

## Summary

1) Vector calculus      scalar product  $\vec{a} \cdot \vec{b}$  } higher products  
(basis, component rep.)      cross product  $\vec{a} \times \vec{b}$  }

2) Differential calculus       $\frac{d}{dt} \vec{r}(t)$   
    $\vec{\nabla} \varphi(\vec{r})$        $d\varphi = \sum_i \frac{\partial \varphi}{\partial x_i} dx_i$

3) Matrices :  $C = AB$ ,  $\det A$ ,  $A^{-1}$   
   rotation matrix  $D$

4) Coordinate transformations : polar, spherical  
   integration :  $dV = r^2 \sin\vartheta dr d\vartheta d\varphi$

5) Mechanics of the mass point  
 $\vec{F} = \dot{\vec{p}}$  (inertial system)

differential equations

$$\ddot{x} + \lambda x = 0 \quad \text{ansatz } x(t) = e^{\alpha t}, \alpha \in \mathbb{C}$$

→ harmonic oscillator

→ kepler problem, 1D motion



6) Theorems

Energy conservation, conservative force  $\vec{F} = -\vec{\nabla} V$

Angular momentum  $\frac{d}{dt} \vec{L} = \vec{M}$ ,  $\vec{L} = \text{const}$   
(central force)

7) Many particle systems

$$m_i \ddot{\vec{r}}_i = \vec{F}_i \quad \text{conservation laws}$$

$$N=2 \quad \mu \ddot{\vec{r}} = \vec{F}_{12}$$

rigid body  $r_{ij} = \text{const}$

$$T = \frac{1}{2} \vec{\omega} \underline{J} \vec{\omega}$$

$$\vec{L} = \underline{J} \vec{\omega}$$

tensor

8) Lagrange mechanics

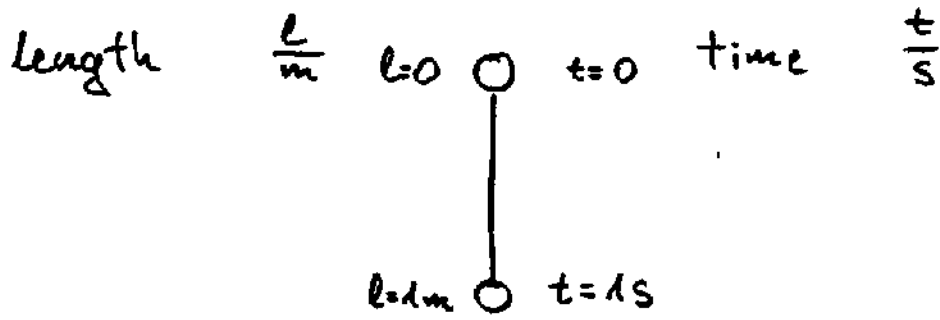
constraints, generalized coordinates

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$$

$$L = T - V$$

Physical quantities

Experiment: ball falls down



3 specifications :

dimension	unit of measure	coefficient of measure
length	meter (m)	1
time	second (s)	1
mass	kilogram (kg)	75
temperature	degrees celsius ( $^{\circ}C$ )	22

→ .....

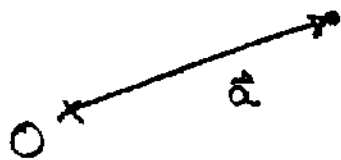
→ quantities that are fixed by dimension, unit of measure and one coefficient of measure are scalars.

→ quantities that additionally need the specification of a direction are vectors.

→ quantities that need the specification of n directions are tensors (n=0 : scalar, n=1 vector)

Example of a vector: position vector  $\vec{a}$

need to define a coordinate system with origin  $O$



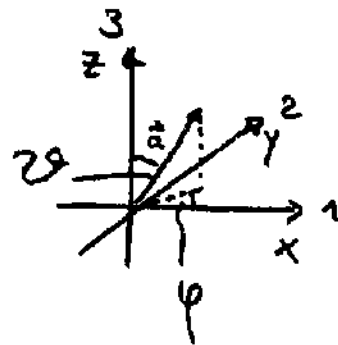
typically  $\vec{a}$ : vector in the Euclidian space  $E_3$

length of  $\vec{a}$ :  $a = |\vec{a}|$

direction of  $\vec{a}$ :  $\hat{a} = \frac{\vec{a}}{|\vec{a}|}$

Coordinate system:

cartesian coordinate system  
(right handed)



direction given by  
two angles:  $\vartheta, \varphi$

### Elementary mathematical operations

Notes a) Vectors with the same length and direction are identical



b)  $\forall \vec{a} \exists -\vec{a}$        $\vec{a} \perp -\vec{a}$   
"antiparallel vector"       $a = |\vec{a}| = |-\vec{a}|$

c) unitary vector       $\hat{e}$  ,  $|\hat{e}| = 1$

example  $|\hat{a}| = 1$

1) Addition of vectors



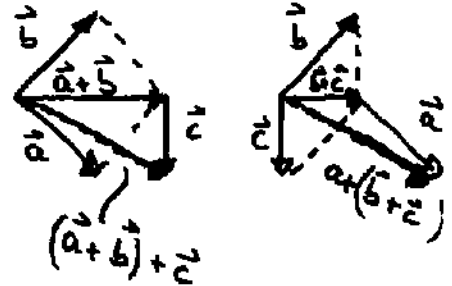
$$\vec{c} = \vec{a} + \vec{b}$$

a) Commutativity

$$(1) \vec{a} + \vec{b} = \vec{b} + \vec{a}$$

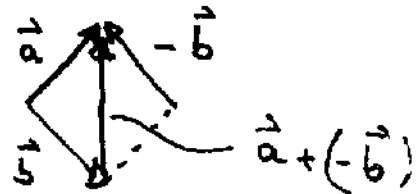
b) Associativity

$$(2) (\vec{a} + \vec{b}) + \vec{c} = \vec{a} + (\vec{b} + \vec{c})$$



c) vector subtraction

$$(3) \vec{a} - \vec{b} = \vec{a} + (-\vec{b})$$



zero (null) vector

$$\vec{a} - \vec{a} = \vec{a} + (-\vec{a}) = 0$$

(only vector without "length" and direction)

$$(4) \vec{a} + 0 = \vec{a}$$

(1-4) : position vectors build a commutative group

2) Multiplication by a (real) number

$\alpha \in \mathbb{R}$ ,  $\vec{a}$  an arbitrary vector

Definition  $\alpha \vec{a}$  is a vector with the following properties

$$i) \quad \alpha \vec{a} \begin{cases} \uparrow \uparrow \vec{a} & \text{if } \alpha > 0 \\ \downarrow \uparrow \vec{a} & \text{if } \alpha < 0 \end{cases}$$

$$ii) \quad |\alpha \vec{a}| = |\alpha| a$$

Special cases

$$1 \vec{a} = \vec{a}$$

$$0 \vec{a} = \vec{0}$$

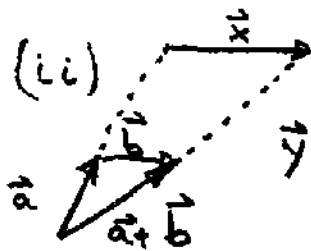
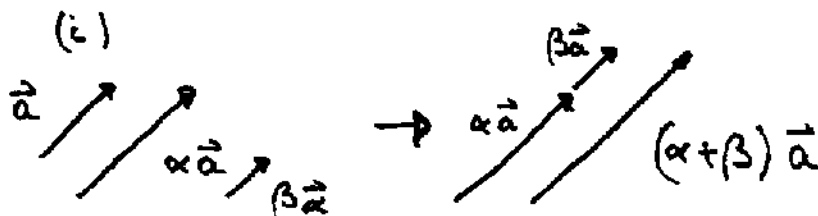
$$-1 \vec{a} = -\vec{a}$$

Calculation rules:  $\alpha, \beta \in \mathbb{R}$ ,  $\vec{a}, \vec{b} \dots$  vectors

a) Distributivity

$$(\alpha + \beta) \vec{a} = \alpha \vec{a} + \beta \vec{a} \quad (i)$$

$$\alpha (\vec{a} + \vec{b}) = \alpha \vec{a} + \alpha \vec{b} \quad (ii)$$



read off  $\alpha \vec{a} + \vec{x} = \vec{y} \quad (I)$

$$\vec{y} = \bar{\alpha} (\vec{a} + \vec{b}) \quad (II)$$

$$\vec{x} = \alpha' \vec{b} \quad (III)$$

(so far unknown constants  $\bar{\alpha}, \alpha'$ )

proof : 1. Intercept Theorem (lengths)

$$\frac{|\vec{y}|}{|\vec{a} + \vec{b}|} = \frac{|\alpha \vec{a}|}{|\vec{a}|}$$

$$\bar{\alpha} = \alpha$$

2. Intercept Theorem

$$\frac{|\vec{x}|}{|\vec{b}|} = \frac{|\alpha \vec{a}|}{|\vec{a}|}$$

$$\alpha' = \alpha$$

insert into definitions (I - III)

b) Associativity

$$\alpha(\beta \vec{a}) = (\alpha\beta) \vec{a} =: \alpha\beta \vec{a}$$

proof: use  $|\alpha\beta| = |\alpha||\beta|$

c) construction of unit vector

$$\forall \vec{a} \in V \exists \hat{a} : \frac{1}{a} \vec{a} = \frac{1}{|\vec{a}|} \vec{a} = \hat{a}$$

$$1) \hat{a} \uparrow \uparrow \vec{a}$$

$$2) |\hat{a}| = \left| \frac{1}{a} \vec{a} \right| = \left| \frac{1}{a} \right| |\vec{a}| = \frac{1}{a} a = 1$$

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Definition of a linear vector space  $V$  over the body of real numbers  $\mathbb{R}$

1) Define addition:  $\vec{a}, \vec{b} \in V \quad \vec{a} + \vec{b} = \vec{c}, \quad \vec{c} \in V$

a) associativity  $(\vec{a} + \vec{b}) + \vec{c} = \vec{a} + (\vec{b} + \vec{c})$

b) zero, null  $0 \in V : \vec{a} + 0 = \vec{a} \quad \forall \vec{a}$

c) inverse element  $\vec{a} \in V$ , exists  $-\vec{a} \in V :$   
 $\vec{a} + (-\vec{a}) = 0$

2) Define multiplication of a vector with elements  $\alpha, \beta \in \mathbb{R}$

a) distributivity  $(\alpha + \beta) \vec{a} = \alpha \vec{a} + \beta \vec{a}$

$$\alpha(\vec{a} + \vec{b}) = \alpha \vec{a} + \alpha \vec{b}$$

b) associativity  $\alpha(\beta \vec{a}) = (\alpha\beta) \vec{a}$

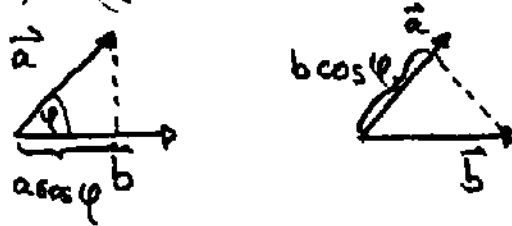
c) identity element  $1 \cdot \vec{a} = \vec{a} \quad \forall \vec{a} \in V$

Scalar product (inner, dot product) of two vectors  $\vec{a}, \vec{b}$

defined by :  $\vec{a} \cdot \vec{b} = a b \cos \varphi$ ,  $\varphi$  : angle between  $\vec{a}$  and  $\vec{b}$

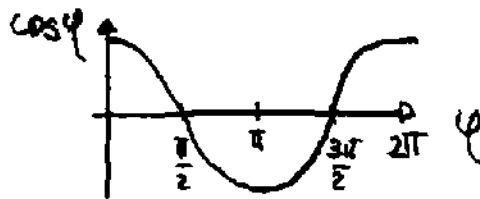
mathematically  $\vec{a} \cdot \vec{b} \rightarrow \alpha \in \mathbb{R}$

graphically (for vectors in  $E_3$ )



$\vec{a} \cdot \vec{b} = 0$  if

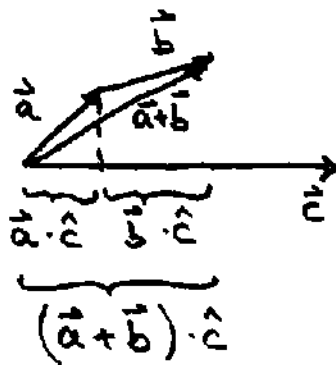
- 1)  $a = 0$  and/or  $b = 0$
- 2)  $\varphi = \frac{\pi}{2}$  ( $90^\circ$ )



Properties:

1) commutativity  $\vec{a} \cdot \vec{b} = \vec{b} \cdot \vec{a}$

2) distributivity  $(\vec{a} + \vec{b}) \cdot \vec{c} = \vec{a} \cdot \vec{c} + \vec{b} \cdot \vec{c}$



read off from graph

$$(\vec{a} + \vec{b}) \cdot \hat{c} = \vec{a} \cdot \hat{c} + \vec{b} \cdot \hat{c} \quad | \cdot c$$

$$(\vec{a} + \vec{b}) \cdot \vec{c} = \vec{a} \cdot \vec{c} + \vec{b} \cdot \vec{c}$$

3) bilinearity  $(\alpha \vec{a}) \cdot \vec{b} = \vec{a} \cdot (\alpha \vec{b}) = \alpha (\vec{a} \cdot \vec{b})$

$$\begin{aligned} \alpha > 0 \quad (\alpha \vec{a}) \cdot \vec{b} &= (\alpha a) b \cos \varphi = \alpha (a b \cos \varphi) = \alpha \vec{a} \cdot \vec{b} \\ &= a (\alpha b \cos \varphi) = \vec{a} \cdot (\alpha \vec{b}) \end{aligned}$$

$\alpha < 0$  : use that  $\angle(-\vec{a}, \vec{b}) = \pi - \varphi$

$$\begin{aligned}
(\alpha \vec{a}) \cdot \vec{b} &= |\alpha| a b \cos(\pi - \varphi) = -|\alpha| a b \cos \varphi \\
&= \alpha a b \cos \varphi = \alpha (a b \cos \varphi) = \alpha (\vec{a} \cdot \vec{b}) \\
&= a (\alpha b \cos \varphi) = \vec{a} \cdot (\alpha \vec{b})
\end{aligned}$$

4) magnitude (norm) of a vector

$$\vec{a} \cdot \vec{a} = a a \cos 0 = a^2 \geq 0$$

$\nwarrow$   
 $\cos 0 = 1$

use:  $\sqrt{\vec{a} \cdot \vec{a}} = a$  to calculate magnitude

$$\vec{a} \cdot \vec{a} = 0 \iff \vec{a} = 0$$

$$\hat{a} \cdot \hat{a} = 1 \quad \text{unitary vector}$$

5) Schwarz's inequality :  $|\vec{a} \cdot \vec{b}| \leq a b$   
clear from  $|\cos \varphi| \leq 1$

use (1-4) for a proof :

if  $\vec{a} = 0$  or  $\vec{b} = 0$  : trivial

now consider two nonzero vectors

$$\begin{aligned}
0 \leq (\vec{a} + \alpha \vec{b}) \cdot (\vec{a} + \alpha \vec{b}) &= a^2 + \alpha^2 b^2 + \alpha \vec{b} \cdot \vec{a} + \alpha \vec{a} \cdot \vec{b} \\
&= a^2 + \alpha^2 b^2 + 2\alpha \vec{a} \cdot \vec{b}
\end{aligned}$$

choose  $\alpha = -\frac{\vec{a} \cdot \vec{b}}{b^2}$

$$0 \leq a^2 + \frac{(\vec{a} \cdot \vec{b})^2 b^2}{b^4} - \frac{2(\vec{a} \cdot \vec{b})^2}{b^2} \quad | \cdot b^2$$

$$0 \leq a^2 b^2 - (\vec{a} \cdot \vec{b})^2$$



6) triangle inequality

$$|a-b| \leq |\vec{a} + \vec{b}| \leq a+b$$

use Schwarz's inequality :

$$-a \cdot b \leq \vec{a} \cdot \vec{b} \leq a \cdot b$$

$$a^2 + b^2 - 2ab \leq a^2 + b^2 + 2\vec{a} \cdot \vec{b} \leq a^2 + b^2 + 2ab$$

$$(a-b)^2 \leq (\vec{a} + \vec{b})^2 \leq (a+b)^2$$

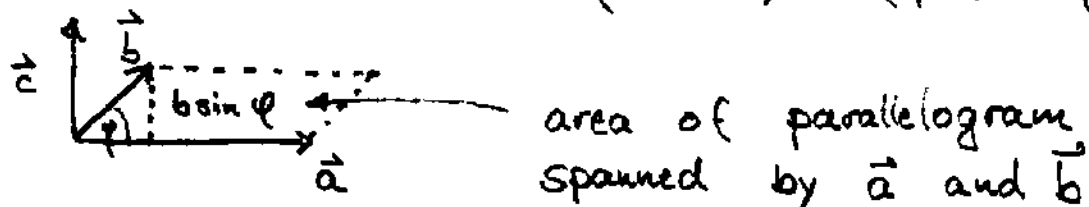
$$|a-b| \leq |\vec{a} + \vec{b}| \leq |a+b| = a+b \quad \checkmark$$

Vector (outer, cross) product

$$\vec{a}, \vec{b}, \vec{c} \in E_3, \quad \vec{a} \times \vec{b} = \vec{c}$$

Properties

a) magnitude  $c = ab \sin \varphi$ ,  $\angle(\vec{a}, \vec{b}) = \varphi$

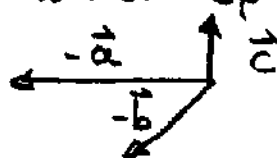


b) direction :  $\vec{c}$  is oriented perpendicular to the plane defined by  $\vec{a}$  and  $\vec{b}$

