

# Introduction to String Theory

Note Title

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## ① The Classical String

$$x^{\mu}(\tau)$$

Point  
Particle

$$\{ , \}_{PB} \rightarrow \frac{1}{\lambda} [ , ]$$

Quantum  
Mechanics

need for  
creation and  
annihilation of  
particles  
(special relativity)

(Local) Quantum  
Field Theory

$x^{\mu}(\tau, \sigma)$   
One dimensional  
extended object  
(String)

$$\{ , \}_{PB} \rightarrow \frac{1}{\lambda} [ , ]$$

String  
Theory

(Relativistic theory  
with creation and  
annihilation of particles)

### Relativistic Point Particle

length of the world-line.

$$S_0 = -m \int ds \quad , \quad ds^2 = \eta_{\mu\nu} dx^{\mu} dx^{\nu} = \eta_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu} (d\tau)^2$$

$$S_0 = -m \int \sqrt{\eta_{\mu\nu} \dot{x}^{\mu}(\tau) \dot{x}^{\nu}(\tau)} d\tau \quad \delta S = 0 \quad (\text{equations of motion})$$

$$\Rightarrow \frac{d^2 x^{\mu}}{d\tau^2} = 0$$

Notice that the action  $S_0$  is reparametrization invariant:

$$\tau \rightarrow \tau'(\tau) \quad S_0 \rightarrow -m \int d\tau' \frac{d\tau}{d\tau'} \sqrt{\eta_{\mu\nu} \frac{dx^{\mu}}{d\tau} \frac{dx^{\nu}}{d\tau}}$$

$$= -m \int d\tau' \frac{d\tau}{d\tau'} \sqrt{\eta_{\mu\nu} \frac{dx^{\mu}}{d\tau'} \frac{dx^{\nu}}{d\tau'}} = -m \int d\tau' \sqrt{\eta_{\mu\nu} \frac{dx^{\mu}}{d\tau'} \frac{dx^{\nu}}{d\tau'}}$$

This action is awkward for two reasons:

- ① The square root is mathematically difficult for quantization
- ② Not useful for massless ( $m=0$ ) particles

$\int Dx e^{i \int d\tau \sqrt{x'^2}}$   
 $\int Dx e^{i \int d\tau x'^2}$   
Gaussian

We can construct a different action equivalent at the classical level (i.e: that gives the same equations of motion)

$$\tilde{S}_0 = \frac{1}{2} \int d\tau \left[ e^{-1}(\tau) \dot{x}^2 - m^2 e(\tau) \right] \quad , \quad e(\tau) : \text{auxiliary field}$$

$$\delta \tilde{S}_0 = \frac{1}{2} \int dz \left[ -e^{-2} \dot{x}^2 \delta e - m^2 \delta e \right] = -\frac{1}{2} \int dz \left[ e^{-2} \dot{x}^2 + m^2 \right] \delta e$$

$$\Rightarrow \dot{x}^2 + e^2(z) m^2 = 0 \quad e^2 = \frac{-\dot{x}^2}{m^2}$$

Plugging this back into  $\tilde{S}_0$

$$\tilde{S}_0 = \frac{1}{2} \int dz \left[ -\frac{m}{\sqrt{\dot{x}^2}} \dot{x}^2 - m^2 \sqrt{-\dot{x}^2} \right] = -m \int dz \sqrt{\dot{x}^2}$$

$$\delta \tilde{S}_0 = 0 \rightarrow \frac{d^2 x^M}{dz^2} = 0$$

$\tilde{S}_0$  is also re-parametrization invariant IF  $e(z)$  is a einbein (ie: 1+0 space-time metric) thus means:

$e'(z') \neq e(z) \rightarrow$  not a scalar field

metric on the world-line  $\leftarrow e'(z') = \frac{dz}{dz'} e(z) \quad \left( g'_{\mu\nu}(z') = \frac{\partial x^\alpha}{\partial z'^\mu} \frac{\partial x^\beta}{\partial z'^\nu} g_{\alpha\beta}(x) \right)$

