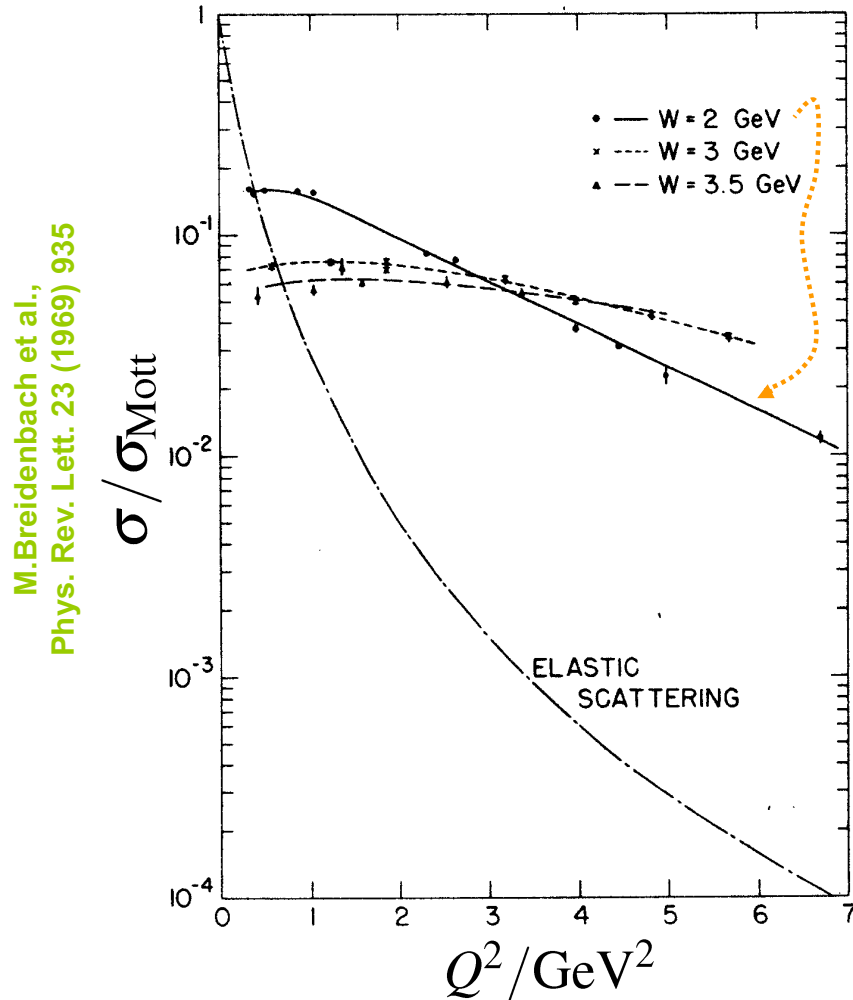
**Example:** Scattering of 4.879 GeV electrons from protons at rest

- Place detector at  $10^\circ$  to beam and measure the energies of scattered  $e^-$
- Kinematics fully determined from the electron energy and angle !
- e.g. for this energy and angle : the invariant mass of the final state hadronic system  $W^2 = M_X^2 = 10.06 - 2.03E_3$



# Inelastic Cross Sections

- Repeat experiments at different angles/beam energies and determine  $q^2$  dependence of elastic and inelastic cross-sections



- Elastic scattering falls off rapidly with  $q^2$  due to the proton not being point-like (i.e. form factors)
- Inelastic scattering cross sections only weakly dependent on  $q^2$
- Deep Inelastic scattering cross sections almost independent of  $q^2$  !