$(\vec{a}, \vec{b}, \vec{c})$  build a right-handed coordinate system

Note :  $\vec{a}, \vec{b}$  : ordinary vector ; polar vector  
 $\vec{c}$  : axial vector (does not change direction under space inversion)

$$(-\vec{a}) \times (-\vec{b}) = \vec{c}$$



Properties of the cross product:

a) anticommutative  $\vec{a} \times \vec{b} = -\vec{b} \times \vec{a}$

b)  $\vec{a} \times \vec{b} = 0$  if 1)  $a=0$  and/or  $b=0$   
 2)  $\vec{b} = \alpha \vec{a}$   
 (clear from  $c = ab \sin \varphi$ )

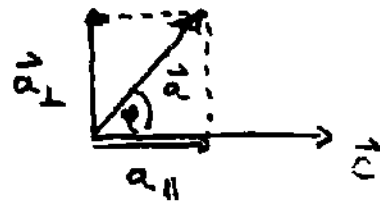
c) distributivity  $(\vec{a} + \vec{b}) \times \vec{c} = \vec{a} \times \vec{c} + \vec{b} \times \vec{c}$

For the proof we need two steps

1) decompose  $\vec{a}$  and  $\vec{b}$  into components parallel and perpendicular to  $\vec{c}$

$$\vec{a} = \vec{a}_{\parallel} + \vec{a}_{\perp}$$

$$\vec{a}_{\perp} \times \vec{c} = \vec{a} \times \vec{c}$$



holds because 1) right hand rule (direction)  
 2)  $|\vec{a}_{\perp} \times \vec{c}| = a_{\perp} c \sin \frac{\pi}{2} = a_{\perp} c$   
 $= (a \sin \varphi) c = a c \sin \varphi = |\vec{a} \times \vec{c}|$

→ only perpendicular components contribute without loss of generality

$$\vec{a} \perp \vec{c}, \vec{b} \perp \vec{c}$$

2) Special case of  $\vec{a} \perp \vec{c}$  and  $\vec{b} \perp \vec{c}$ :

$\vec{a} \times \vec{c}$  : a vector arising from  $\vec{a}$  by rotation around  $\vec{c}$  of  $\frac{\pi}{2}$  and with length  $ac$

$\vec{b} \times \vec{c}$  : same, but with length  $bc$

$(\vec{a} + \vec{b}) \times \vec{c}$  : same, but with length  $|\vec{a} + \vec{b}|c$

from geometry it follows for the (just) rotated vectors

$$\frac{1}{c} (\vec{a} \times \vec{c}) + \frac{1}{c} (\vec{b} \times \vec{c}) = \frac{1}{c} [(\vec{a} + \vec{b}) \times \vec{c}]$$

and with multiplication by  $c$ , the full proof.

d) bilinear for real numbers

$$(\alpha \vec{a}) \times \vec{b} = \vec{a} \times (\alpha \vec{b}) = \alpha (\vec{a} \times \vec{b})$$

$\alpha > 0$  clear from the definition (magnitude, direction)

$\alpha < 0$ : use the right hand rule to prove the direction properties

e) not associative

$$\vec{a} \times (\vec{b} \times \vec{c}) \neq (\vec{a} \times \vec{b}) \times \vec{c}$$

Higher Vector products

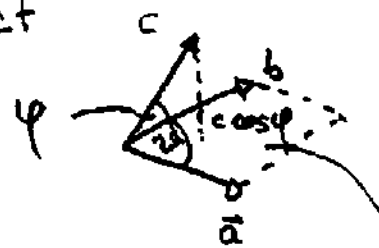
1) scalar triple product

$$V = (\vec{a} \times \vec{b}) \cdot \vec{c}$$

$$= F \cdot c \cos \psi$$

$$= abc \sin \vartheta \cos \psi$$

(Volume of parallelepiped)



$$F = |\vec{a} \times \vec{b}|$$

$$= ab \sin \vartheta$$

cyclic interchanges

$$V = (\vec{a} \times \vec{b}) \cdot \vec{c} = (\vec{b} \times \vec{c}) \cdot \vec{a} = (\vec{c} \times \vec{a}) \cdot \vec{b}$$

2) double vector product

$$\vec{a} \times (\vec{b} \times \vec{c}) = \vec{b} (\vec{a} \cdot \vec{c}) - \vec{c} (\vec{a} \cdot \vec{b}) \quad (\text{expansion rule})$$

3) Jacobi identity

$$\vec{a} \times (\vec{b} \times \vec{c}) + \vec{b} \times (\vec{c} \times \vec{a}) + \vec{c} \times (\vec{a} \times \vec{b}) = 0$$

### Basis vectors and component representation

Note: a) separate length and direction by

$$\vec{a} = a \hat{a}$$

b) consider two collinear vectors  $\vec{a}, \vec{b}$

$$\vec{a} = a \hat{a} = a \hat{b} = \frac{a}{b} b \hat{b} = \frac{a}{b} \vec{b}$$

$$\Leftrightarrow b \vec{a} - a \vec{b} = 0$$

$\vec{a}$  and  $\vec{b}$  are linear dependent if  $\exists \alpha, \beta :$

$$\alpha \vec{a} + \beta \vec{b} = 0$$

Definition :  $\{\vec{a}_1, \dots, \vec{a}_n\}$  Linearly independent if:

$$\sum_{j=1}^n \alpha_j \vec{a}_j = 0 \quad \Rightarrow \{\alpha_j\} = \{0, \dots, 0\}$$

Definition : Dimension of a vector space : maximal number of linearly independent vectors

Theorem: In a  $d$ -dimensional vector space each ensemble of  $d$  linear independent vectors build a basis, i.e. any other vector  $\vec{b} \in V$  can be expressed as a linear combination of these  $d$  vectors.

best choice for basis: unitary vectors, pairwise orthogonal:

$$\vec{e}_i \cdot \vec{e}_j = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases} \quad \text{Kronecker-Delta}$$

$$\forall \vec{a} \in V: \quad \vec{a} = \sum_{j=1}^d a_j \vec{e}_j$$

(every vector can be expressed as linear combination of unitary vectors)

$\rightarrow a_i$ : components of  $\vec{a}$ , projection on basis:

$$\vec{e}_i \cdot \vec{a} = \sum_j^d a_j \vec{e}_i \cdot \vec{e}_j = \sum_j^d a_j \delta_{ij} = a_i$$

column vector

row vector

$$\vec{a} = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_d \end{pmatrix}$$

$$\vec{a} = (a_1, a_2, \dots, a_d)$$

Example: Basis of  $E_3$   $\{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$

$$\vec{a} = a_1 \vec{e}_1 + a_2 \vec{e}_2 + a_3 \vec{e}_3$$

$$a_i = \vec{a} \cdot \vec{e}_i = a \cdot \cos \vartheta_i \quad (\text{directional cosine})$$

magnitude :  $a = \sqrt{\vec{a} \cdot \vec{a}} = \sqrt{\sum_i a_i \vec{e}_i \cdot \sum_j a_j \vec{e}_j}$   
 $= \sqrt{\sum_{i,j} a_i a_j \vec{e}_i \cdot \vec{e}_j} = \sqrt{\sum_j a_j^2}$

$$d=3$$

$$a = \sqrt{a_1^2 + a_2^2 + a_3^2}$$

$$\Rightarrow 1 = \sqrt{\frac{a_1^2}{a^2} + \frac{a_2^2}{a^2} + \frac{a_3^2}{a^2}} = \sqrt{\cos^2 \vartheta_1 + \cos^2 \vartheta_2 + \cos^2 \vartheta_3}$$

$$\cos \vartheta_1 = \sqrt{1 - \cos^2 \vartheta_2 - \cos^2 \vartheta_3}$$

Component representation

a) special vectors  $\vec{0} = (0, 0, 0)$  null vector  
 $\vec{e}_1 = (1, 0, 0)$   
 $\vec{e}_2 = (0, 1, 0)$   
 $\vec{e}_3 = (0, 0, 1)$  } Basis vectors

b) Addition  $\vec{c} = \vec{a} + \vec{b} = \sum_i a_i \vec{e}_i + \sum_i b_i \vec{e}_i$   
 $= \sum_i (a_i + b_i) \vec{e}_i = \sum_i c_i \vec{e}_i$

$$\Rightarrow c_i = a_i + b_i$$

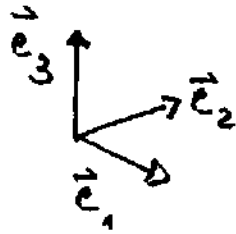
$$\vec{c} = (a_1 + b_1, a_2 + b_2, a_3 + b_3)$$

c) Multiplication with real numbers  
 $\vec{b} = \alpha \vec{a} = \alpha \sum_{i=1}^d a_i \vec{e}_i = \sum_i \alpha a_i \vec{e}_i$

$$b_i = \alpha a_i, \quad \vec{b} = (\alpha a_1, \alpha a_2, \alpha a_3)$$

d) Scalar product  $\vec{a} \cdot \vec{b} = \sum_{i,j} a_i b_j \underbrace{\vec{e}_i \cdot \vec{e}_j}_{\delta_{ij}} = \sum_i a_i b_i$

e) Vector product : right handed coordinate system  
orthogonal basis vectors



$$\begin{aligned} \vec{e}_1 \times \vec{e}_2 &= \vec{e}_3 \\ \vec{e}_2 \times \vec{e}_3 &= \vec{e}_1 \\ \vec{e}_3 \times \vec{e}_1 &= \vec{e}_2 \end{aligned}$$

$$\vec{e}_i \cdot (\vec{e}_j \times \vec{e}_k) = \begin{cases} 1 & \text{if } (i,j,k) \text{ cyclic permutation of } (1,2,3) \\ -1 & \text{if } (i,j,k) \text{ anticyclic permutation of } (1,2,3) \\ 0 & \text{otherwise} \end{cases}$$

$$\epsilon_{ijk} = \vec{e}_i \cdot (\vec{e}_j \times \vec{e}_k) \quad \text{fully antisymmetric tensor of third rank}$$

$$\vec{e}_i \times \vec{e}_j = \sum_{k=1}^3 \epsilon_{ijk} \vec{e}_k \quad (\text{to be checked from relations above})$$

consider:

$$\begin{aligned} \vec{e}_i \cdot (\vec{e}_i \times \vec{e}_j) &= \vec{e}_i \cdot \sum_k \epsilon_{ijk} \vec{e}_k = \sum_k \epsilon_{ijk} \frac{\vec{e}_i \cdot \vec{e}_k}{\delta_{ik}} = \epsilon_{ijl} \\ &= -\epsilon_{ilj} = \epsilon_{lij} \end{aligned}$$

(antisymmetric)

$$\epsilon_{iik} = \epsilon_{iki} = \epsilon_{kii} = 0 \quad \forall i, k$$

convolution

$$\sum_j \epsilon_{ikj} \epsilon_{jlm} = \delta_{il} \delta_{km} - \delta_{im} \delta_{kl}$$

$$\vec{c} = \vec{a} \times \vec{b} = \sum_{ij} a_i b_j \vec{e}_i \times \vec{e}_j = \sum_{ijk} a_i b_j \epsilon_{ijk} \vec{e}_k$$

$$c_k = \sum_{ij=1}^3 a_i b_j \epsilon_{ijk}$$

$$\vec{a} \times \vec{b} = \begin{vmatrix} \vec{e}_1 & \vec{e}_2 & \vec{e}_3 \\ a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{vmatrix}$$

f) Scalar Triple Product

$$\begin{aligned}\vec{a} \cdot (\vec{b} \times \vec{c}) &= \sum_{ijk} a_i b_j c_k \vec{e}_i \cdot (\vec{e}_j \times \vec{e}_k) \\ &= \sum_{ijk} a_i b_j c_k \epsilon_{ijk}\end{aligned}$$

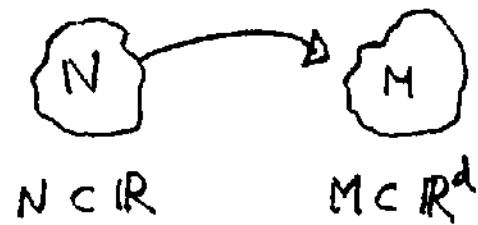
g) Double Vector Product

$$\begin{aligned}[\vec{a} \times (\vec{b} \times \vec{c})]_k &= \sum_{ij} a_i [\vec{b} \times \vec{c}]_j \epsilon_{ijk} \\ &= \sum_{ij} a_i b_l c_m \underbrace{\epsilon_{lmj}}_{\epsilon_{lmj}} \epsilon_{ijk} \\ &= \sum_{ilm} a_i b_l c_m \sum_j \epsilon_{lmj} \underbrace{\epsilon_{ijk}}_{\epsilon_{ijk} + \epsilon_{jki}} \\ &= \sum_{ilm} a_i b_l c_m (\delta_{llk} \delta_{mi} - \delta_{li} \delta_{mk}) \\ &= \sum_i a_i b_k c_i - \sum_i a_i b_i c_k \\ &= b_k (\vec{a} \cdot \vec{c}) - c_k (\vec{a} \cdot \vec{b}) \\ \vec{a} \times (\vec{b} \times \vec{c}) &= \vec{b} (\vec{a} \cdot \vec{c}) - \vec{c} (\vec{a} \cdot \vec{b})\end{aligned}$$

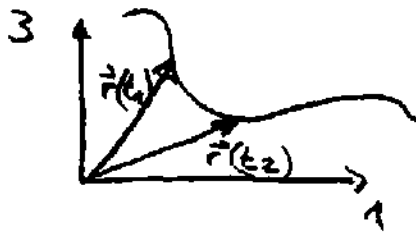


Vector valued functions :  $\vec{r}(t)$

$t \in N : t \mapsto \vec{r}(t) \in M$



example : space curve



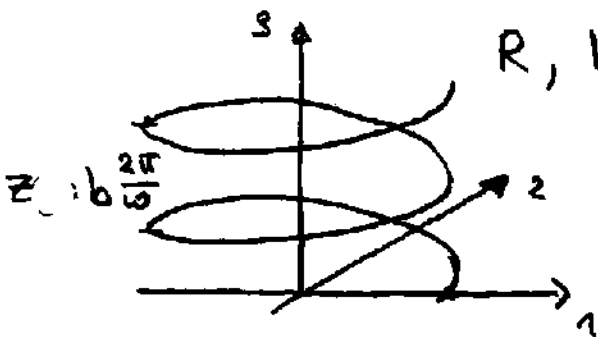
$$\vec{r}(t) = \sum_{i=1}^3 r_i(t) \vec{e}_i$$

$\{\vec{e}_i\}$  time independent orthogonal basis

Example : Helical line

$$\vec{r}(t) = (R \cos(\omega t), R \sin(\omega t), bt)$$

$R, b, \omega$  : constants



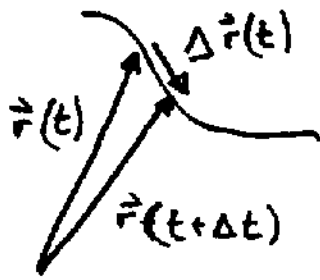
$z_0$  pitch of skew

Continuity of path lines (compare continuity of functions  $\mathbb{R} \rightarrow \mathbb{R}$ )

$\vec{r}(t)$  is continuous at  $t_0$  if for each  $\epsilon > 0$  exists a  $\delta(\epsilon, t_0)$  such that for  $|t - t_0| < \delta$  is always valid  $|\vec{r}(t) - \vec{r}(t_0)| < \epsilon$

$\rightarrow \vec{r}(t)$  is continuous if all component functions are continuous in the ordinary sense.