But  $x'^M(z) = x^M(z) \rightarrow$  scalar field

$$\tilde{S}_0 = \frac{1}{2} \int dz \left[ e^{-1} \dot{x}^2 - m^2 e \right] \quad z \rightarrow z' = z - \varepsilon(z)$$

$$x'^M(z - \varepsilon) = x^M(z)$$

$$x'^M(z - \varepsilon) - \varepsilon \frac{dx'^M}{dz} = x^M(z) \rightarrow \delta x^M(z) \equiv x'^M(z) - x^M(z) = \varepsilon \frac{dx'^M}{dz} + \theta(\varepsilon^2)$$

$$\delta x^M(z) = \varepsilon \dot{x}^M + \theta(\varepsilon^2)$$

$$\frac{dz}{dz'} \dot{z}'(z) = e(z) \rightarrow (1 - \dot{\varepsilon})(e'(z) - \varepsilon \dot{e}'(z)) = e(z)$$

$$e'(z) - \varepsilon \dot{e}'(z) - \dot{\varepsilon} e'(z) + \theta(\varepsilon^2) = e(z)$$

$$\delta e(z) = \frac{d}{dz} (\varepsilon e) + \theta(\varepsilon^2)$$

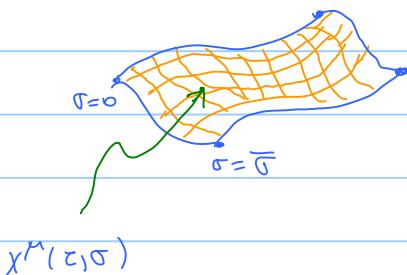
$$\delta \tilde{S}_0 = \frac{1}{2} \int d\tau [e^{1/2} \dot{x}^{\mu} \delta x^{\mu} - e^{-2} \delta e \dot{x}^2 - m^2 \delta e]$$

After some algebra

$$\delta \tilde{S}_0 = \frac{1}{2} \int d\tau \frac{d}{d\tau} \left[ \frac{\delta}{e} \dot{x}^2 \right] = \text{Boundary term} = 0$$

$\therefore \tilde{S}_0$  is reparametrization invariant.

### Relativistic String



Try:

Action = Area of the world-sheet

$$S_{NG} = -T \int d\tau d\sigma \sqrt{(\dot{x}^\mu)^2 - \dot{x}^2 x'^2} \quad \text{Nambu-Goto action}$$

$$\dot{x}^\mu \equiv \frac{dx^\mu}{d\tau} \quad x'^\mu \equiv \frac{dx^\mu}{d\sigma}$$

Again, this action is not well suited for quantization.

Alternative: Find another action with the same eqs. of motion but without the  $\sqrt{\cdot}$ . Need of auxiliary field

$$S_{Pl} = -\frac{T}{2} \int d\tau d\sigma \sqrt{-h} h^{\alpha\beta} \partial_\alpha x^\mu \partial_\beta x^\nu \eta_{\mu\nu} \quad \partial_\alpha \equiv \frac{\partial}{\partial \sigma^\alpha}$$

$h_{\alpha\beta}(\tau, \sigma)$ : auxiliary field  $\rightarrow$  metric on the world-sheet.  $\sigma^\alpha = (\tau, \sigma) \rightarrow (\alpha=1, 2)$

$h_{\alpha\beta}$  in fact has the interpretation of a world-sheet metric i.e:

$$h_{\alpha\beta}(z, \sigma) = \frac{\partial z^\alpha}{\partial \sigma^\alpha} \frac{\partial z^\beta}{\partial \sigma^\beta} h_{\gamma\delta}(z, \sigma) \quad (\text{1+1 metric})$$

Equations of motion:

Note that the Polyakov action looks like the action for  $d$  scalar fields  $x^\mu(z, \sigma)$  ( $\mu = 0, 1, \dots, d-1$ ) as a two-dimensional field theory where the manifold they're defined on is the world-sheet

$$\begin{aligned} \text{E.O.M's : } \quad & \frac{\delta S}{\delta h^{\alpha\beta}} = 0 \quad \frac{\delta S}{\delta x^\mu} = 0 \\ & \downarrow \qquad \qquad \qquad \downarrow \\ T_{\alpha\beta} = 0 \quad & \frac{1}{\sqrt{h}} \partial_\alpha \left( \sqrt{h} h^{\alpha\beta} \partial_\beta x^\mu \right) = 0 \end{aligned}$$