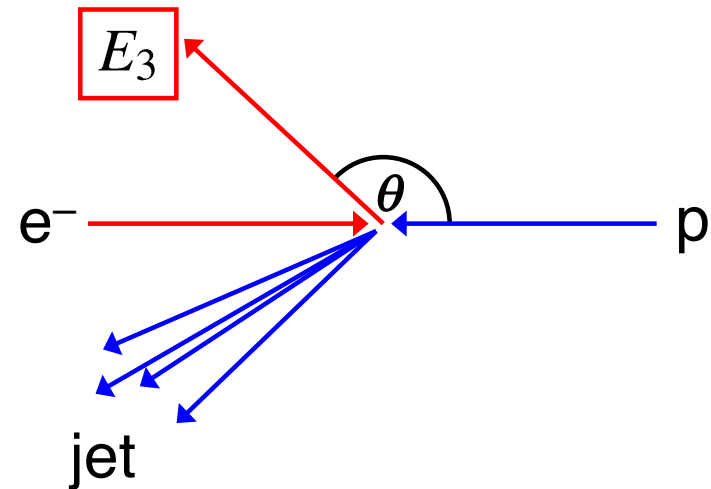
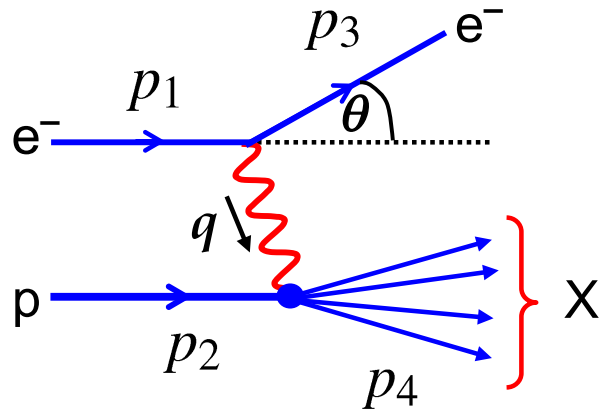
i.e. "Form factor"  $\rightarrow 1$



Scattering from point-like objects within the proton !

# Inelastic Cross Sections

- In the Lab. frame it is convenient to express the cross section in terms of the angle,  $\theta$ , and energy,  $E_3$ , of the scattered electron – experimentally well measured.



$$Q^2 = 4E_1 E_3 \sin^2 \theta / 2; \quad x = \frac{Q^2}{2M(E_1 - E_3)}; \quad y = 1 - \frac{E_3}{E_1}; \quad \nu = E_1 - E_3$$

- X-section in the Lab. frame

$$\frac{d^2 \sigma}{dE_3 d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta / 2} \left[ \frac{1}{\nu} F_2(x, Q^2) \cos^2 \frac{\theta}{2} + \frac{2}{M} F_1(x, Q^2) \sin^2 \frac{\theta}{2} \right] \quad (3)$$

Electromagnetic Structure Function

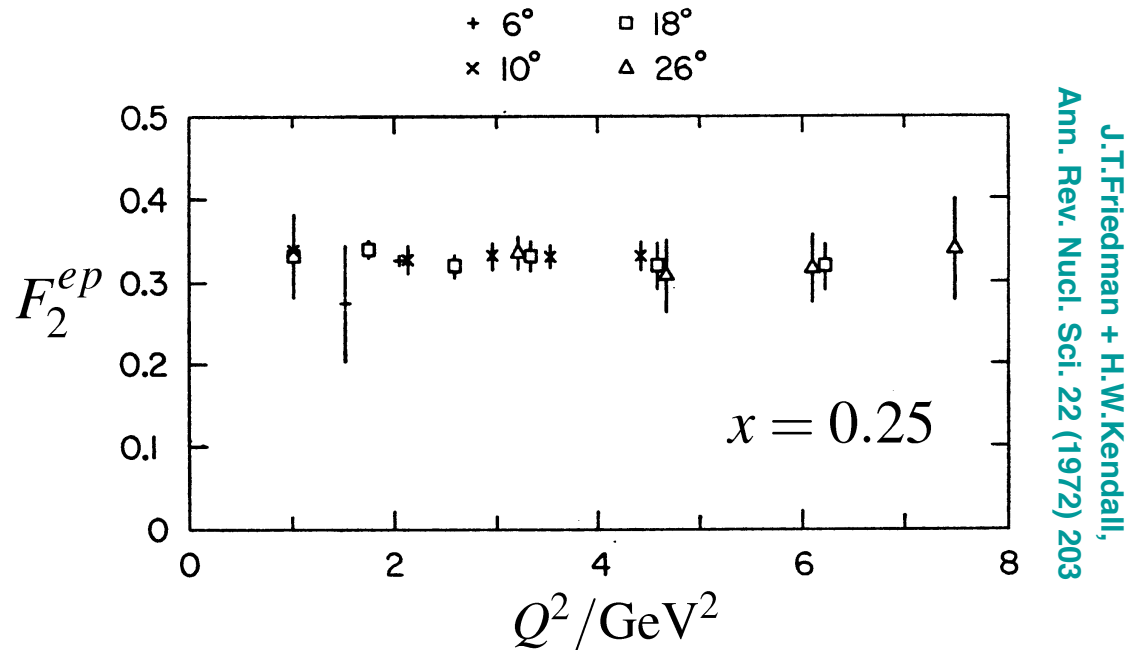
Pure Magnetic Structure Function



# Measuring the Structure Functions

- ★ To determine  $F_1(x, Q^2)$  and  $F_2(x, Q^2)$  for a given  $x$  and  $Q^2$  need measurements of the differential cross section at several different scattering angles and incoming electron beam energies (see Q13 on examples sheet)