# Differentiation of Vector-valued functions



$$\Delta \vec{r}(t) = \vec{r}(t+\Delta t) - \vec{r}(t)$$

derivative (of position vector)

$$\begin{aligned} \dot{\vec{r}}(t) &= \frac{d\vec{r}(t)}{dt} = \lim_{\Delta t \rightarrow 0} \frac{\Delta \vec{r}(t)}{\Delta t} \\ &= \lim_{\Delta t \rightarrow 0} \frac{\vec{r}(t+\Delta t) - \vec{r}(t)}{\Delta t} \\ &= \dot{\vec{v}}(t) \quad (\text{velocity}) \end{aligned}$$

higher derivatives

$$\frac{d^n \vec{r}(t)}{dt^n} = \sum_{i=1}^3 \frac{d^n r_i(t)}{dt^n} \vec{e}_i \quad (\text{component notation})$$

$$\ddot{\vec{r}}(t) = \dot{\vec{v}}(t) = \vec{a}(t) \quad (\text{acceleration})$$

Calculation rules (follow immediately from component representation)

$$1) \quad \frac{d}{dt} [\vec{a}(t) + \vec{b}(t)] = \frac{d\vec{a}(t)}{dt} + \frac{d\vec{b}(t)}{dt} = \dot{\vec{a}}(t) + \dot{\vec{b}}(t)$$

$$2) \quad \frac{d}{dt} [f(t) \vec{a}(t)] = \frac{df(t)}{dt} \vec{a}(t) + f(t) \frac{d\vec{a}(t)}{dt}$$

$$3) \quad \frac{d}{dt} [\vec{a}(t) \cdot \vec{b}(t)] = \dot{\vec{a}}(t) \cdot \vec{b}(t) + \vec{a}(t) \cdot \dot{\vec{b}}(t)$$

$$4) \quad \frac{d}{dt} [\vec{a}(t) \times \vec{b}(t)] = \dot{\vec{a}}(t) \times \vec{b}(t) + \vec{a}(t) \times \dot{\vec{b}}(t)$$

Note: From 3 follows: (unitary vector)

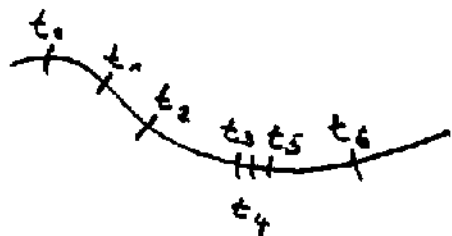
$$0 = \frac{d}{dt} [\hat{a}(t) \cdot \hat{a}(t)] = 2 \hat{a}(t) \cdot \dot{\hat{a}}(t)$$

$$\hat{a}(t) \perp \frac{d\hat{a}(t)}{dt}$$

## Arc Length

Definition : smooth space curve, if there exists at least one continuously differentiable curve  $\vec{r}(t)$  for which we have no point with  $\frac{d\vec{r}(t)}{dt} = 0$

→ use "arc length" as parametrization



$$L_N(t_a, t_b) = \sum_{n=0}^{N-1} |\vec{r}(t_{n+1}) - \vec{r}(t_n)|$$

$$= \sum_{n=0}^{N-1} \frac{|\vec{r}(t_{n+1}) - \vec{r}(t_n)|}{\Delta t} \Delta t$$

with  $\lim_{\Delta t \rightarrow 0} \frac{\vec{r}(t_{n+1}) - \vec{r}(t_n)}{\Delta t} = \left. \frac{d\vec{r}}{dt} \right|_{t=t_n}$

sum becomes Riemann Integral

$$s(t_b) = \int_{t_a}^{t_b} \left| \frac{d\vec{r}(t')}{dt'} \right| dt' = \int_{t_a}^{t_b} dt' |\vec{v}(t')|$$

consider :

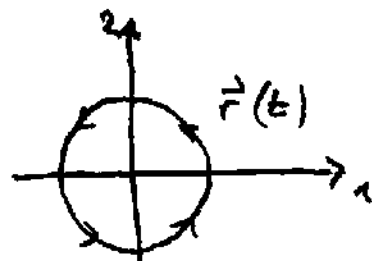
$$\frac{ds}{dt} = \left| \frac{d\vec{r}}{dt} \right| > 0$$

$s(t)$  monotonously increasing, can be inverted  $t(s)$

$$\vec{r}(t) = \vec{r}(t(s)) = \vec{r}(s)$$

Example : Circular motion

$$\vec{r}(t) = R (\cos \omega t, \sin \omega t, 0)$$



$$\frac{d\vec{r}}{dt} = R\omega (-\sin(\omega t), \cos(\omega t), 0)$$

$$\left| \frac{d\vec{r}}{dt} \right| = R\omega, \quad s(t) = \int_0^t R\omega dt = R\omega t$$

$$\Rightarrow t(s) = \frac{s}{R\omega}$$

$$\vec{r}(s) = R \left( \cos\left(\frac{s}{R}\right), \sin\left(\frac{s}{R}\right), 0 \right)$$

20.10.2016

## Fields

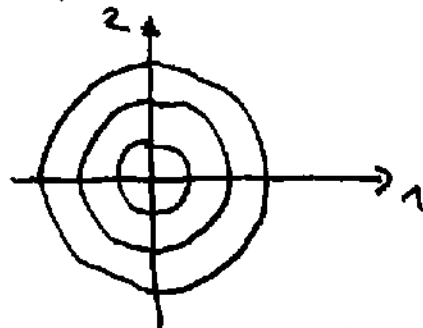
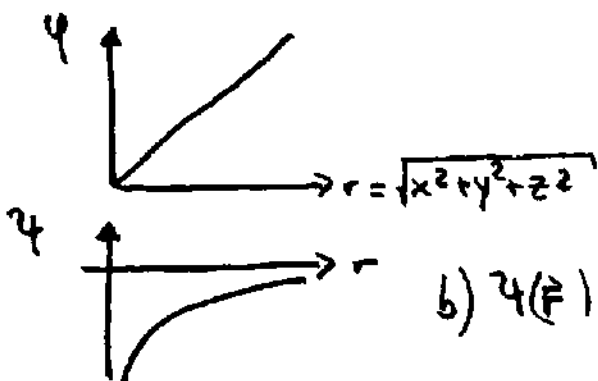
Examples :   
 gravitational field (static)   
 electric field } charged particle   
 magnetic field } (can be dynamic)

1) Scalar field  $A(\vec{r})$  is a scalar

$$M \subset \mathbb{R}^3 \rightarrow N \subset \mathbb{R}$$

$$\vec{r} \rightarrow A$$

examples a)  $\varphi(\vec{r}) = \alpha r = \alpha |\vec{r}|$



contour lines

$$b) \varphi(\vec{r}) = -\frac{\beta}{r}$$

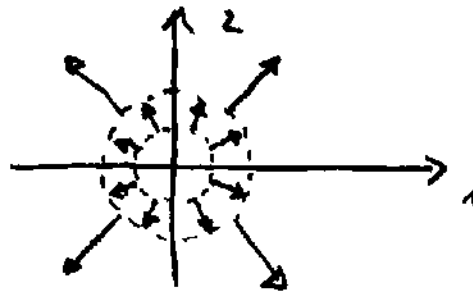
2) Vector fields  $\vec{A}(\vec{r})$

$$M \subset \mathbb{R}^3 \rightarrow N \subset \mathbb{R}^3$$

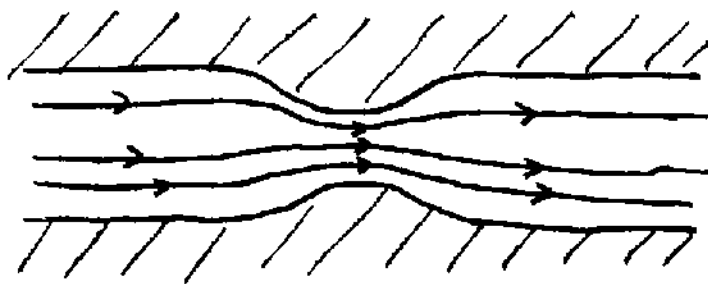
$$\vec{r} \rightarrow \vec{A}$$

examples :

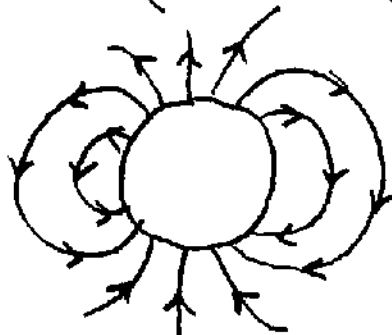
a)  $\vec{A}(\vec{r}) = \alpha \vec{r}$   
 $\alpha > 0$



b) velocity field of a liquid:



c) magnetic field of the earth



Continuity :

1) scalar field is continuous <sup>at  $\vec{r}_0$</sup>  if for each  $\epsilon$  exists  $\delta > 0$  such that for all  $\vec{r}$  with  $|\vec{r} - \vec{r}_0| < \delta$  holds  $|\varphi(\vec{r}) - \varphi(\vec{r}_0)| < \epsilon$

2) vector field is continuous at  $\vec{r}_0$  if all components are continuous at  $\vec{r}_0$  :  $\vec{A}(\vec{r}) = \sum_i A_i \vec{e}_i$

Partial derivatives

 $\varphi(x_1, x_2, x_3)$ 

derivative along path (holding other variables fixed)

$$\left. \frac{\partial \varphi}{\partial x_1} \right|_{x_2, x_3} = \lim_{\Delta x_1 \rightarrow 0} \frac{\varphi(x_1 + \Delta x_1, x_2, x_3) - \varphi(x_1, x_2, x_3)}{\Delta x_1}$$

$$= \partial_{x_1} \varphi = \partial_1 \varphi$$

$$\left. \frac{\partial \varphi}{\partial x_2} \right|_{x_1, x_3} = \lim_{\Delta x_2 \rightarrow 0} \frac{\varphi(x_1, x_2 + \Delta x_2, x_3) - \varphi(x_1, x_2, x_3)}{\Delta x_2}$$

Examples:

$$\varphi(\vec{r}) = r$$

$$\varphi(x_1, x_2, x_3) = \sqrt{x_1^2 + x_2^2 + x_3^2}$$

$$\frac{\partial \varphi}{\partial x_j} = \frac{\partial}{\partial x_j} \sqrt{x_1^2 + x_2^2 + x_3^2} = \frac{2x_j}{2r} = \frac{x_j}{r}$$

$$\vec{a}(\vec{r}) = \alpha \vec{r}$$

$$\frac{\partial \vec{a}}{\partial x_j} = \alpha \frac{\partial}{\partial x_j} (x_1 \vec{e}_1 + x_2 \vec{e}_2 + x_3 \vec{e}_3) = \alpha \vec{e}_j$$

Calculation rules

$$\partial_i (\varphi_1 + \varphi_2) = \partial_i \varphi_1 + \partial_i \varphi_2$$

$$\partial_i (\vec{a} \cdot \vec{b}) = (\partial_i \vec{a}) \cdot \vec{b} + \vec{a} \cdot (\partial_i \vec{b})$$

$$\partial_i (\vec{a} \times \vec{b}) = (\partial_i \vec{a}) \times \vec{b} + \vec{a} \times (\partial_i \vec{b})$$

Multiple partial derivatives

$$\frac{\partial^2 \varphi}{\partial x_i^2} = \frac{\partial}{\partial x_i} \frac{\partial \varphi}{\partial x_i}, \quad \frac{\partial^n \varphi}{\partial x_i^n} = \frac{\partial}{\partial x_i} \left( \frac{\partial^{n-1} \varphi}{\partial x_i^{n-1}} \right)$$

mixed partial derivatives

$$\frac{\partial^2 \varphi}{\partial x_i \partial x_j} = \frac{\partial}{\partial x_i} \left( \frac{\partial \varphi}{\partial x_j} \right) \stackrel{\substack{\text{continuous partial derivatives} \\ \text{to second order}}}{=} \frac{\partial}{\partial x_j} \left( \frac{\partial \varphi}{\partial x_i} \right) = \frac{\partial^2 \varphi}{\partial x_j \partial x_i}$$

Total differential of a function

Note: chain rule for ordinary function  $f[x(t)]$

$$\frac{df}{dt} = \frac{df}{dx} \frac{dx}{dt}$$

Similar for different parameters  $t_i$   $\varphi(x_1(t_i), x_2(t_i), x_3(t_i))$

$$\frac{d\varphi}{dt_1} = \frac{d\varphi}{dx_1} \frac{dx_1}{dt_1}$$

Usually: all components depend on the same parameter  $t$

$$\varphi(\vec{r}(t)) = \varphi(x_1(t), x_2(t), x_3(t))$$

difference quotient

$$D = \frac{\varphi(x_1(t+\Delta t), x_2(t+\Delta t), x_3(t+\Delta t)) - \varphi(x_1(t), x_2(t), x_3(t))}{\Delta t}$$

$$= \frac{1}{\Delta t} \left[ \varphi(x_1(t+\Delta t), x_2(t+\Delta t), x_3(t+\Delta t)) - \varphi(x_1(t), x_2(t+\Delta t), x_3(t+\Delta t)) \right. \\ \left. + \varphi(x_1(t), x_2(t+\Delta t), x_3(t+\Delta t)) - \varphi(x_1(t), x_2(t), x_3(t+\Delta t)) \right. \\ \left. + \varphi(x_1(t), x_2(t), x_3(t+\Delta t)) - \varphi(x_1(t), x_2(t), x_3(t)) \right]$$

$$D = \frac{1}{\Delta x_1} [\psi(x_1 + \Delta x_1, x_2 + \Delta x_2, x_3 + \Delta x_3) - \psi(x_1, x_2 + \Delta x_2, x_3 + \Delta x_3)]$$

$$+ \frac{1}{\Delta x_2} [\psi(x_1, x_2 + \Delta x_2, x_3 + \Delta x_3) - \psi(x_1, x_2, x_3 + \Delta x_3)] \frac{\Delta x_2}{\Delta t} \times \frac{\Delta x_1}{\Delta t}$$

$$+ \frac{1}{\Delta x_3} [\psi(x_1, x_2, x_3 + \Delta x_3) - \psi(x_1, x_2, x_3)] \frac{\Delta x_3}{\Delta t}$$

take limit

$$\lim_{\Delta t \rightarrow 0} D = \frac{\partial \psi}{\partial x_1} \frac{dx_1}{dt} + \frac{\partial \psi}{\partial x_2} \frac{dx_2}{dt} + \frac{\partial \psi}{\partial x_3} \frac{dx_3}{dt}$$

$$= \frac{d\psi}{dt} \quad (\text{total derivative})$$

$$d\psi = \sum_{i=1}^3 \frac{\partial \psi}{\partial x_i} dx_i \quad (\text{total differential of } \psi)$$

Gradient, Divergence and Curl (Rotation)

$$\vec{\nabla} \psi(\vec{r}) = \left( \frac{\partial \psi}{\partial x_1}, \frac{\partial \psi}{\partial x_2}, \frac{\partial \psi}{\partial x_3} \right) = \sum_{j=1}^3 \frac{\partial \psi}{\partial x_j} \vec{e}_j$$

$$\vec{\nabla} = \left( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}, \frac{\partial}{\partial x_3} \right) \quad \text{Nabla operator}$$

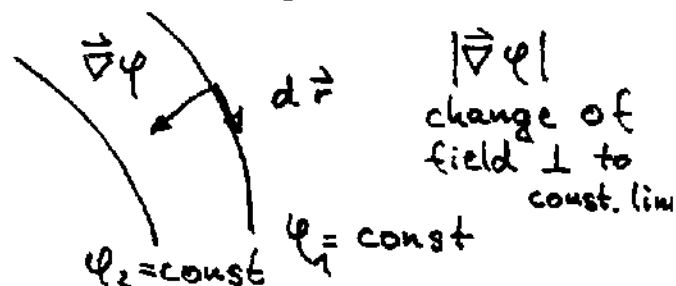
rewrite total differential:

$$d\psi = \vec{\nabla} \psi \cdot d\vec{r}$$

$$d\vec{r} = \sum_i dx_i \vec{e}_i$$

$d\psi = 0$  along lines of constant field

$$\Rightarrow \vec{\nabla} \psi \perp d\vec{r}$$





$$\vec{\nabla} (\psi_1 + \psi_2) = \vec{\nabla} \psi_1 + \vec{\nabla} \psi_2$$

$$\vec{\nabla} (\psi_1 \psi_2) = (\vec{\nabla} \psi_1) \psi_2 + \psi_1 (\vec{\nabla} \psi_2) = \psi_2 \vec{\nabla} \psi_1 + \psi_1 \vec{\nabla} \psi_2$$

Examples

$$\vec{\nabla} (\vec{a} \cdot \vec{r}) = \vec{\nabla} \sum_j a_j x_j = \sum_{i,j} a_j \vec{e}_i \underbrace{\frac{\partial x_i}{\partial x_j}}_{\delta_{ij}} = \sum_i a_i \vec{e}_i = \vec{a}$$

$$\vec{\nabla} r = \sum_i \vec{e}_i \frac{\partial r}{\partial x_i} = \sum_i \vec{e}_i \frac{x_i}{r} = \frac{1}{r} \sum_i x_i \vec{e}_i = \hat{r}$$

$$\vec{\nabla} \cdot \vec{a}(\vec{r}) = \sum_j \frac{\partial a_j}{\partial x_j} = \text{div } \vec{a} \quad \begin{array}{l} \text{divergence} \\ \text{(source field)} \end{array}$$

Example

$$\vec{\nabla} \cdot \vec{r} = \sum_i \frac{\partial x_i}{\partial x_i} = 3$$

$$\vec{\nabla} \cdot (\vec{a} + \vec{b}) = \vec{\nabla} \cdot \vec{a} + \vec{\nabla} \cdot \vec{b}$$

$$\vec{\nabla} \cdot (\alpha \vec{a}) = \alpha \vec{\nabla} \cdot \vec{a} \quad \alpha: \text{constant}$$

$$\vec{\nabla} \cdot (\psi \vec{a}) = \psi \vec{\nabla} \cdot \vec{a} + \vec{a} \cdot \vec{\nabla} \psi$$

Divergence of gradient field

$$\vec{\nabla} \cdot \vec{\nabla} \psi = \text{div} (\text{grad } \psi) = \sum_{i=1}^3 \frac{\partial^2 \psi}{\partial x_i^2} = \Delta \psi$$

(Laplace operator)

$$\text{rot } \vec{a} = \vec{\nabla} \times \vec{a} = \sum_{ijk} \epsilon_{ijk} \partial_i a_j(\vec{r}) \vec{e}_k$$

(curl or rotation  
of field  $\vec{a}$ )

Calculation rules

$$\vec{\nabla} \times (\vec{a} + \vec{b}) = \vec{\nabla} \times \vec{a} + \vec{\nabla} \times \vec{b}$$

$$\vec{\nabla} \times (\alpha \vec{a}) = \alpha \vec{\nabla} \times \vec{a} \quad \alpha: \text{const}$$

$$\begin{aligned} \vec{\nabla} \times (\psi \vec{a}) &= \psi \vec{\nabla} \times \vec{a} + (\vec{\nabla} \psi) \times \vec{a} \\ &= \psi \vec{\nabla} \times \vec{a} - \vec{a} \times \vec{\nabla} \psi \end{aligned}$$

$$\vec{\nabla} \times (\vec{\nabla} \psi) = \sum_{ijk} \epsilon_{ijk} \partial_i (\partial_j \psi) \vec{e}_k$$

$$= \sum_{ijk} \frac{1}{2} (\epsilon_{ijk} - \epsilon_{jik}) (\partial_i \partial_j \psi) \vec{e}_k$$

$$\begin{aligned} \xrightarrow{\partial_i \partial_j \psi = \partial_j \partial_i \psi} &= \frac{1}{2} \sum_{ijk} \epsilon_{ijk} (\partial_i \partial_j \psi) \vec{e}_k - \frac{1}{2} \sum_{ijk} \epsilon_{jik} (\partial_j \partial_i \psi) \vec{e}_k \\ &= 0 \end{aligned}$$