↳ Wave equation in a background

Symmetries of the Polyakov action:

i) Global symmetries:

• Poincaré :

$$x^\mu(z, \sigma) \rightarrow x'^\mu(z, \sigma) = \epsilon^\mu_\nu x^\nu(z, \sigma) + a^\mu$$

$$(\epsilon_{\mu\nu} = -\epsilon_{\nu\mu})$$

## 2) Local symmetries:

① Reparameterization Invariance: Change world sheet coordinates.

$$\sigma^\alpha \rightarrow \sigma'^\alpha = \sigma'^\alpha(\sigma^\alpha) \quad \alpha=1,2, \text{ in other words}$$

$$z \rightarrow z' = z(\sigma)$$

$$\sigma \rightarrow \sigma' = \sigma'(z, \sigma)$$

This has the same meaning of general coordinate invariance of General Relativity.

Under these,  $x^m(z, \sigma)$  and  $h_{\alpha\beta}(z, \sigma)$  transform as

$$x'^m(z', \sigma') = x^m(z, \sigma)$$

$$h'_{\alpha\beta}(z', \sigma') = \frac{\partial z'}{\partial \sigma^\alpha} \frac{\partial z'}{\partial \sigma^\beta} h_{\alpha\beta}(z, \sigma)$$

② Weyl Rescalings: No change of world-sheet coordinates

That is called ↗ involved. Only we re-scale the metric at each world-sheet point

$$h_{\alpha\beta}(z, \sigma) \rightarrow h'_{\alpha\beta}(z, \sigma) = \omega(z, \sigma) h_{\alpha\beta}(z, \sigma)$$

Why are these symmetries important? For many reasons.

For example, when quantizing the theory, we need to take into account these extra degrees of freedom and find the correct Haar measure to get a sensible path integral (Faddeev-Popov ghosts will be indeed introduced)

We therefore need to fix the gauge to be able to find "physical" answers (we mean gauge independent answers to be more precise)

### Gauge Fixing

$$h_{\alpha\beta} = \begin{pmatrix} h_{00}(z,\sigma) & h_{01}(z,\sigma) \\ h_{01}(z,\sigma) & h_{11}(z,\sigma) \end{pmatrix} \rightarrow 3 \text{ local functions}$$

thus, we need 3 local conditions (conditions that are applied at every single world-sheet point  $(z,\sigma)$ ) to completely fix the form of  $h_{\alpha\beta}$ .

We cannot use the Poincaré' symmetry to fix the gauge because it's a global one. We need local symmetries instead:

$$\text{Re-parametrization} : (z,\sigma) \rightarrow \begin{cases} z' = f(z,\sigma) \\ \sigma' = g(z,\sigma) \end{cases} \quad \begin{matrix} \text{2 local} \\ \text{parameters} \\ (f \text{ and } g) \end{matrix}$$

$$\text{Weyl re-scaling} : h_{\alpha\beta}(z,\sigma) \rightarrow L(z,\sigma) h_{\alpha\beta}(z,\sigma)$$

↪ 1 local parameter

$2+1=3 \rightarrow$  we can fix the components of  $h_{\alpha\beta}(z,\sigma)$  completely for each world-sheet point  $(z,\sigma)$

A very convenient one is  $h_{\alpha\beta}(z,\sigma) = \lambda(z,\sigma) \eta_{\alpha\beta}$

This is called Conformal gauge.\*

$$\therefore ds^2 = -dz^2 + d\sigma^2$$

In this gauge, the Polyakov action take the form:

$$S_{\text{pol}} = \frac{T}{2} \int dz d\sigma (\dot{x}^2 - x'^2)$$

Let's derive the equations of motion directly from here:

$$\delta S_{\text{pol}} = T \int dz d\sigma \delta x^\mu (2\dot{x}_\mu - \partial_z x_\mu) - T \int_{z_1}^{z_2} dz x_\mu' \delta x^\mu \Big|_{\sigma=0}^{z=\bar{z}}$$

Key point:

Note that the boundary term is not the typical boundary term we have for point particles which is to decide where the paths are static or not at  $\sigma = 0$  or  $\sigma = \sigma_0$  (initial conditions). Here we have boundary conditions for the end-points of the string!