Example: electron-proton scattering  $F_2$  vs.  $Q^2$  at fixed  $x$



- ♦ Experimentally it is observed that both  $F_1$  and  $F_2$  are (almost) independent of  $Q^2$

# Bjorken Scaling and the Callan-Gross Relation

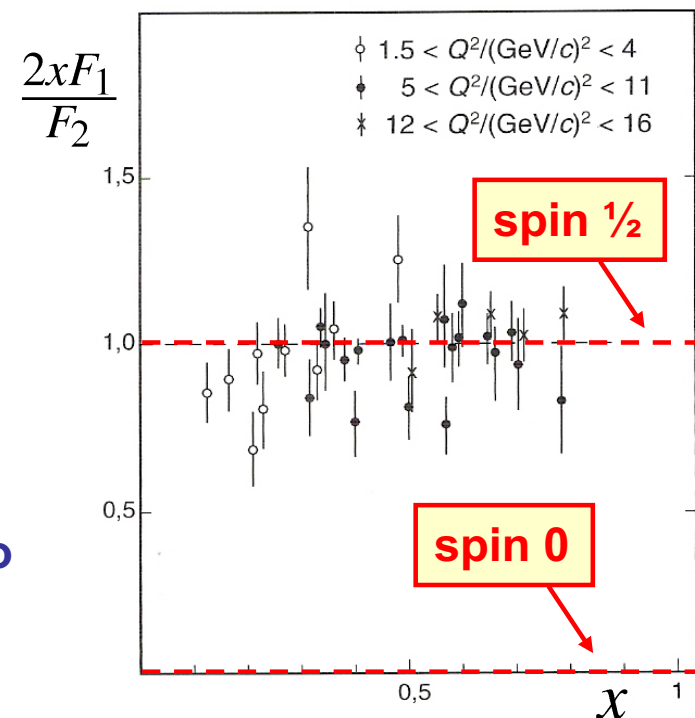
- ★ The near (see later) independence of the structure functions on  $Q^2$  is known as **Bjorken Scaling**, i.e.

$$F_1(x, Q^2) \rightarrow F_1(x) \quad F_2(x, Q^2) \rightarrow F_2(x)$$

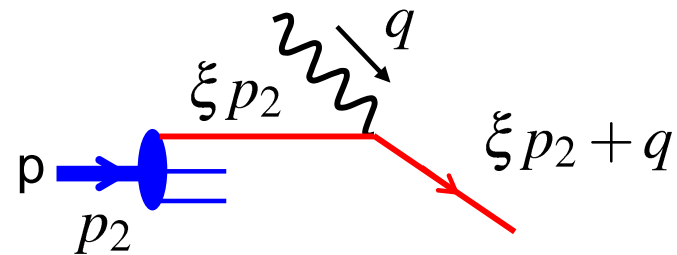
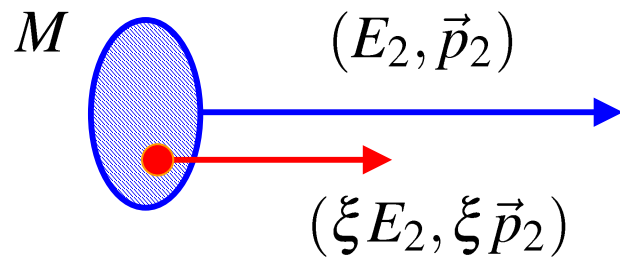
- It is strongly suggestive of scattering from **point-like** constituents within the proton
- ★ It is also observed that  $F_1(x)$  and  $F_2(x)$  are not independent but satisfy the **Callan-Gross relation**

$$F_2(x) = 2xF_1(x)$$

- As we shall soon see this is exactly what is expected for scattering from **spin-half** quarks.
- Note if quarks were spin zero particles we would expect the purely magnetic structure function to be zero, i.e.  $F_1(x) = 0$