(gradient fields are curl-free)

$$\vec{\nabla} \cdot (\vec{\nabla} \times \vec{a}) = \sum_i \partial_i \sum_{jk} \epsilon_{jki} \partial_j a_k = \sum_{ijk} \epsilon_{jki} (\partial_i \partial_j a_k)$$

$$= \sum_{ijk} \frac{1}{2} (\epsilon_{jki} - \epsilon_{ikj}) \underbrace{(\partial_i \partial_j a_k)}_{= \partial_j \partial_i a_k}$$

$$= \sum_{ijk} \frac{1}{2} (\epsilon_{jki} - \epsilon_{jki}) \partial_i \partial_j a_k = 0$$

(curl fields are source free)

$$\vec{\nabla} \times [f(r) \vec{r}] = \sum_{ijk} \epsilon_{ijk} \partial_i [f(r) x_j] \vec{e}_k$$

$$\begin{aligned} &= \sum_{ijk} \epsilon_{ijk} \left[ f'(r) \frac{x_i x_j}{r} + f(r) \delta_{ij} \right] \vec{e}_k \\ \xrightarrow{\epsilon_{ijk} = -\epsilon_{jik}} &= 0 \end{aligned}$$

(central forces are curl free)

## Matrices and Determinants

Matrix: rectangular array of numbers

$$A = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & & & \vdots \\ \vdots & & & \vdots \\ a_{m1} & \dots & \dots & a_{mn} \end{pmatrix}$$

Definition A and B are identical  $= (a_{ij})$   
if  $a_{ij} = b_{ij} \quad \forall i, j$

Special matrices:

- 1) quadratic matrix  $n = m$
- 2) row vector  $m = 1$   
column vector  $n = 1$
- 3) zero matrix  $a_{ij} = 0$
- 4) symmetric matrix  $a_{ij} = a_{ji}$
- 5) diagonal matrix  $a_{ij} = a_i \delta_{ij}$   
unitary matrix  $a_{ij} = \delta_{ij}, A = \mathbb{1}$
- 6) transposed matrix  $A^T: a_{ij}^T = a_{ji}$

Definition: rank of a matrix  
maximal number of linearly independent  
row / column vectors

Calculation rules

- a) Addition  $C = A + B$   $c_{ij} = a_{ij} + b_{ij}$
- b) multiplication with real numbers  $B = \lambda A$   $b_{ij} = \lambda a_{ij}$

## Matrix multiplication

A : (m x n) matrix

C = A B (m x r) matrix

B : (n x r) matrix

$$C_{ij} = \sum_k a_{ik} b_{kj}$$

$$\begin{pmatrix} c_{11} & c_{12} & \dots & c_{1r} \\ \vdots & & & \\ c_{m1} & \dots & & c_{mr} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ \vdots & & & \\ a_{m1} & \dots & & a_{mn} \end{pmatrix} \begin{pmatrix} b_{11} & \dots & b_{1r} \\ b_{21} & & \vdots \\ \vdots & & \vdots \\ b_{n1} & \dots & b_{nr} \end{pmatrix}$$

special case  $m=1, r=1$

$$(a_1, a_2, \dots, a_n) \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix} = \sum_{i=1}^n a_i b_i = \vec{a}^T \vec{b}$$

(scalar product)

Note: multiplication is not commutative

$$A B \neq B A$$

clear for  $m \neq r$ , not def  
for  $m=r$ : product  
could be (m x m) or (n x n)

inverse matrix:

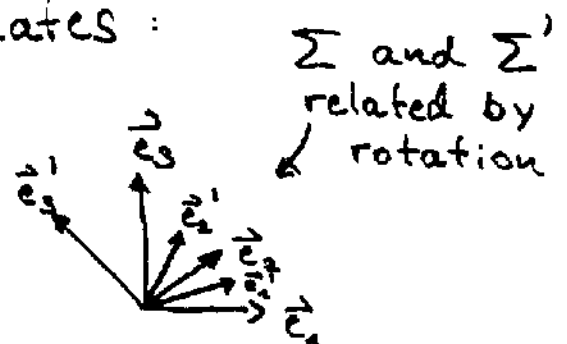
$$A^{-1} A = A A^{-1} = \mathbb{1} = \delta_{ij}$$

Transformation of coordinates:

two coordinate systems

$$\Sigma \quad \{ \vec{e}_1, \vec{e}_2, \vec{e}_3 \}$$

$$\Sigma' \quad \{ \vec{e}'_1, \vec{e}'_2, \vec{e}'_3 \}$$



position vector identical in  $\Sigma$  and  $\Sigma'$

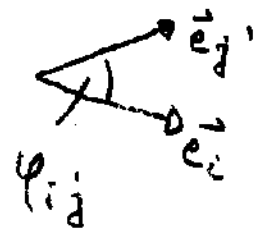
$$\vec{r} = \sum_{i=1}^3 x_i \vec{e}_i = \sum_{i=1}^3 x_i' \vec{e}_i' \quad | \cdot \vec{e}_j'$$

want to calculate components  $x_i'$

$$x_i' = \sum_{j=1}^3 x_j \vec{e}_j \cdot \vec{e}_i' \quad \vec{e}_i' \cdot \vec{e}_j' = \delta_{ij}$$

$$= \sum_j x_j \cos \varphi_{ij}$$

$$= \sum_j d_{ij} x_j$$



$$\vec{r}_{\Sigma'} = D \vec{r}_{\Sigma}$$

$D$  describes rotation

$$\vec{e}_i' = \sum_j d_{ij} \vec{e}_j$$

$d_{ij}$  : components of  $\vec{e}_i'$  in  $\Sigma$

$$\delta_{ij} = \vec{e}_i' \cdot \vec{e}_j' = \sum_{km} d_{ik} d_{jm} \vec{e}_k \cdot \vec{e}_m$$

$$= \sum_k d_{ik} d_{jk} \quad (\text{rows of } D \text{ are orthonormalized})$$

Introduce inverse matrix  $D^{-1}$  with

$$D^{-1} D = D D^{-1} = \mathbb{1}, \quad \text{apply } D^{-1} :$$

$$D^{-1} \vec{r}_{\Sigma'} = D^{-1} D \vec{r}_{\Sigma} = \mathbb{1} \vec{r}_{\Sigma} = \vec{r}_{\Sigma}$$

$D^{-1}$  describes back rotation

Calculate components in  $\Sigma$ : multiply with  $\vec{e}_j$

$$x_i = \sum_{j=1}^3 x'_j \underbrace{\vec{e}'_j \cdot \vec{e}_i}_{\vec{e}_i \cdot \vec{e}'_j = \cos \varphi_{ji} = d_{ji}} = \sum_{j=1}^3 d_{ji} x'_j$$

$$= \sum_{j=1}^3 d_{ij}^T x'_j \quad (\text{need transpose matrix})$$

comparison yields  $D^{-1} = D^T$  (orthogonal matrix)

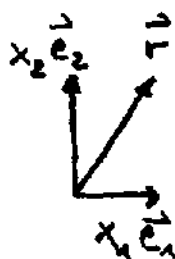
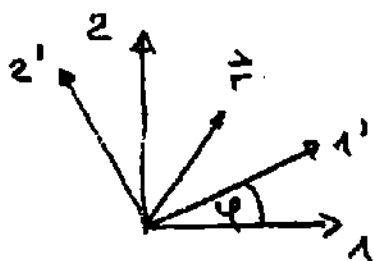
use  $1 = D^{-1} D = D^T D$

$$\delta_{ij} = \sum_{k=1}^3 d_{ik}^T d_{kj} = \sum_{k=1}^3 d_{ki} d_{kj}$$

(columns are orthonormalized)

27.10.2016

Example: rotation around z-axis



geometry

$$x_1 \vec{e}_1 = x_1 \cos \varphi \vec{e}'_1 - x_1 \sin \varphi \vec{e}'_2$$

$$x_2 \vec{e}_2 = x_2 \sin \varphi \vec{e}'_1 + x_2 \cos \varphi \vec{e}'_2$$

calculate  $\vec{r} = x_1 \vec{e}_1 + x_2 \vec{e}_2 = (x_1 \cos \varphi + x_2 \sin \varphi) \vec{e}'_1 + (-x_1 \sin \varphi + x_2 \cos \varphi) \vec{e}'_2$

$$\Rightarrow x'_1 = x_1 \cos \varphi + x_2 \sin \varphi$$

$$x'_2 = -x_1 \sin \varphi + x_2 \cos \varphi$$

read off angles between basis vectors

$$d_{11} = \vec{e}'_1 \cdot \vec{e}_1 = \cos \varphi$$

$$d_{12} = \vec{e}'_1 \cdot \vec{e}_2 = \cos \left( \frac{\pi}{2} - \varphi \right) = \sin \varphi$$

$$d_{21} = \vec{e}_2' \cdot \vec{e}_1 = \cos\left(\frac{\pi}{2} + \varphi\right) = -\sin \varphi$$

$$d_{22} = \vec{e}_2' \cdot \vec{e}_2 = \cos \varphi$$

$$d_{31} = d_{13} = 0$$

$$d_{23} = d_{32} = 0$$

$$d_{33} = 1$$

$$\Rightarrow D = \begin{pmatrix} \cos \varphi & \sin \varphi & 0 \\ -\sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\vec{r}_{\Sigma'} = D \vec{r}_{\Sigma}$$

yields above equations and

$$x_3' = x_3$$

### Determinants

$$A = (a_{ij}) = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & & & \vdots \\ \vdots & & & \\ a_{n1} & \dots & \dots & a_{nn} \end{pmatrix} \quad (n \times n) \text{ matrix}$$

Definition:

$$\det A = \begin{vmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & & & \vdots \\ \vdots & & & \\ a_{n1} & \dots & \dots & a_{nn} \end{vmatrix} = \sum_P \text{sign } P \cdot a_{1P(1)} a_{2P(2)} \dots a_{nP(n)}$$

sign of permutation  
 $\downarrow$   
 sign P

permutation of  $1 \dots n$ :  $[P(1), P(2), \dots, P(n)] = P(1, 2, \dots, n)$

sequence of numbers

$$n=1 \quad \det A = |a_{11}| = a_{11}$$

$$n=2 \quad (1, 2) : \text{two permutations} \quad [1, 2], \text{sign}(P)=1$$

$$[2, 1], \text{sign}(P)=-1$$

$$\det A = 1 \cdot a_{11} a_{22} - 1 \cdot a_{12} a_{21}$$

$$= a_{11} a_{22} - a_{12} a_{21}$$

$n=3$        $\det A = a_{11}(a_{22}a_{33} - a_{23}a_{32})$   
 $3! = 3 \cdot 2 \cdot 1 = 6$   
 terms  
 $+ a_{12}(-a_{21}a_{33} + a_{23}a_{31})$   
 $+ a_{13}(a_{21}a_{32} - a_{22}a_{31})$   
 $= a_{11} \begin{vmatrix} a_{22} & a_{23} \\ a_{32} & a_{33} \end{vmatrix} - a_{12} \begin{vmatrix} a_{21} & a_{23} \\ a_{31} & a_{33} \end{vmatrix}$   
 $+ a_{13} \begin{vmatrix} a_{21} & a_{22} \\ a_{31} & a_{32} \end{vmatrix}$   
 $= \sum_{ijk} \epsilon_{ijk} a_{1i} a_{2j} a_{3k}$

Expansion with respect to row  $i$ :

$$\det A = \sum_{j=1}^n a_{ij} (-1)^{i+j} \det A_{ij}$$

Want: row with zeros!  
 sub determinant of  $(n-1) \times (n-1)$  matrix  
 with row  $i$ , column  $j$  eliminated  
 algebraic complement

Calculation rules

1)  $\begin{vmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ \alpha a_{i1} & \dots & \alpha a_{in} \\ \vdots & & \vdots \\ a_{n1} & \dots & a_{nn} \end{vmatrix} = \alpha \begin{vmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{i1} & \dots & a_{in} \\ \vdots & & \vdots \\ a_{n1} & \dots & a_{nn} \end{vmatrix}$

multiplication of one row with number

$\Rightarrow \det(\alpha A) = \alpha^n \det A$



2) addition of two rows

$$\begin{vmatrix} a_{11} + b_{11} & \dots & a_{1n} + b_{1n} \\ \vdots & & \vdots \\ a_{1n} & \dots & a_{nn} \end{vmatrix} = \begin{vmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{1n} & \dots & a_{nn} \end{vmatrix} + \begin{vmatrix} b_{11} & \dots & b_{1n} \\ \vdots & & \vdots \\ a_{1n} & \dots & a_{nn} \end{vmatrix}$$

3) permutation of 2 rows changes sign

4) two identical rows  $\det A = 0$

5)  $\det A^T = \det A$  (expansion with respect to columns)

6) Matrix product:  $\det(A B) = \det A \det B$

7) diagonal matrix  $\det \begin{pmatrix} a_{11} & \dots & 0 \\ \vdots & & \vdots \\ 0 & \dots & a_{nn} \end{pmatrix} = \prod_{i=1}^n a_{ii}$

$$\Rightarrow \det \mathbb{1} = 1$$

Applications:

1) inverse matrix  $A A^{-1} = A^{-1} A = \mathbb{1}$

$$\Rightarrow \det A A^{-1} = \det A \det(A^{-1}) = 1$$

$A^{-1}$  exists only when  $\det A \neq 0$

calculate elements of  $A^{-1}$  by

$$(a^{-1})_{ij} = \frac{(-1)^{i+j} \det A_{ji}}{\det A} \quad (\text{order of indices!})$$

2) cross product

$$\vec{a} \times \vec{b} = \begin{vmatrix} \vec{e}_1 & \vec{e}_2 & \vec{e}_3 \\ a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{vmatrix}$$

similar rotation

$$\vec{\nabla} \times \vec{a} = \begin{vmatrix} \vec{e}_1 & \vec{e}_2 & \vec{e}_3 \\ \partial_1 & \partial_2 & \partial_3 \\ a_1 & a_2 & a_3 \end{vmatrix}$$

3) scalar triple product

$$\vec{a} \cdot (\vec{b} \times \vec{c}) = \begin{vmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{vmatrix}$$

special case

$$\vec{e}_1 \cdot (\vec{e}_2 \times \vec{e}_3) = \begin{vmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{vmatrix} = 1$$

4) rotation matrix  $D$  : orthogonal matrix  $D^T = D^{-1}$

$$D^{-1} D = D^T D = \mathbb{1}$$

$$\Rightarrow \det \mathbb{1} = \det(D^{-1} D) = \det(D^T D) = \det D^T \det D$$

$$1 = \det D^T \det D = \det D \det D$$

$$\Rightarrow \det(D)^2 = 1$$

$$\det D = \pm 1$$

$O(n)$  : set of orthonormal <sup>(n x n)</sup> matrices  
 $SO(n)$  : set of orthonormal <sup>(n x n)</sup> matrices with  
 $\det D = +1$

Consider : two rotation matrices

$$D = \begin{pmatrix} d_{11} & d_{12} & d_{13} \\ d_{21} & d_{22} & d_{23} \\ d_{31} & d_{32} & d_{33} \end{pmatrix}$$

$$D' = \begin{pmatrix} d_{11} & d_{12} & d_{13} \\ -d_{21} & -d_{22} & -d_{23} \\ d_{31} & d_{32} & d_{33} \end{pmatrix}$$

assume

$$\det D = +1$$

$$\Rightarrow \det D' = -1 \det D = -1$$

$$\vec{e}_i' = \sum_{j=1}^3 d_{ij} \vec{e}_j$$

transformation with  $D : \{\vec{e}_1', \vec{e}_2', \vec{e}_3'\}$

transformation with  $D' : \{\vec{e}_1', -\vec{e}_2', \vec{e}_3'\}$

right handed coordinate system:

$$1 = \vec{e}_1' \cdot (\vec{e}_2' \times \vec{e}_3') = \sum_{lmn} d_{1l} d_{2m} d_{3n} \underbrace{\vec{e}_l \cdot (\vec{e}_m \times \vec{e}_n)}$$

assume  $\rightarrow \epsilon_{lmn}$   
right handed

$$= \det D$$

$\det D = +1$  : right handed  $\rightarrow$  right handed

$\det D = -1$  : right handed  $\rightarrow$  left handed

Linear system of equations

$$a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1$$

$\vdots$

$$a_{n1}x_1 + a_{n2}x_2 + \dots + a_{nn}x_n = b_n$$

$$A \vec{x} = \vec{b}$$

$$\vec{x} = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \quad \vec{b} = \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}$$

homogeneous system  $\vec{b} = 0$

inhomogeneous system  $\vec{b} \neq 0$

$k$ th column

Find solutions of the equations:

$$x_k = \frac{\det A_k}{\det A}$$

$$A_k = \begin{pmatrix} a_{11} & \dots & b_1 & \dots & a_{1n} \\ \vdots & & \vdots & & \vdots \\ a_{n1} & \dots & b_n & \dots & a_{nn} \end{pmatrix}$$

(Cramer's rule)

→ require  $\det A \neq 0$  for a unique solution

special case: homogeneous system  $\vec{b} = 0$

$\Rightarrow \det A_k = 0 \quad \forall k$

$x_k = \det A_k \cdot \det A$

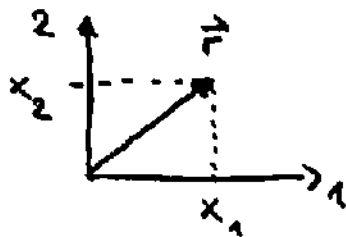
1)  $x_k = 0 \quad \forall k$

2)  $\det A = 0$  not all rows/  
columns linear  
independent

$\text{rank}(A) < n$

### Coordinate Systems

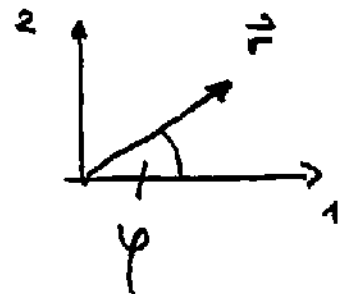
so far: Cartesian coordinates



$(r, \varphi) \rightarrow (x_1, x_2)$

$x_1 = r \cos \varphi = x_1(r, \varphi)$   
 $x_2 = r \sin \varphi = x_2(r, \varphi)$

polar coordinates



not uniquely defined

$(0, \varphi) \rightarrow (0, 0)$   
 $\varphi \in \mathbb{R}$

$(x_1, x_2) \rightarrow (r, \varphi)$

$r = \sqrt{x_1^2 + x_2^2} = r(x_1, x_2)$

$\varphi = \arctan\left(\frac{x_2}{x_1}\right) = \varphi(x_1, x_2)$

$\frac{\sin \varphi}{\cos \varphi} = \tan \varphi = \frac{x_2}{x_1}$   
 (for  $r \neq 0$  uniquely  
reversible)

General coordinate transformation (d-dimensional space)

$$(y_1, \dots, y_d) \rightarrow (x_1, \dots, x_d)$$

$$x_i(y_1, \dots, y_d) \quad i = 1 \dots d$$

requirements:

- 1) each point specificiable by coordinates  $y_i$
- 2) almost always locally reversible

↑  
 allowed to be  
 violated in regions  
 of dimensionality  
 $d' < d$

↓  
 to each point exists  
 neighborhood in which  
 mapping is unique

check for the local reversibility?