\* This is possible as long as the world-sheet has no topological obstructions. This is valid for manifolds with  $X = 0$  (Euclidean character).

To get  $\delta S_{\text{ext}} = 0$  we need the e.o.m.

$$(-\partial_\tau^2 + \partial_\sigma^2) X^\mu(\tau, \sigma) = 0 \quad \leftarrow \text{wave eq. on flat 2D manifold (as expected)}$$

and

$$\left. \dot{X}_\mu \delta X^\mu \right|_{\sigma=0} = \dot{X}_\mu(\tau, \sigma=\bar{\sigma}) \delta X^\mu(\tau, \sigma=\bar{\sigma}) - \dot{X}_\mu(\tau, 0) \delta X^\mu(\tau, 0) = 0$$

1)  $\dot{X}_\mu(\bar{\sigma}) = \dot{X}_\mu(0) = 0$   $\therefore$  Neumann (Open String)

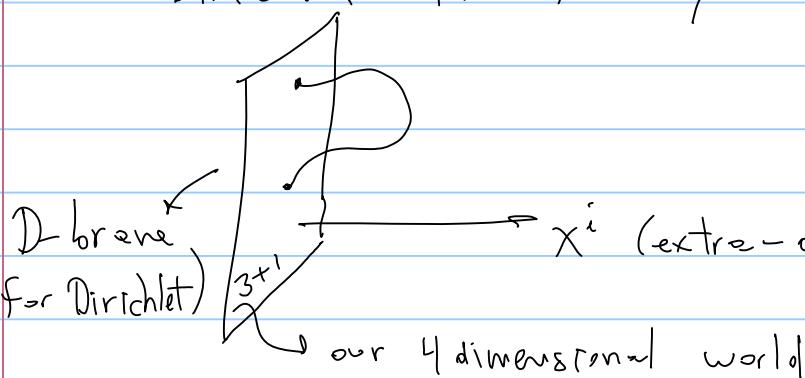
2)  $\delta X^\mu(\tau, \sigma=0) = \delta X^\mu(\tau, \sigma=\bar{\sigma}) = 0$   $\therefore$  Dirichlet (fixed end points) (Open String)

3)  $X^\mu(\tau, \sigma) = X^\mu(\tau, \sigma+\bar{\sigma})$   $\therefore$  Closed string

\* We can have a fourth option: fix Neumann for some components of  $X^\mu$  and Dirichlet for the rest:  
For example:

Neumann for  $X^\mu$   $\mu = 0, 1, 2, 3$ .

Dirichlet for  $X^\mu$   $\mu = 4, 5, \dots, d$



Note that the end-points of the string are free to move in all the four dimensions of our world.

## Solutions to the equations of motion

Depending on the boundary conditions imposed, the solutions are slightly different:

$$(-\partial_z^2 + \partial_\sigma^2) x^\mu(z, \sigma) = 0$$

① Closed string:

$$x^\mu(z, \sigma) = x_R^\mu(z - \sigma) + x_L^\mu(z + \sigma)$$

↓
↓
  
 right-mover      left-mover

We can express these functions in a plane wave decomposition

$$x_R^\mu(z - \sigma) = \frac{1}{2} x^\mu + \frac{1}{4\pi T} (z - \sigma) p^\mu + \frac{i}{\sqrt{4\pi T}} \sum_{n \neq 0} \frac{1}{n} \alpha_n e^{\mu - i n(z - \sigma)}$$

$$x_R^\mu(z - \sigma) = \frac{1}{2} x^\mu + \frac{1}{4\pi T} (z + \sigma) p^\mu + \frac{i}{\sqrt{4\pi T}} \sum_{n \neq 0} \frac{1}{n} \bar{\alpha}_n e^{-\mu - i n(z + \sigma)}$$

Note the  $\alpha_n$  and  $\bar{\alpha}_n$  do not need to be related. They are just all different expansion coefficients.

However, the requirement that  $x^\mu(z, \sigma)$  is a real function implies:

- $x^\mu, p^\mu$  are real
- $\alpha_{-n}^\mu = (\alpha_n^\mu)^*$  &  $\bar{\alpha}_{-n}^\mu = (\bar{\alpha}_n^\mu)^*$

(to be continued)