- In the parton model the basic interaction is **ELASTIC** scattering from a **“quasi-free”** spin- $\frac{1}{2}$  quark in the proton, i.e. treat the quark as a free particle!
- The parton model is most easily formulated in a frame where the proton has very high energy, often referred to as the **“infinite momentum frame”**, where we can neglect the proton mass and  $p_2 = (E_2, 0, 0, E_2)$
- In this frame can also neglect the mass of the quark and any momentum transverse to the direction of the proton.
- Let the quark carry a fraction  $\xi$  of the proton's four-momentum.



- After the interaction the struck quark's four-momentum is  $\xi p_2 + q$

$$(\xi p_2 + q)^2 = m_q^2 \approx 0 \quad \rightarrow \quad \cancel{\xi^2 p_2^2} + q^2 + 2\xi p_2 \cdot q = 0 \quad (\xi^2 p_2^2 = m_q^2 \approx 0)$$

$$\rightarrow \xi = \frac{Q^2}{2p_2 \cdot q} = x$$

**Bjorken  $x$  can be identified as the fraction of the proton momentum carried by the struck quark (in a frame where the proton has very high energy)**

---

## The parton model predicts:

• **Bjorken Scaling**  $F_1(x, Q^2) \rightarrow F_1(x)$   $F_2(x, Q^2) \rightarrow F_2(x)$

★ Due to scattering from **point-like particles** within the proton

• **Callan-Gross Relation**  $F_2(x) = 2xF_1(x)$

★ Due to scattering from **spin half Dirac particles** where the magnetic moment is directly related to the charge; hence the “electro-magnetic” and “pure magnetic” terms are fixed with respect to each other.

★ At present parton distributions cannot be calculated from QCD

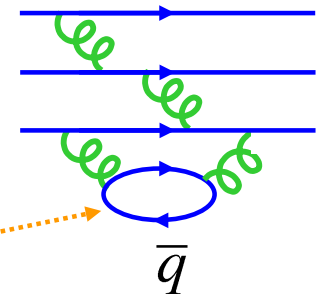
• Can't use perturbation theory due to large coupling constant

★ Measurements of the structure functions enable us to determine the parton distribution functions !

★ For electron-proton scattering we have:

$$F_2^p(x) = x \sum_q e_q^2 q^p(x)$$

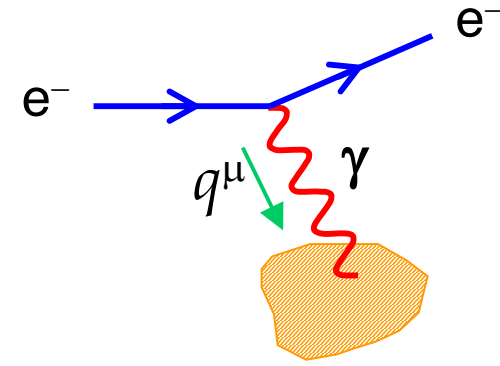
• Due to higher orders, the proton contains not only up and down quarks but also anti-up and anti-down quarks  
(will neglect the small contributions from heavier quarks)