Consider point  $\vec{y}$  which is mapped to  $\vec{x}$  :  
 $\vec{y} + d\vec{y}$  is mapped to  $\vec{x} + d\vec{x}$

total differential of  $x_i(y_1, \dots, y_d)$ :

$$dx_i = \sum_{j=1}^d \frac{\partial x_i}{\partial y_j} dy_j \quad i = 1 \dots d$$

$$d\vec{x} = \begin{pmatrix} dx_1 \\ \vdots \\ dx_d \end{pmatrix} = \begin{pmatrix} \frac{\partial x_1}{\partial y_1} & \frac{\partial x_1}{\partial y_2} \\ \vdots & \vdots \\ \frac{\partial x_d}{\partial y_1} & \dots & \frac{\partial x_d}{\partial y_d} \end{pmatrix} \begin{pmatrix} dy_1 \\ \vdots \\ dy_d \end{pmatrix} = F^{(xy)} d\vec{y}$$

reversibility:

$$F^{(xy)^{-1}} d\vec{x} = d\vec{y} \quad \Rightarrow \text{condition: } \det F^{(xy)} \neq 0$$

(Jacobian determinant)

The transformation of variables  $x_i = x_i(y_1, \dots, y_d)$ ,  $i=1, \dots, d$  (with continuously differentiable functions  $x_i$ ) is in the proximity of point  $P$  bijective if and only if

$$\frac{\partial (x_1, \dots, x_d)}{\partial (y_1, \dots, y_d)} \Big|_P \neq 0 \quad \det F^{(xy)} \neq 0$$

Example: plane polar coordinates

$$\begin{aligned} x_1 &= r \cos \varphi & \frac{\partial x_1}{\partial r} &= \cos \varphi & \frac{\partial x_1}{\partial \varphi} &= -r \sin \varphi \\ x_2 &= r \sin \varphi & \frac{\partial x_2}{\partial r} &= \sin \varphi & \frac{\partial x_2}{\partial \varphi} &= r \cos \varphi \end{aligned}$$

$$\det F^{(x,y)} = \begin{vmatrix} \cos \varphi & -r \sin \varphi \\ \sin \varphi & r \cos \varphi \end{vmatrix} = r \cos^2 \varphi + r \sin^2 \varphi = r \neq 0$$

(except on single point  $r=0$ )

Consider: two transformations

$$\begin{aligned} x_i &= x_i(y_1, \dots, y_d) & i &= 1, \dots, d \\ y_i &= y_i(z_1, \dots, z_d) \end{aligned}$$

Jacobian determinants:  $\frac{\partial (x_1, \dots, x_d)}{\partial (y_1, \dots, y_d)} = F^{(xy)}$

$$\frac{\partial (y_1, \dots, y_d)}{\partial (z_1, \dots, z_d)} = F^{(yz)}$$

Now: calculate jacobian of the full transformation:

$$F^{(xz)} = \frac{\partial (x_1, \dots, x_d)}{\partial (z_1, \dots, z_d)}$$

with  $x_i = x_i(y_1(z_1, \dots, z_d), \dots, y_d(z_1, \dots, z_d))$

We use the chain rule:

$$\frac{\partial x_i}{\partial z_j} = \sum_{k=1}^d \frac{\partial x_i}{\partial y_k} \frac{\partial y_k}{\partial z_j} \quad (F^{xz})_{ij} = \sum_k (F^{xy})_{ik} (F^{yz})_{kj}$$

$$F(xz) = F(xy) F(yz) \quad \Rightarrow \quad \det F(xz) = \det F(xy) \cdot \det F(yz)$$

Special case : Transformation and its inverse :

$$x_i(z_1, \dots, z_d) = z_i \quad i = 1, \dots, d$$

$$\frac{\partial x_i}{\partial z_j} = \delta_{ij}$$

$$1 = F(xz) = F(xy) \underbrace{F(yz)}_{F(yx)} = F(xy) F(yx)$$

$$F^{-1}(xy) = F(yx) \quad \text{and for the det: } \det F(yx) = \frac{1}{\det F(xy)}$$

Coordinate lines:

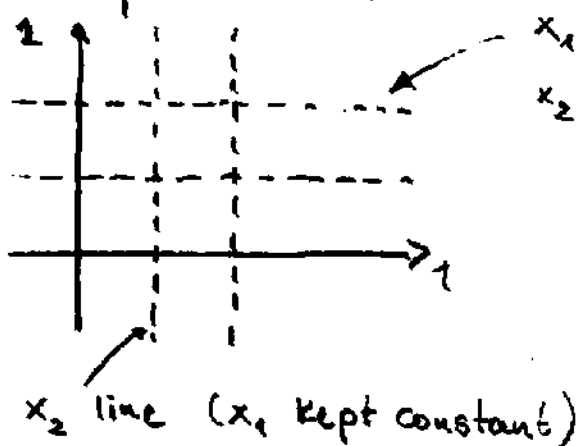
Consider transformation  $x_i = x_i(y_1, \dots, y_d)$

space curve with all coordinates  $(y_2, \dots, y_d)$

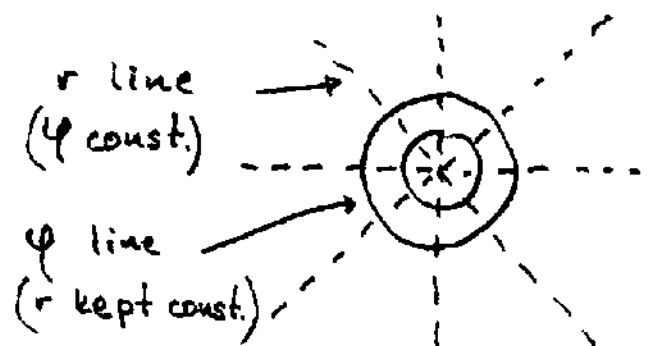
kept constant :  $y_1$  - coordinate line

(similar  $y_i$  coordinate line : all  $y_j$  kept constant  $j \neq i$ )

Examples : 1) Cartesian coordinates



2) Polar coordinates



## Volume element in curvilinear coordinates

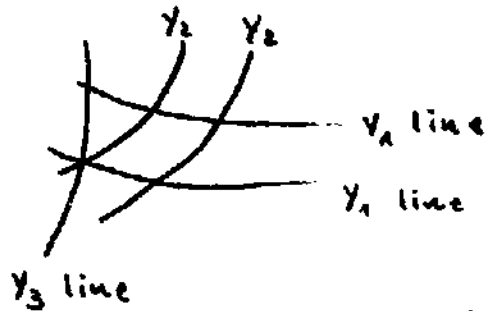
1) Integration in cartesian coordinates  $V = \int dV$



$$dV = dx_1 dx_2 dx_3$$

Volume element in cartesian coordinates

2) curvilinear coordinates  
coordinate lines



(locally parallel  
to coordinate lines)

$$d\vec{a} = \frac{\partial \vec{r}}{\partial y_1} dy_1 = \left( \frac{\partial x_1}{\partial y_1}, \frac{\partial x_2}{\partial y_1}, \frac{\partial x_3}{\partial y_1} \right) dy_1$$

Similar  $d\vec{b} = \frac{\partial \vec{r}}{\partial y_2} dy_2$ ,  $d\vec{c} = \frac{\partial \vec{r}}{\partial y_3} dy_3$

$$dV = d\vec{a} \cdot (d\vec{b} \times d\vec{c}) = \begin{vmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ c_1 & c_2 & c_3 \end{vmatrix}$$

$$= \begin{vmatrix} \frac{\partial x_1}{\partial y_1} & \frac{\partial x_2}{\partial y_1} & \frac{\partial x_3}{\partial y_1} \\ \vdots & \vdots & \vdots \\ \frac{\partial x_1}{\partial y_3} & \dots & \dots \end{vmatrix} dy_1 dy_2 dy_3 = \underbrace{\det F^{(xy)T}}_{\det F^{(xy)}} dy_1 dy_2 dy_3$$

$$= \frac{\partial (x_1, x_2, x_3)}{\partial (y_1, y_2, y_3)} dy_1 dy_2 dy_3$$

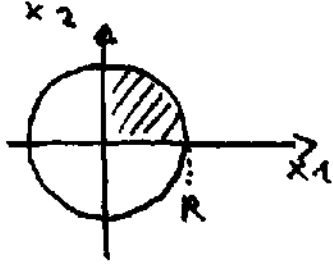
$$V = \int dV = \int dx_1 dx_2 dx_3 = \int \det F^{(xy)} dy_1 dy_2 dy_3$$



Example: Integration in curvilinear coordinates

Calculation of the area of a circle:

1) cartesian coordinates



$$\begin{aligned}
 A_0 &= 4 \int_0^R dx_1 \int_0^{\sqrt{R^2 - x_1^2}} dx_2 \\
 &= 4 \int_0^R dx_1 \sqrt{R^2 - x_1^2} \\
 &= 4 \left[ \frac{x_1}{2} \sqrt{R^2 - x_1^2} + \frac{R^2}{2} \arcsin \frac{x_1}{R} \right]_0^R \\
 &= 4 \frac{R^2}{2} \arcsin \frac{R}{R} = \pi R^2
 \end{aligned}$$

2) polar coordinates

$$\begin{aligned}
 dx_1 dx_2 &= \frac{\partial(x_1, x_2)}{\partial(r, \varphi)} dr d\varphi \\
 &= r dr d\varphi
 \end{aligned}$$

$$A_0 = \int_0^R r dr \int_0^{2\pi} d\varphi = \left[ \frac{r^2}{2} \right]_0^R \left[ \varphi \right]_0^{2\pi} = \frac{R^2}{2} 2\pi = \pi R^2$$

Basis vectors in curvilinear coordinates

→ so far: cartesian coordinates

$$\{ \vec{e}_1, \vec{e}_2, \vec{e}_3 \} \quad \vec{e}_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \quad \vec{e}_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \quad \vec{e}_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\vec{r} = \sum_{i=1}^3 x_i \vec{e}_i, \quad d\vec{r} = \sum_{i=1}^3 dx_i \vec{e}_i$$

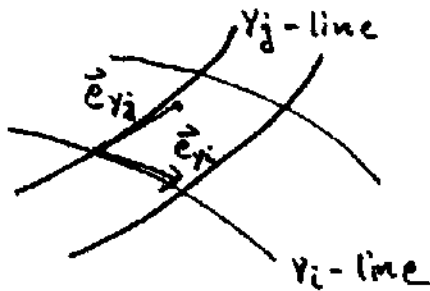
consider  $\vec{r} = \vec{r}(x_1, x_2, x_3)$  such that the total differential reads

$$d\vec{r} = \sum_{i=1}^3 \frac{\partial \vec{r}}{\partial x_i} dx_i \quad \text{comparison: } \vec{e}_i = \frac{\partial \vec{r}}{\partial x_i}$$

tangent unit vector along  $i$ -th coordinate line

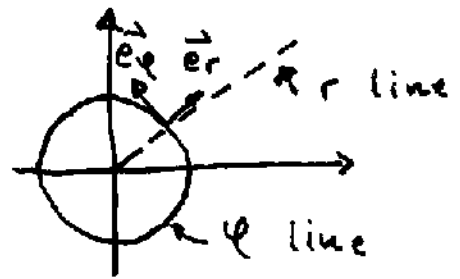
general basis vectors (need to normalize)

$$\vec{e}_i = b_{yi}^{-1} \frac{\partial \vec{r}}{\partial y_i} \quad , \quad b_{yi} = \left| \frac{\partial \vec{r}}{\partial y_i} \right|$$



Example: polar coordinates

$$\begin{cases} x_1 = r \cos \varphi \\ x_2 = r \sin \varphi \end{cases} \Rightarrow \vec{r}(r, \varphi) = \begin{pmatrix} r \cos \varphi \\ r \sin \varphi \end{pmatrix}$$



$$\frac{\partial \vec{r}}{\partial r} = \begin{pmatrix} \cos \varphi \\ \sin \varphi \end{pmatrix} \quad b_r = 1 \quad , \quad \vec{e}_r = \begin{pmatrix} \cos \varphi \\ \sin \varphi \end{pmatrix}$$

$$\frac{\partial \vec{r}}{\partial \varphi} = \begin{pmatrix} -r \sin \varphi \\ r \cos \varphi \end{pmatrix} \quad b_\varphi = r \quad \vec{e}_\varphi = \frac{1}{r} \begin{pmatrix} -r \sin \varphi \\ r \cos \varphi \end{pmatrix} = \begin{pmatrix} -\sin \varphi \\ \cos \varphi \end{pmatrix}$$

$$\vec{e}_r \cdot \vec{e}_\varphi = 0 \quad \text{curvilinear orthogonal}$$

$$\delta_{ij} = \vec{e}_{y_i} \cdot \vec{e}_{y_j} \quad \forall i, j$$

differential of the position vector:

$$d\vec{r} = \sum_{i=1}^3 \frac{\partial \vec{r}}{\partial y_i} dy_i = \sum_{i=1}^3 b_{yi} dy_i \vec{e}_{y_i}$$

$$d\vec{r} = 1 dr \vec{e}_r + r d\varphi \vec{e}_\varphi$$

$$= dr \vec{e}_r + r d\varphi \vec{e}_\varphi$$

# Differential operators in curvilinear coordinates

a) Gradient  $\vec{\nabla} \psi = \left( \frac{\partial \psi}{\partial x_1}, \frac{\partial \psi}{\partial x_2}, \frac{\partial \psi}{\partial x_3} \right)$   
 $\vec{\nabla} = \sum_i \vec{e}_i \frac{\partial}{\partial x_i}$

now: project gradient field onto  $y_i$  coordinate line

$$\begin{aligned} \vec{\nabla}_{y_i} \psi &= \vec{e}_{y_i} \cdot \vec{\nabla} \psi = b_{y_i}^{-1} \frac{\partial \vec{r}}{\partial y_i} \cdot \vec{\nabla} \psi = b_{y_i}^{-1} \left( \frac{\partial x_1}{\partial y_i} \frac{\partial \psi}{\partial x_1} + \frac{\partial x_2}{\partial y_i} \frac{\partial \psi}{\partial x_2} + \frac{\partial x_3}{\partial y_i} \frac{\partial \psi}{\partial x_3} \right) \\ & \quad \uparrow \\ & \quad \vec{e}_{y_i} = b_{y_i}^{-1} \frac{\partial \vec{r}}{\partial y_i} \\ \text{chain rule} \quad &= b_{y_i}^{-1} \frac{\partial \psi}{\partial y_i} \end{aligned}$$

$$\vec{\nabla} = \left( b_{y_1}^{-1} \frac{\partial}{\partial y_1}, b_{y_2}^{-1} \frac{\partial}{\partial y_2}, b_{y_3}^{-1} \frac{\partial}{\partial y_3} \right) = \sum_{j=1}^3 \vec{e}_{y_j} b_{y_j}^{-1} \frac{\partial}{\partial y_j}$$

## b) Divergence

given  $\vec{a} = \sum_{i=1}^3 a_{y_i} \vec{e}_i$  differentiable vector field

$$\vec{\nabla} \cdot \vec{a} = \frac{1}{b_{y_1} b_{y_2} b_{y_3}} \left[ \frac{\partial}{\partial y_1} (b_{y_2} b_{y_3} a_{y_1}) + \frac{\partial}{\partial y_2} (b_{y_3} b_{y_1} a_{y_2}) + \frac{\partial}{\partial y_3} (b_{y_1} b_{y_2} a_{y_3}) \right]$$