# Scaling Violations

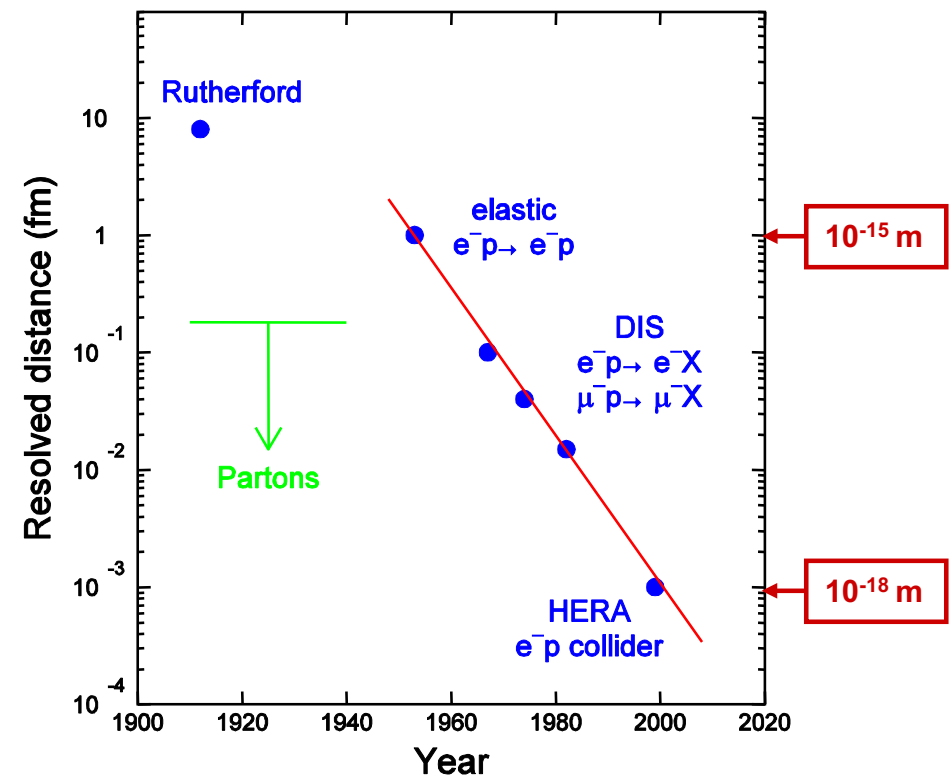
- In last 40 years, experiments have probed the proton with virtual photons of ever increasing energy
- Non-point like nature of the scattering becomes apparent when  $\lambda_\gamma \sim$  size of scattering centre

$$\lambda_\gamma = \frac{h}{|\vec{q}|} \sim \frac{1 \text{ GeV fm}}{|\vec{q}| (\text{GeV})}$$



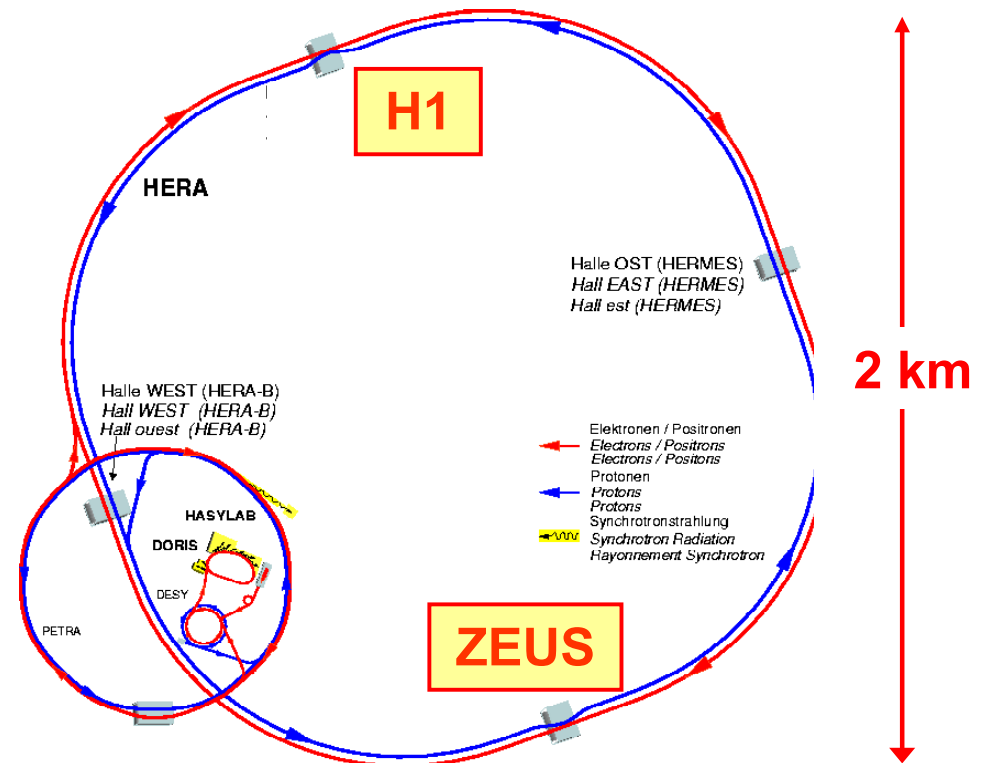
- Scattering from point-like quarks gives rise to **Bjorken scaling**: no  $q^2$  cross section dependence
- IF quarks were not point-like, at high  $q^2$  (when the wavelength of the virtual photon  $\sim$  size of quark) would observe rapid decrease in cross section with increasing  $q^2$ .
- To search for quark sub-structure want to go to highest  $q^2$

**HERA**



# HERA $e^{\pm}p$ Collider : 1991-2007

★ DESY (Deutsches Elektronen-Synchrotron) Laboratory, Hamburg, Germany

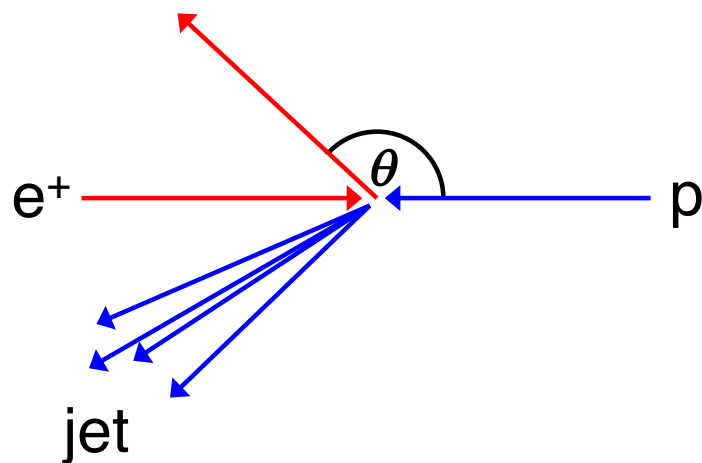


★ Two large experiments : H1 and ZEUS

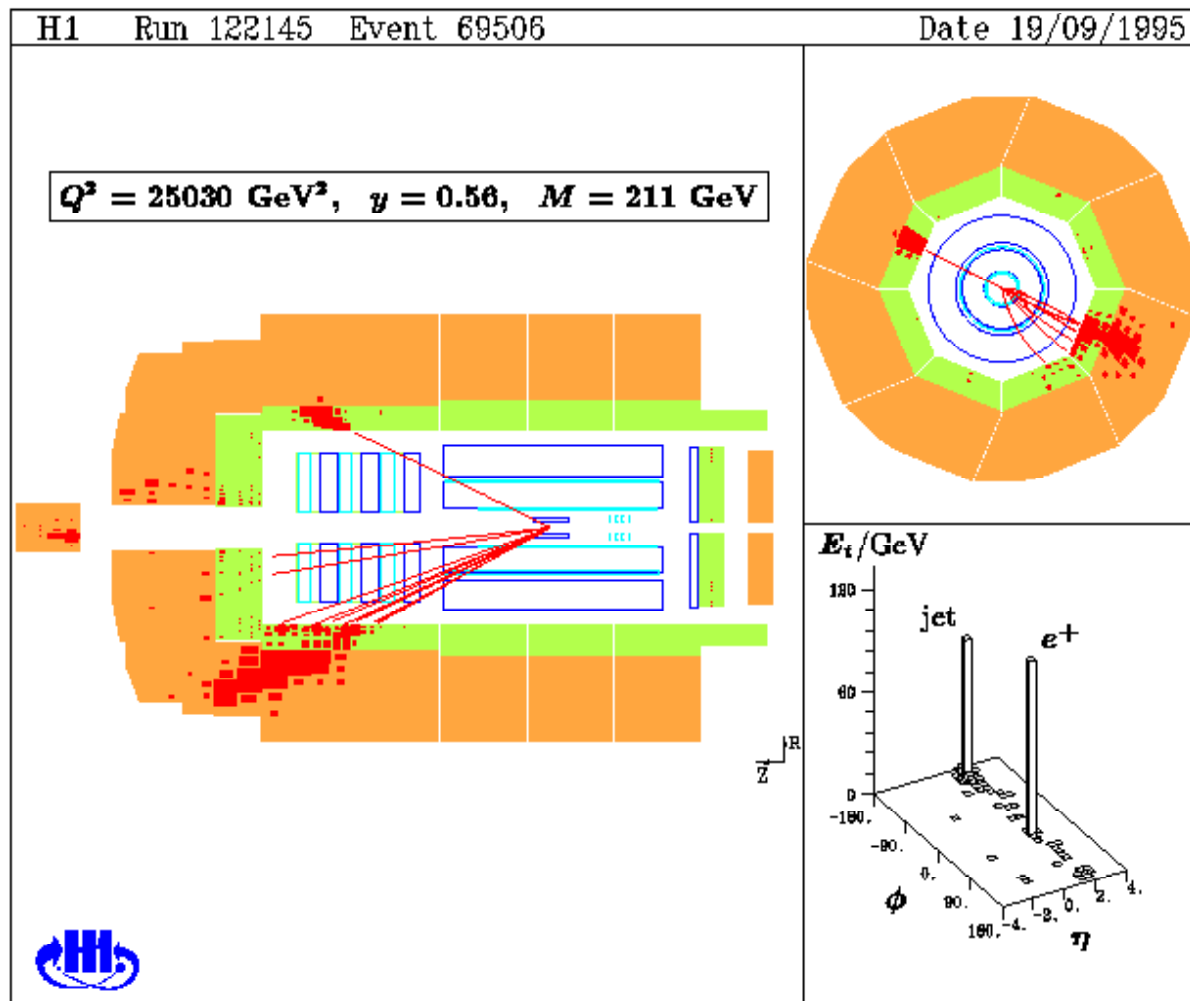
★ Probe proton at very high  $Q^2$  and very low  $x$