Derivation: 1) use  $\vec{\nabla} = \sum_{j=1}^3 \vec{e}_{y_j} b_{y_j}^{-1} \frac{\partial}{\partial y_j}$

$$\vec{\nabla} \cdot \vec{a} = \sum_{i,j} \left( \vec{e}_{y_i} b_{y_i}^{-1} \frac{\partial}{\partial y_i} \right) \cdot (a_{y_j} \vec{e}_{y_j}) = \sum_i \frac{1}{b_{y_i}} \frac{\partial a_{y_i}}{\partial y_i} + \sum_{i \neq j} \frac{a_{y_j}}{b_{y_i}} \vec{e}_{y_i} \cdot \frac{\partial \vec{e}_{y_j}}{\partial y_i}$$

product rule  $\nearrow$

Use (Schwarz' theorem)

$$\frac{\partial^2 f}{\partial y_i \partial y_j} = \frac{\partial^2 f}{\partial y_j \partial y_i}$$

$$\frac{\partial \vec{r}}{\partial y_i} = \vec{e}_{y_i} b_{y_i}$$

$$\Rightarrow \frac{\partial}{\partial y_i} (b_{y_j} \vec{e}_{y_j}) = \frac{\partial}{\partial y_j} (b_{y_i} \vec{e}_{y_i}) \quad \text{Product rule}$$

$$b_{y_j} \frac{\partial \vec{e}_{y_j}}{\partial y_i} + \vec{e}_{y_j} \frac{\partial b_{y_j}}{\partial y_i} = b_{y_i} \frac{\partial \vec{e}_{y_i}}{\partial y_j} + \vec{e}_{y_i} \frac{\partial b_{y_i}}{\partial y_j}$$

multiply with  $\vec{e}_{y_i}$ :

$$b_{y_j} \vec{e}_{y_i} \cdot \frac{\partial \vec{e}_{y_j}}{\partial y_i} + \delta_{ij} \frac{\partial b_{y_j}}{\partial y_i} = b_{y_i} \vec{e}_{y_i} \cdot \frac{\partial \vec{e}_{y_i}}{\partial y_j} + \frac{\partial b_{y_i}}{\partial y_j}$$

Derivative of unitary vector  $\perp$  to that vector

$$\vec{e}_{y_i} \cdot \frac{\partial \vec{e}_{y_i}}{\partial y_j} = \frac{1}{2} \frac{\partial}{\partial y_j} (\vec{e}_{y_i}^2) = 0$$

$$\Rightarrow b_{y_j} \vec{e}_{y_i} \cdot \frac{\partial \vec{e}_{y_j}}{\partial y_i} = \frac{\partial b_{y_i}}{\partial y_j} - \delta_{ij} \frac{\partial b_{y_j}}{\partial y_i} = \begin{cases} 0 & i=j \\ \frac{\partial b_{y_i}}{\partial y_j} & i \neq j \end{cases}$$

$$\vec{\nabla} \cdot \vec{a} = \sum_i b_{y_i}^{-1} \frac{\partial a_{y_i}}{\partial y_i} + \sum_{\substack{i,j=1 \\ i \neq j}}^3 b_{y_i}^{-1} b_{y_j}^{-1} a_{y_j} \frac{\partial b_{y_i}}{\partial y_j}$$

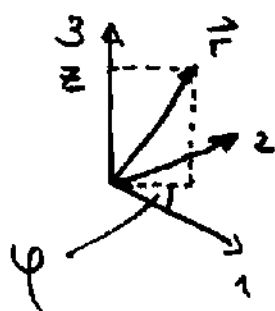
c) Rotation

$$\vec{\nabla} \times \vec{a} = \begin{vmatrix} b_{y_1} \vec{e}_{y_1} & b_{y_2} \vec{e}_{y_2} & b_{y_3} \vec{e}_{y_3} \\ \frac{\partial}{\partial y_1} & \frac{\partial}{\partial y_2} & \frac{\partial}{\partial y_3} \\ b_{y_1} a_{y_1} & b_{y_2} a_{y_2} & b_{y_3} a_{y_3} \end{vmatrix}$$

d) Laplace operator

$$\Delta \vec{a} = \frac{1}{b_{y_1} b_{y_2} b_{y_3}} \left[ \frac{\partial}{\partial y_1} \left( \frac{b_{y_2} b_{y_3}}{b_{y_1}} \frac{\partial}{\partial y_1} \right) + \frac{\partial}{\partial y_2} \left( \frac{b_{y_1} b_{y_3}}{b_{y_2}} \frac{\partial}{\partial y_2} \right) + \frac{\partial}{\partial y_3} \left( \frac{b_{y_1} b_{y_2}}{b_{y_3}} \frac{\partial}{\partial y_3} \right) \right]$$

Examples: 1) cylindrical coordinates



transformation formulae

$$\begin{aligned} x_1 &= \rho \cos \varphi \\ x_2 &= \rho \sin \varphi \\ x_3 &= z \end{aligned}$$

} suitable if there is rotation symmetry around axis

Jacobian determinant (need all partial derivatives)

$$\frac{\partial(x_1, x_2, x_3)}{\partial(\rho, \varphi, z)} = \begin{vmatrix} \cos \varphi & -\rho \sin \varphi & 0 \\ \sin \varphi & \rho \cos \varphi & 0 \\ 0 & 0 & 1 \end{vmatrix} = \begin{vmatrix} \cos \varphi & -\rho \sin \varphi \\ \sin \varphi & \rho \cos \varphi \end{vmatrix}$$

$$= \rho$$

uniquely reversible except for  $\rho = 0$

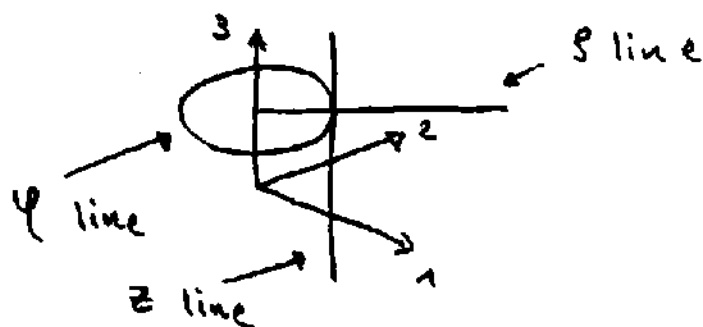
Volume element:

$$dV = \rho \, d\rho \, d\varphi \, dz$$

(using Jacobian determinant)

coordinate lines:

scale factors



$$\vec{r} = (\rho \cos \varphi, \rho \sin \varphi, z)$$

$$\frac{\partial \vec{r}}{\partial \rho} = (\cos \varphi, \sin \varphi, 0)$$

$$b_\rho = \left| \frac{\partial \vec{r}}{\partial \rho} \right| = 1$$

$$\frac{\partial \vec{r}}{\partial \varphi} = (-\rho \sin \varphi, \rho \cos \varphi, 0)$$

$$b_\varphi = \left| \frac{\partial \vec{r}}{\partial \varphi} \right| = \rho$$

$$\frac{\partial \vec{r}}{\partial z} = (0, 0, 1)$$

$$b_z = \left| \frac{\partial \vec{r}}{\partial z} \right| = 1$$

unit vectors

$$\vec{e}_\rho = (\cos \varphi, \sin \varphi, 0)$$

$$\vec{e}_\varphi = (-\sin \varphi, \cos \varphi, 0)$$

$$\vec{e}_z = (0, 0, 1)$$

$\{\vec{e}_s, \vec{e}_\varphi, \vec{e}_z\}$  (curvilinear, right-handed orthogonal basis)

differential of position vector

$$d\vec{r} = \sum_j b_{y_j} dy_j \vec{e}_{y_j} = ds \vec{e}_s + s d\varphi \vec{e}_\varphi + dz \vec{e}_z$$

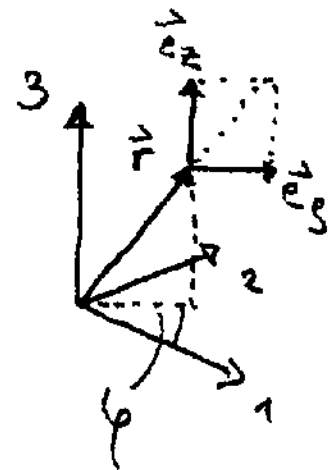
Differential operators

$$\vec{\nabla} = \vec{e}_s \frac{\partial}{\partial s} + \vec{e}_\varphi \frac{1}{s} \frac{\partial}{\partial \varphi} + \vec{e}_z \frac{\partial}{\partial z}$$

$$\begin{aligned} \vec{\nabla} \cdot \vec{a} &= \frac{1}{s} \left[ \frac{\partial}{\partial s} (s a_s) + \frac{\partial}{\partial \varphi} a_\varphi + \frac{\partial}{\partial z} (s a_z) \right] \\ &= \frac{\partial a_s}{\partial s} + \frac{a_s}{s} + \frac{1}{s} \frac{\partial a_\varphi}{\partial \varphi} + \frac{\partial a_z}{\partial z} \end{aligned}$$

Position vector

$$\vec{r}(t) = s(t) \vec{e}_s(t) + z(t) \vec{e}_z$$



Velocity and acceleration

$$\vec{v}(t) = \dot{\vec{r}}(t) = \dot{s}(t) \vec{e}_s + s \dot{\vec{e}}_s + \dot{z} \vec{e}_z$$

total differential :

$$\vec{v} = \frac{d\vec{r}}{dt} = \frac{ds}{dt} \vec{e}_s + s \frac{d\varphi}{dt} \vec{e}_\varphi + \frac{dz}{dt} \vec{e}_z$$

comparison yields :  $\dot{\vec{e}}_s = \dot{\varphi} \vec{e}_\varphi$  (from  $\vec{e}_{y_i} \cdot \vec{e}_{y_j} = \delta_{ij}$ )

calculation of  $\dot{\vec{e}}_\varphi = -\dot{\varphi} \vec{e}_s$  yields

$$\begin{aligned} \vec{a}(t) = \dot{\vec{v}}(t) &= \ddot{s} \vec{e}_s + \dot{s} \dot{\vec{e}}_s + s \ddot{\varphi} \vec{e}_\varphi + \dot{s} \dot{\varphi} \vec{e}_\varphi + s \dot{\varphi} \dot{\vec{e}}_\varphi + \ddot{z} \vec{e}_z \\ &= (\ddot{s} - s \dot{\varphi}^2) \vec{e}_s + (s \ddot{\varphi} + 2\dot{s} \dot{\varphi}) \vec{e}_\varphi + \ddot{z} \vec{e}_z \end{aligned}$$

## 2) Spherical coordinates

$$x_1 = r \sin \vartheta \cos \varphi$$

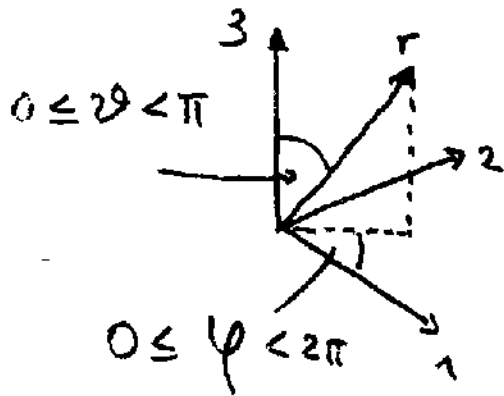
$$x_2 = r \sin \vartheta \sin \varphi$$

$$x_3 = r \cos \vartheta$$

$r$ : magnitude of position vector

$\vartheta$ : angle between  $\vec{r}$  and  $\vec{e}_3$ , (polar angle)

$\varphi$ : angle from projection of  $\vec{r}$  onto  $x_1-x_2$  plane (azimuthal angle)



Jacobian determinant:

$$\frac{\partial(x_1, x_2, x_3)}{\partial(r, \vartheta, \varphi)} = \begin{vmatrix} \sin \vartheta \cos \varphi & r \cos \vartheta \cos \varphi & -r \sin \vartheta \sin \varphi \\ \sin \vartheta \sin \varphi & r \cos \vartheta \sin \varphi & r \sin \vartheta \cos \varphi \\ \cos \vartheta & -r \sin \vartheta & 0 \end{vmatrix}$$

$$= r^2 \sin \vartheta$$

uniquely reversible  
except for  $r = 0$   
 $\vartheta = 0$

Volume element

$$dV = r^2 \sin \vartheta \, dr \, d\vartheta \, d\varphi$$

Example: Calculation of the volume of a sphere

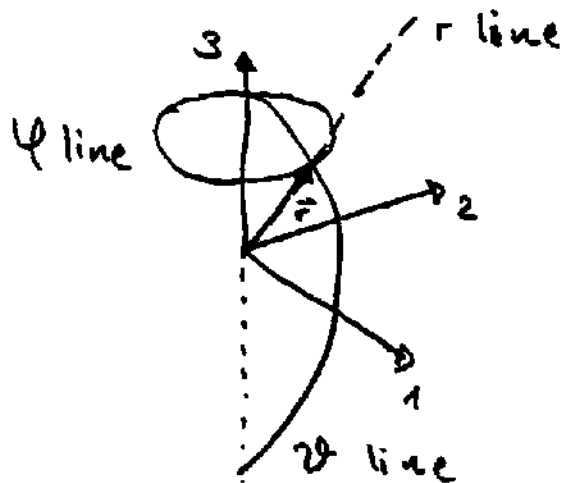
$$V_s = \int_0^R dr \, r^2 \int_0^\pi d\vartheta \sin \vartheta \int_0^{2\pi} d\varphi = 2\pi \int_0^R dr \, r^2 \int_{-1}^1 da$$

$\uparrow$   
 $a = \cos \vartheta$

$$= 2\pi \frac{R^3}{3} \cdot 2 = \frac{4\pi}{3} R^3$$

Coordinate lines

Scale factors



$$\vec{r} = r(\sin\vartheta \cos\varphi, \sin\vartheta \sin\varphi, \cos\vartheta)$$

$$\frac{\partial \vec{r}}{\partial r} = (\sin\vartheta \cos\varphi, \sin\vartheta \sin\varphi, \cos\vartheta)$$

$$b_r = 1$$

$$\frac{\partial \vec{r}}{\partial \vartheta} = r(\cos\vartheta \cos\varphi, \cos\vartheta \sin\varphi, -\sin\vartheta)$$

$$b_{\vartheta} = r$$

$$\vec{e}_r = (\sin\vartheta \cos\varphi, \sin\vartheta \sin\varphi, \cos\vartheta) \quad \frac{\partial \vec{r}}{\partial \varphi} = r(-\sin\vartheta \sin\varphi, \sin\vartheta \cos\varphi, 0)$$

$$\vec{e}_{\vartheta} = (\cos\vartheta \cos\varphi, \cos\vartheta \sin\varphi, -\sin\vartheta) \quad b_{\varphi} = r \sin\vartheta$$

$$\vec{e}_{\varphi} = (-\sin\varphi, \cos\varphi, 0)$$

$\{\vec{e}_r, \vec{e}_{\vartheta}, \vec{e}_{\varphi}\}$  (curvilinear orthogonal)

total differential

$$d\vec{r} = dr \vec{e}_r + r d\vartheta \vec{e}_{\vartheta} + r \sin\vartheta d\varphi \vec{e}_{\varphi}$$

Differential operator

$$\vec{\nabla} = \vec{e}_r \frac{\partial}{\partial r} + \vec{e}_{\vartheta} \frac{1}{r} \frac{\partial}{\partial \vartheta} + \vec{e}_{\varphi} \frac{1}{r \sin\vartheta} \frac{\partial}{\partial \varphi}$$

Position vector:  $\vec{r}(t) = r(t) \vec{e}_r$

$$\dot{\vec{e}}_r = \dot{\vartheta} \vec{e}_{\vartheta} + \sin\vartheta \dot{\varphi} \vec{e}_{\varphi}$$

$$\dot{\vec{e}}_{\vartheta} = \dot{\varphi} \cos\vartheta \vec{e}_{\varphi} - \dot{\vartheta} \vec{e}_r$$

$$\dot{\vec{e}}_{\varphi} = -\dot{\varphi} \cos\vartheta \vec{e}_{\vartheta} - \dot{\vartheta} \sin\vartheta \vec{e}_r$$

Derivatives of basis vectors:  
useful for  $\vec{v} = \dot{\vec{r}}, \vec{a} = \ddot{\vec{r}}$



# Mechanics of the free mass point

without restraining condition

negligible extension in all directions (in comparison to the length scales of the movement)

Kinematics: describe movement

(no question for the cause of the movement)

Task: calculate  $\vec{r}(t)$  for given  $\vec{a}(t) = \ddot{\vec{r}}(t)$  and initial conditions  $\vec{v}(t_0) = \dot{\vec{r}}(t_0)$  and  $\vec{r}(t_0)$

two integrations:  $\vec{a} = \frac{d\vec{v}}{dt}$   $\vec{v} = \frac{d\vec{r}}{dt}$

$$\vec{v}(t) = \vec{v}(t_0) + \int_{t_0}^t dt' \vec{a}(t')$$

$$\begin{aligned} \vec{r}(t) &= \vec{r}(t_0) + \int_{t_0}^t dt' \vec{v}(t') = \vec{r}(t_0) + \int_{t_0}^t dt' \left[ \vec{v}_0(t') + \int_{t_0}^{t'} dt'' \vec{a}(t'') \right] \\ &= \vec{r}(t_0) + \vec{v}(t_0) (t - t_0) + \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' \vec{a}(t'') \end{aligned}$$