# Example of a High $Q^2$ Event in H1

★ Event kinematics determined from electron angle and energy



★ Also measure hadronic system (although not as precisely) – gives some redundancy

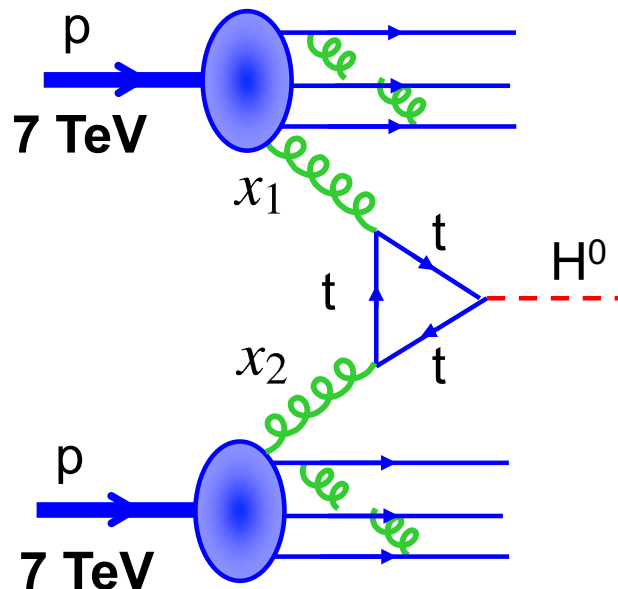


# Proton-Proton Collisions at the LHC

★ Measurements of structure functions not only provide a powerful test of QCD, the **parton distribution functions** are essential for the calculation of cross sections at pp and p $\bar{p}$  colliders.

• Example: Higgs production at the Large Hadron Collider **LHC** ( 2009-)

- The LHC will collide 7 TeV protons on 7 TeV protons
- However underlying collisions are between partons
- Higgs production the LHC dominated by “**gluon-gluon fusion**”



• Cross section depends on gluon PDFs

$$\sigma(pp \rightarrow HX) \sim \int_0^1 \int_0^1 g(x_1)g(x_2)\sigma(gg \rightarrow H)dx_1dx_2$$

• Uncertainty in gluon PDFs lead to a  $\pm 5\%$  uncertainty in Higgs production cross section

• Prior to HERA data uncertainty was  $\pm 25\%$



# Summary

- ♦ At **very high** electron energies  $\lambda \ll r_p$  :  
the proton appears to be a sea of quarks and gluons.
- ♦ Deep Inelastic Scattering = Elastic scattering from the quasi-free constituent quarks

⇒ Bjorken Scaling  $F_1(x, Q^2) \rightarrow F_1(x)$

⇒ Callan-Gross  $F_2(x) = 2xF_1(x)$

point-like scattering

Scattering from spin-1/2

- ♦ Describe scattering in terms of parton distribution functions  $u(x), d(x), \dots$  which describe momentum distribution inside a nucleon
- ♦ The proton is much more complex than just uud - sea of anti-quarks/gluons
- ♦ Quarks carry only 50 % of the protons momentum – the rest is due to low energy gluons