Cartesian coordinates (time-independent basis)

$$\vec{r}(t) = \sum_i x_i(t) \vec{e}_i$$

$$\vec{v}(t) = \frac{d\vec{r}}{dt} = \sum_i \dot{x}_i(t) \vec{e}_i$$

$$\vec{a}(t) = \frac{d\vec{v}}{dt} = \sum_i \ddot{x}_i(t) \vec{e}_i$$

Cylindrical coordinates:  $\vec{r}(t) = \rho \vec{e}_\rho + z \vec{e}_z$

$$\vec{v}(t) = \dot{\rho} \vec{e}_\rho + \rho \dot{\varphi} \vec{e}_\varphi + \dot{z} \vec{e}_z$$

$$\vec{a}(t) = (\ddot{\rho} - \rho \dot{\varphi}^2) \vec{e}_\rho + (\rho \ddot{\varphi} + 2\dot{\rho} \dot{\varphi}) \vec{e}_\varphi + \ddot{z} \vec{e}_z$$

Spherical coordinates:  $\vec{r}(t) = r \vec{e}_r$

use total differential:  $d\vec{r} = dr \vec{e}_r + r d\vartheta \vec{e}_{\vartheta} + r \sin\vartheta d\varphi \vec{e}_{\varphi}$

$$\Rightarrow \vec{v}(t) = \frac{d\vec{r}}{dt} = \dot{r} \vec{e}_r + r \dot{\vartheta} \vec{e}_{\vartheta} + r \sin\vartheta \dot{\varphi} \vec{e}_{\varphi}$$

comparison with  $\dot{\vec{r}}(t) = \dot{r} \vec{e}_r + r \dot{\vec{e}}_r$  yields

$$\dot{\vec{e}}_r = \dot{\vartheta} \vec{e}_{\vartheta} + \sin\vartheta \dot{\varphi} \vec{e}_{\varphi}$$

We use that  $\hat{b} \perp \dot{\hat{b}}$  for each unitary vector  $\hat{b}$ :

$$\dot{\vec{e}}_{\vartheta} = \alpha \vec{e}_{\varphi} + \beta \vec{e}_r$$

$$\dot{\vec{e}}_{\varphi} = \gamma \vec{e}_{\vartheta} + \delta \vec{e}_r \quad (\rightarrow \text{need to calculate } \alpha, \beta, \gamma, \delta)$$

use orthogonality:  $0 = \vec{e}_{\vartheta} \cdot \vec{e}_r = \vec{e}_{\vartheta} \cdot \dot{\vec{e}}_{\vartheta} = \vec{e}_{\vartheta} \cdot \dot{\vec{e}}_{\varphi} = \dot{\vec{e}}_{\vartheta} \cdot \vec{e}_r$

with  $0 = \frac{d}{dt}(0) = \frac{d}{dt}(\vec{e}_{\vartheta} \cdot \vec{e}_r) = \dot{\vec{e}}_{\vartheta} \cdot \vec{e}_r + \vec{e}_{\vartheta} \cdot \dot{\vec{e}}_r$  etc.

$$\beta = \dot{\vec{e}}_{\vartheta} \cdot \vec{e}_r = -\vec{e}_{\vartheta} \cdot \dot{\vec{e}}_r = -\dot{\vartheta}$$

$$\alpha = \dot{\vec{e}}_{\vartheta} \cdot \vec{e}_{\varphi} = -\vec{e}_{\vartheta} \cdot \dot{\vec{e}}_{\varphi} = -\gamma$$

$$\delta = \dot{\vec{e}}_{\varphi} \cdot \vec{e}_r = -\vec{e}_{\varphi} \cdot \dot{\vec{e}}_r = -\sin\vartheta \dot{\varphi}$$

$$\vec{e}_{\varphi} = (-\sin\varphi, \cos\varphi, 0) \quad \vec{e}_{\varphi} \cdot \vec{e}_z = 0, \quad \dot{\vec{e}}_{\varphi} \cdot \vec{e}_z = 0$$

$$0 = \gamma \vec{e}_{\vartheta} \cdot \vec{e}_z + \delta \vec{e}_r \cdot \vec{e}_z = -\gamma \sin\vartheta + \delta \cos\vartheta$$

$$\Rightarrow 0 = -\gamma \sin\vartheta - \sin\vartheta \dot{\varphi} \cos\vartheta$$

$$\dot{\vec{e}}_{\vartheta} = \dot{\varphi} \cos\vartheta \vec{e}_{\varphi} - \dot{\vartheta} \vec{e}_r$$

$$\dot{\vec{e}}_{\varphi} = -\dot{\varphi} \cos\vartheta \vec{e}_{\vartheta} - \sin\vartheta \dot{\varphi} \vec{e}_r$$

$$\vec{a}(t) = \frac{d\vec{v}}{dt} = (\ddot{r} - r \dot{\vartheta}^2 - r \sin^2\vartheta \dot{\varphi}^2) \vec{e}_r$$

$$+ (r \ddot{\vartheta} + 2\dot{r}\dot{\vartheta} - r \sin\vartheta \cos\vartheta \dot{\varphi}^2) \vec{e}_{\vartheta}$$

$$(r \sin\vartheta \dot{\varphi} + 2\sin\vartheta \dot{r}\dot{\varphi} + 2r \cos\vartheta \dot{\varphi} \dot{\vartheta}) \vec{e}_{\varphi}$$

10.11.2016

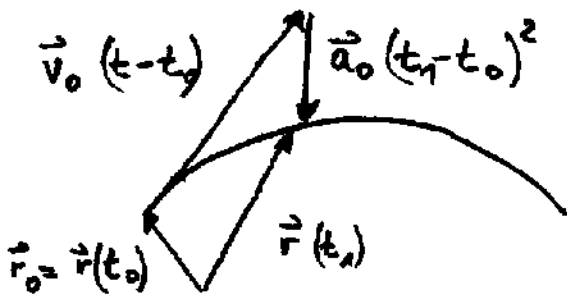
# Kinematics

Examples : 1) Uniformly accelerated motion :  $\vec{a}(t) = \vec{a}_0$

initial conditions :  $\vec{r}(t_0) = \vec{r}_0$  ,  $\vec{v}(t_0) = \vec{v}_0$

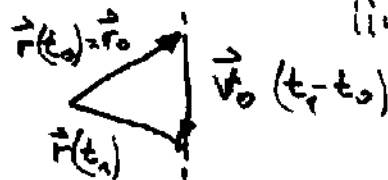
$$\vec{v}(t) = \vec{v}_0 + \int_{t_0}^t \vec{a}_0 dt = \vec{v}_0 + \vec{a}_0(t-t_0)$$

$$\begin{aligned} \vec{r}(t) &= \vec{r}_0 + \vec{v}_0(t-t_0) + \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' \vec{a}_0 \\ &= \vec{r}_0 + \vec{v}_0(t-t_0) + \int_{t_0}^t dt' \vec{a}_0(t'-t_0) \\ &= \vec{r}_0 + \vec{v}_0(t-t_0) + \vec{a}_0 \frac{1}{2}(t-t_0)^2 \end{aligned}$$



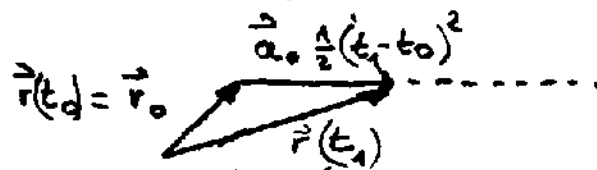
special cases

1)  $\vec{a}_0 = 0$  uniform straight line motion



2) uniform accelerated straight line motion

$$\vec{v}_0 = 0, \vec{a}_0 \neq 0$$

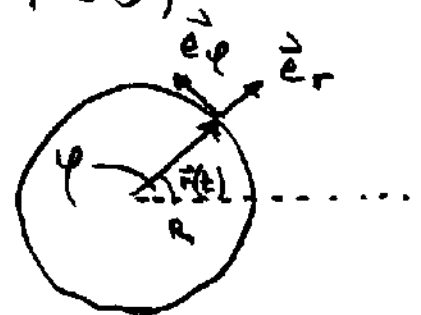


2) Circular motion on circle with (fixed) radius R

$$\vec{r}(t) = R \vec{e}_r \quad (r = R, \dot{r} = 0, \ddot{r} = 0)$$

$$\vec{v}(t) = R \dot{\psi} \vec{e}_\psi$$

$$\vec{a}(t) = -R \dot{\psi}^2 \vec{e}_r + R \ddot{\psi} \vec{e}_\psi$$





Axiom 1 (Galilei's law of inertia):

(There exist systems of coordinates in which a force-free body (mass point) persists in the state in the state of rest or in state of uniform straight-line motion)

- following definitions
- 1) force-free body : no external influence
  - 2) inertial system : coordinate system in which axiom holds
  - 3) (linear) momentum:  $\vec{p} = m_{in} \vec{v}$   
(product of mass and velocity)

Axiom 2 (Law of motion)

rate of change of momentum = force

$$\dot{\vec{p}} = \vec{F}, \quad \dot{\vec{p}} = \dot{m} \vec{v} + m \dot{\vec{v}}$$

Remarks

a) time-independent mass  $\vec{p}(t) = m_{in} \vec{v}(t)$

$$\dot{\vec{p}} = m_{in} \dot{\vec{v}} = m_{in} \vec{a} = \vec{F} \quad (\text{basic dynamical equation})$$

b) special relativity

$$m_{in} = \frac{m_0}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$m_{in} \neq 0$$

for  $v \ll c$   $m_{in} \approx m_0$

c) changes of mass also possible:  
rocket, car (fuel), ...  $\dot{m}_{in} < 0$

d) Axiom :  $\vec{F} = \alpha \dot{\vec{p}}$  , choose  $\alpha = 1$

e) dynamical equation of motion :  $\frac{\vec{F}}{m_{in}} = \vec{a}$   
 r.h.s. well defined : yields definition of ratio

Axiom 3 : Law of reaction "actio = reactio"

$\vec{F}_{12}$  : Force of body 2 on body 1

$\vec{F}_{21}$  : Force of body 1 on body 2

$$\vec{F}_{12} = -\vec{F}_{21}$$

Note : allows to measure masses ( $\dot{m}_{in} = 0$ )

$$\begin{aligned} \vec{F}_{12} &= -\vec{F}_{21} \\ m_1 \vec{a}_1 &= -m_2 \vec{a}_2 \end{aligned} \quad \left. \vphantom{\begin{aligned} \vec{F}_{12} &= -\vec{F}_{21} \\ m_1 \vec{a}_1 &= -m_2 \vec{a}_2 \end{aligned}} \right\} \text{absolute value}$$

$$m_1 a_1 = m_2 a_2$$

$$\frac{a_1}{a_2} = \frac{m_2}{m_1} \quad (\text{independent of type of force})$$

$$m_2 = m_1 \frac{a_1}{a_2} \quad m_1 : \text{fixed mass } 1 \text{ kg}$$

$$[m_{in}] = \text{kg}$$

force is following definition  $\vec{F} = m_{in} \vec{a}$

$$[\vec{F}] = \frac{\text{kg m}}{\text{s}^2} = \text{N}$$

Axiom 4 (Superposition principle)

$$\vec{F} = \sum_{i=1}^n \vec{F}_i \quad (\text{forces add up like vectors})$$

Forces : Force field  $\vec{F} = \vec{F}(\vec{r}, \dot{\vec{r}}, t)$

Examples of model forces :

a) Weight, gravitational force

$$\vec{F}_s = m_h \vec{g}$$

$m_s$  : heavy (gravitational) mass  
 $\vec{g} = (0, 0, -g)$  gravity acceleration  
 $g \approx 9.81 \frac{m}{s^2}$

measurement of  $m_s$  (elongation of spring)



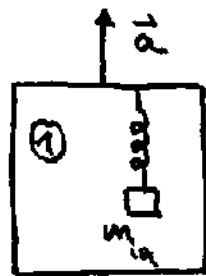
mass point in gravitational field

$$m_{in} a = m_h g \quad \Rightarrow \quad a = \frac{m_h}{m_{in}} g$$

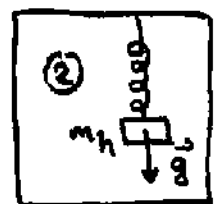
$\frac{m_h}{m_{in}}$  : independent of substance / mass point

Einstein's Equivalence principle  $m_h = m_{in}$

→ measure methods for  $m_{in}$  and  $m_h$  are equivalent



measurement of inertial mass in accelerated lab



measurement of heavy mass in lab subject to gravitational force field

b) Central forces

$$\vec{F}(\vec{r}, \dot{\vec{r}}, t) = f(\vec{r}, \dot{\vec{r}}, t) r \vec{e}_r \quad (\text{radial force})$$

gravitational force

$$f(r) = -\gamma \frac{m M}{r^3}$$

Coulomb force

$$f(r) = \frac{q_1 q_2}{4\pi \epsilon_0 r^3} \quad q_i: \text{charge}$$

harmonic oscillator

$$f(r) = -k$$

c) Lorentz force

$$\vec{F} = q [ \vec{E}(\vec{r}, t) + \vec{v} \times \vec{B}(\vec{r}, t) ]$$

(force on charged particle in electric field  $\vec{E}$  and magnetic induction  $\vec{B}$ , depends on velocity  $\vec{v}$ )

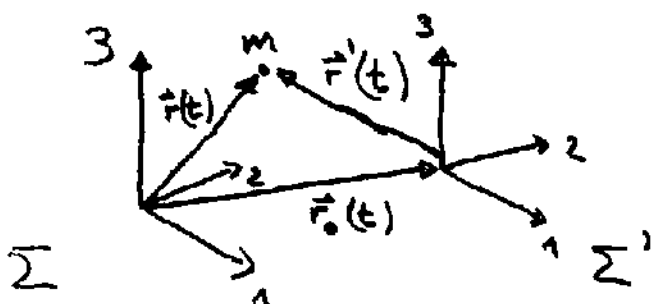
d) Frictional forces

$$\vec{F} = -\alpha(v) \vec{v} \quad \alpha(v) > 0$$

Inertial systems, Galilei transformation

recall: inertial system: force free mass moves on a straight line  $\vec{v} = \text{const}$

Consider two coordinate systems



$\Sigma'$  moves relatively to  $\Sigma$  with constant velocity  $\vec{v}_0$

$$\vec{r}_0(t) = \vec{v}_0 (t - t_0) + \vec{r}_0(t_0)$$



without loss of generality:  $t_0 = 0, \vec{r}_0(t_0) = 0$

$$\begin{aligned} \vec{r}(t) &= \vec{r}'(t) + \vec{r}_0(t), & \vec{r}_0(t) &= \vec{v}_0 t \\ \vec{v}(t) &= \dot{\vec{r}}(t) = \dot{\vec{r}}'(t) + \dot{\vec{r}}_0(t) = \vec{v}'(t) + \vec{v}_0 \\ \vec{a}(t) &= \ddot{\vec{r}}(t) = \ddot{\vec{r}}'(t) + \ddot{\vec{r}}_0(t) = \vec{a}'(t) \end{aligned}$$

Newton's law:  $m \vec{a}(t) = m \vec{a}'(t)$   
 $\vec{F} = \vec{F}'$

force free particle in  $\Sigma \Leftrightarrow$  force free particle in  $\Sigma'$   
 $\Sigma$ : inertial system  $\Leftrightarrow \Sigma'$ : inertial system

Transformation between inertial systems

$$\left. \begin{aligned} t &= t' \quad (\text{absolute time}) \\ \vec{r}(t) &= \vec{r}'(t) + \vec{v}_0(t) \end{aligned} \right\} \begin{array}{l} \text{Galilei} \\ \text{transformation} \end{array}$$

special relativity: Lorentz-Transformation

Rotating Coordinate Systems: Pseudo Forces

from  $\vec{v}_0 = \dot{\vec{v}}_0(t)$  follows

$$\begin{aligned} \vec{v}(t) &= \vec{v}'(t) + \vec{v}_0(t) + \dot{\vec{v}}_0(t) t \\ \vec{a}(t) &= \vec{a}'(t) + 2 \dot{\vec{v}}_0(t) + \ddot{\vec{v}}_0(t) t \neq \vec{a}'(t) \end{aligned}$$

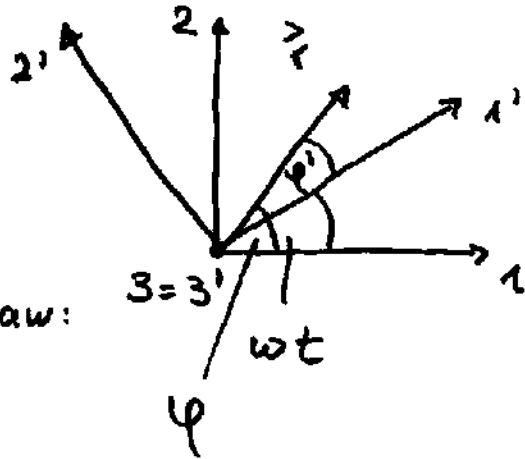
$\dot{\vec{v}}_0 \neq 0$ :  $\Sigma'$  is not an inertial system if  $\Sigma$  is one:

for  $\vec{a} = 0$  follows  $\frac{\vec{F}}{m} = \vec{a} = 0, \vec{F} = 0$  in  $\Sigma$   
 but  $\frac{\vec{F}'}{m} = \vec{a}'(t) = -2 \dot{\vec{v}}_0(t) - \ddot{\vec{v}}_0(t) t \neq 0$

Example:  $\Sigma$  inertial system  
 $\Sigma'$  rotating coordinate system with constant angular velocity  $\omega$

cylindrical coordinates :  $t=0, \Sigma \hat{=} \Sigma'$

$$\begin{aligned} s &= s' \\ \varphi &= \varphi' + \omega t \\ z &= z' \end{aligned}$$



Forces from Newton's law:

$$\Sigma: \vec{F} = m \ddot{\vec{r}}$$

$$\begin{aligned} F_s &= m a_s = m (\ddot{s} - s \dot{\varphi}^2) \\ F_\varphi &= m a_\varphi = m (s \ddot{\varphi} + 2 \dot{s} \dot{\varphi}) \\ F_z &= m a_z = m \ddot{z} \end{aligned}$$

$$\Sigma': \vec{F}' = m \ddot{\vec{r}}'$$

$$\begin{aligned} F'_s &= m a'_s = m (\ddot{s}' - s' \dot{\varphi}'^2) = m [\ddot{s} - s (\dot{\varphi} - \omega)^2] \\ F'_\varphi &= m a'_\varphi = m (s' \ddot{\varphi}' + 2 \dot{s}' \dot{\varphi}') = m [s \ddot{\varphi} + 2 \dot{s} (\dot{\varphi} - \omega)] \\ F'_z &= m a'_z = m \ddot{z}' = m \ddot{z} \end{aligned}$$

substitute  $F_s, F_\varphi, F_z$

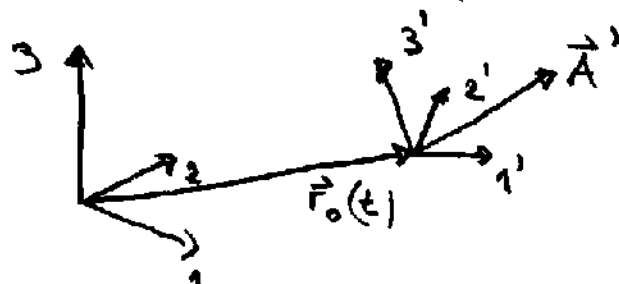
$$\begin{aligned} F'_s &= F_s + m s \omega (2 \dot{\varphi}' + \omega) \\ F'_\varphi &= F_\varphi - 2 m \dot{s} \omega \\ F'_z &= F_z \end{aligned}$$

force free body in  $\Sigma$  has pseudo forces in  $\Sigma'$ :

$$\begin{aligned} F'_s &= m s \omega (2 \dot{\varphi}' + \omega) && (\dot{\varphi}' = 0, \text{ centrifugal force}) \\ F'_\varphi &= -2 m \dot{s} \omega && (\text{Coriolis force}) \end{aligned}$$

# Arbitrarily Accelerated Reference Systems

Consider : inertial system  $\Sigma$  with  $\{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$   
 arbitrarily moving system  $\Sigma'$  with  $\{\vec{e}'_1, \vec{e}'_2, \vec{e}'_3\}$



vector  $\vec{A}'$  in  $\Sigma'$  :  $\vec{A}' = \sum_{i=1}^3 a_i' \vec{e}'_i$

calculate time derivative in  $\Sigma$  :

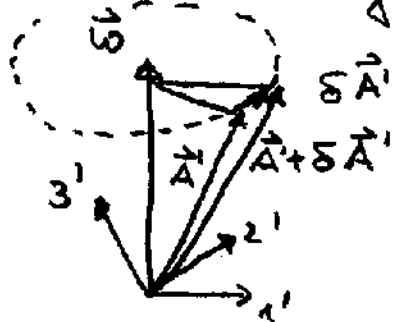
$$\frac{d\vec{A}'}{dt} = \sum_{i=1}^3 \dot{a}_i' \vec{e}'_i + \sum_{i=1}^3 a_i' \dot{\vec{e}}'_i$$

} identification of quantities

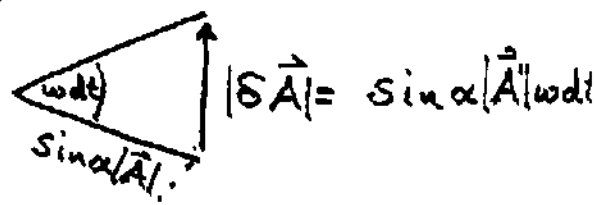
$$\left. \frac{d\vec{A}'}{dt} \right|_{\Sigma} = \sum_{i=1}^3 \left. \frac{da_i'}{dt} \right|_{\Sigma} \vec{e}'_i + \sum_{i=1}^3 a_i' \left. \frac{d\vec{e}'_i}{dt} \right|_{\Sigma}$$

$$= \underbrace{\left. \frac{d\vec{A}'}{dt} \right|_{\Sigma'}}_{\frac{\delta \vec{A}'}{dt}} + \sum_{i=1}^3 a_i' \left. \frac{d\vec{e}'_i}{dt} \right|_{\Sigma}$$

Consider change of  $\vec{A}'$  due to rotation



Geometry



Note :  $\delta \vec{A}' \perp \vec{\omega}$   
 $\delta \vec{A}' \perp \vec{A}'$

$$|\delta \vec{A}'| = \sin \alpha |\vec{A}'| \omega dt, \quad \alpha = \angle(\vec{\omega}, \vec{A}')$$

}  $\delta \vec{A}' = (\vec{\omega} \times \vec{A}') dt$

$$\delta \vec{A}' = (\vec{\omega} \times \vec{A}') dt$$

change due to rotation:  $\left. \frac{d\vec{A}'}{dt} \right|_{\text{Rotation}} = \vec{\omega} \times \vec{A}'$

in summary:

$$\left. \frac{d\vec{A}'}{dt} \right|_{\Sigma} = \left. \frac{d\vec{A}'}{dt} \right|_{\Sigma'} + \vec{\omega} \times \vec{A}' \quad (\text{holds for arbitrary vector})$$

Time derivative operator

$$\left. \frac{d}{dt} \right|_{\Sigma} = \left. \frac{d}{dt} \right|_{\Sigma'} + \vec{\omega} \times$$

Use for position vector  $\vec{r}' = \vec{r} - \vec{r}_0$

$$\left. \frac{d\vec{r}}{dt} \right|_{\Sigma} - \left. \frac{d\vec{r}_0}{dt} \right|_{\Sigma} = \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma} = \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} + \vec{\omega} \times \vec{r}' \quad \left. \vphantom{\frac{d\vec{r}'}{dt}} \right\} \text{2nd derivative}$$

$$\begin{aligned} \left. \frac{d^2 \vec{r}}{dt^2} \right|_{\Sigma} - \left. \frac{d^2 \vec{r}_0}{dt^2} \right|_{\Sigma} &= \left. \frac{d}{dt} \right|_{\Sigma} \left[ \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} + \vec{\omega} \times \vec{r}' \right] \\ &= \left. \frac{d^2 \vec{r}'}{dt^2} \right|_{\Sigma'} + \vec{\omega} \times \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} + \left. \frac{d}{dt} \right|_{\Sigma} [\vec{\omega} \times \vec{r}'] \\ &= \left. \frac{d^2 \vec{r}'}{dt^2} \right|_{\Sigma'} + \vec{\omega} \times \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} + \left. \frac{d\vec{\omega}}{dt} \right|_{\Sigma} \times \vec{r}' \\ &\quad + \underbrace{\vec{\omega} \times \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma}}_{\left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} + \vec{\omega} \times \vec{r}'} \\ &= \left. \frac{d^2 \vec{r}'}{dt^2} \right|_{\Sigma'} + 2 \vec{\omega} \times \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} + \left. \frac{d\vec{\omega}}{dt} \right|_{\Sigma} \times \vec{r}' + \vec{\omega} \times (\vec{\omega} \times \vec{r}') \end{aligned}$$

multiply with  $m$  and use Newton's law to relate forces

$$m \left. \ddot{\vec{r}}' \right|_{\Sigma'} = \vec{F}' = \vec{F} - m \ddot{\vec{r}}_0 - 2m \vec{\omega} \times \left. \frac{d\vec{r}'}{dt} \right|_{\Sigma'} - m \vec{\omega} \times (\vec{\omega} \times \vec{r}') - m \left. \dot{\vec{\omega}} \right|_{\Sigma} \times \vec{r}'$$

additional pseudo forces (inertia forces):

$$\vec{F}' = \vec{F} - m \ddot{\vec{r}}_0 - 2m \vec{\omega} \times \frac{d\vec{r}'}{dt} \Big|_{\Sigma'} - m \vec{\omega} \times (\vec{\omega} \times \vec{r}') - m \dot{\vec{\omega}} \times \vec{r}'$$

↑
↑
↑

relative acceleration of coordinate systems
Coriolis force
centrifugal force

### Simple Problems of Dynamics

Newton's law  $\vec{F} = \dot{\vec{p}} \stackrel{m = \text{const}}{=} m \vec{a} = m \ddot{\vec{r}}$

For  $\vec{F} = \vec{F}(\vec{r}, \dot{\vec{r}}, t)$ : <sup>2nd order</sup> differential equation for  $\vec{r}$

Solution scheme:

- 1) writing down / setting equations of motion (considering all forces)
- 2) solution of the differential equation (mathematical methods)
- 3) physical discussion of solution

For  $\vec{F} = \vec{F}(t)$ : similar to kinematics  $\vec{a}(t) = \frac{\vec{F}(t)}{m}$

solution: already discussed

$$\vec{r}(t) = \vec{r}_0 + \vec{v}_0 (t - t_0) + \int_{t_0}^t dt' \int_{t_0}^{t'} dt'' \vec{a}(t'')$$

Examples:

- 1) force-free motion  $\vec{F} = 0, \vec{r}(t) = \vec{r}_0 + \vec{v}_0 (t - t_0)$

2) motion in homogeneous gravitational field

$$\vec{F} = m \vec{g} \quad , \quad m \vec{a} = m \vec{g} \quad \Rightarrow \quad \vec{a} = \vec{g}$$

$$\vec{r}(t) = \vec{r}_0 + \vec{v}_0(t - t_0) + \frac{1}{2} \vec{g}(t - t_0)^2$$

initial conditions: example free fall from height h

$$\vec{r}_0 = (0, 0, h)$$

$$\vec{v}_0 = 0$$

solution:  $\vec{r}(t) = \sum_i x_i \vec{e}_i \quad , \quad x_1(t) = x_2(t) = 0$

$$x_3(t) = h - \frac{1}{2} g t^2 \quad , \quad \dot{x}_3(t) = -g t$$

fall-time: condition  $x_3(t) = 0$

$$0 = h - \frac{1}{2} g t_F^2$$

$$\Rightarrow t_F = \sqrt{2 \frac{h}{g}}$$

corresponding velocity  $\dot{x}_3(t_F) = v_F$

$$v_F = -\sqrt{2hg}$$

For forces that depend on the position or the velocity, more sophisticated mathematical methods required:

$$m \ddot{\vec{r}} = \vec{F}(\vec{r}, \dot{\vec{r}}, t)$$

differential equation of n-th order:

$$f(x^{(n)}, x^{(n-1)}, \dots, \dot{x}, x, t) = 0$$

with

$$x^{(n)} = \frac{d^n x}{dt^n}$$

n-th derivative

$m \ddot{\vec{r}} - \vec{F}(\vec{r}, \dot{\vec{r}}, t) = 0$   
coupled differential eqn. of 2<sup>nd</sup> order

general solution of differential equation of  $n$ -th order:

$$x = x(t, \gamma_1, \gamma_2, \dots, \gamma_n)$$

where  $\{\gamma_i\}$  are  $n$  independent parameters

special solution (particular solution) for fixed set  $\{\gamma_i\}$  which are determined fx. by initial conditions  $\{x^{(n)}(t_0)\}$

Example:

$$\ddot{x}_3 + g = 0$$

from  $m \ddot{r} = m \vec{g}$   
 $\vec{g} = (0, 0, -g)$

general solution

$$x_3(t) = \gamma_1 + \gamma_2 t - \frac{1}{2} g t^2$$

for  $t_0 = 0$ ,  $\dot{x}_3(0) = v_0$ ,  $x_3(0) = x_0$

the parameters are:  $\gamma_1 = x_0$ ,  $\gamma_2 = v_0$

Definition: Linear differential equation

$$\sum_{j=0}^n a_j(t) x^{(j)}(t) = \beta(t)$$

derivatives up to  $n$ -th order appear linearly

$\beta(t) = 0$  homogeneous  
 $\beta(t) \neq 0$  inhomogeneous

Superposition principle (linear homogeneous D.E.)

if  $x_1(t)$  and  $x_2(t)$  solve LDE, also

$$\tilde{x}(t) = c_1 x_1(t) + c_2 x_2(t) \quad \text{solve it with arbitrary constants } c_i$$

Definition: Linear independency of (solution) functions

$x_1(t), \dots, x_n(t)$  are linear independent if

$$\sum_{j=1}^n \alpha_j x_j(t) = 0$$

is an identity only for  $\alpha_1 = \dots = \alpha_n = 0$

general solution of homogeneous LDE (n-th order):

$$x(t, \gamma_1, \dots, \gamma_n) = \sum_{j=1}^n \alpha_j x_j(t)$$

where  $x_j(t)$  are linearly independent solutions

$$m=n \begin{cases} m \geq n & : \text{need minimum } n \text{ parameters for general solution} \\ m \leq n & : \text{cannot have more than } n \text{ } \alpha_j \text{ to parametrize general solution} \end{cases}$$

Solution of inhomogeneous LDE

particular solution of inhom. LDE!

$$\bar{x}(t, \gamma_1, \dots, \gamma_n) = \sum_{j=1}^n \alpha_j x_j(t) + x_0(t)$$

general solution of hom. LDE (superposition of n special solutions)



Applications: Motion with friction in the homogeneous gravitational field

Friction forces in gases and liquids:

- 1) Newton's law of friction  $\vec{F}_{R_g} = -\beta v \vec{v}$
- 2) Stoke's law of friction  $\vec{F}_{R_g} = -\alpha \vec{v}$

Friction between solids

- 1) sliding friction  $\vec{F}_{R_s} = -\mu F_{\perp} \hat{v}, \vec{v} \neq 0$
- 2) static friction  $\vec{F}_{R_s} = -\vec{F}_{\parallel}, \vec{v} = 0$   
(compensates external force,  $F_{\parallel} < \mu F_{\perp}$ )

Equation of motion:

$$m \ddot{\vec{r}} = m \vec{g} + \vec{F}_{R_g} \Leftrightarrow m \ddot{\vec{r}} - \vec{F}_{R_g}(\dot{\vec{r}}) = m \vec{g}$$

(inhomogeneous DE of 2nd order)

Newton's law: non-linear

Stoke's law: linear DE with inhomogeneity  $m \vec{g}$

$$m \ddot{\vec{r}} + \alpha \dot{\vec{r}} = m \vec{g}$$

1) construct solution for homogeneous DE

$$m \ddot{\vec{r}} + \alpha \dot{\vec{r}} = 0 \quad \text{decouple equations}$$

$$m \ddot{x}_i + \alpha \dot{x}_i = 0 \quad i = 1, 2, 3$$

(DE with constant coefficients)

23.11.2016

need: 2 linear independent solutions

$$\text{Ansatz } x_i(t) = e^{\gamma t}, \quad \dot{x}_i(t) = \gamma e^{\gamma t}, \quad \ddot{x}_i(t) = \gamma^2 e^{\gamma t}$$

insert:  $m \gamma^2 e^{\gamma t} + \alpha \gamma e^{\gamma t} = 0$

$\Rightarrow m \gamma^2 + \alpha \gamma = 0$

$(m \gamma + \alpha) \gamma = 0 \quad \gamma_1 = 0, \gamma_2 = -\frac{\alpha}{m}$

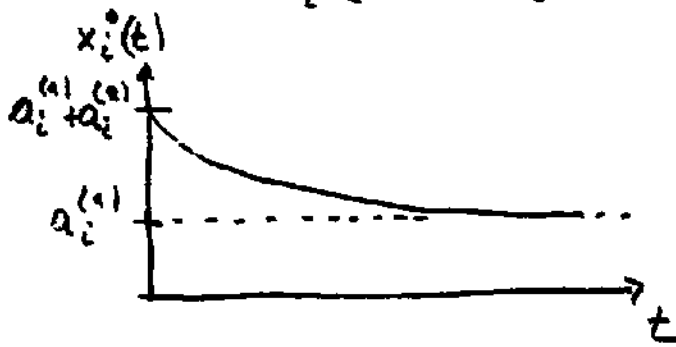
two linearly independent solutions

$x_1(t) = e^{0t} = 1$

$x_2(t) = e^{-\frac{\alpha}{m} t}$

general solution:

$x_i^o(t) = a_i^{(1)} + a_i^{(2)} e^{-\frac{\alpha}{m} t}$



motion of particle under influence of friction only (full solution for  $i=1,2$ )

now consider  $i=3 \quad m \ddot{x}_3 + \alpha \dot{x}_3 = -mg$

construct one special solution where friction force and gravitational force are equal:

$\alpha \dot{x}_3^s = -mg \quad \Rightarrow \quad \dot{x}_3^s = -\frac{mg}{\alpha}$

(force free motion)  $x_3^s(t) = -\frac{mg}{\alpha} t, \ddot{x}_3 = 0$

general solution of inhomogeneous equation:

$x_3(t) = a_3^{(1)} + a_3^{(2)} e^{-\frac{\alpha}{m} t} - \frac{mg}{\alpha} t$

$x_{1/2}(t) = a_{1/2}^{(1)} + a_{1/2}^{(2)} e^{-\frac{\alpha}{m} t}$

Discussion of the solution:

calculate the velocities:  $v_{1/2}(t) = -a_{1/2}^{(2)} \frac{\alpha}{m} e^{-\frac{\alpha}{m} t}$

$$v_3(t) = -a_3^{(2)} \frac{\alpha}{m} e^{-\frac{\alpha}{m} t} - \frac{m}{\alpha} g$$

choose initial conditions: vertical fall

$$\vec{r}(t_0) = (0, 0, h) \quad t_0 = 0$$

$$\vec{v}(t_0) = (0, 0, 0)$$

fixing the parameters  $a_{1/2}^{(1)} = 0$  and  $a_{1/2}^{(2)} = 0$  yields

$$x_1(t) = 0, \quad x_2(t) = 0$$

no motion in 1 and 2 direction

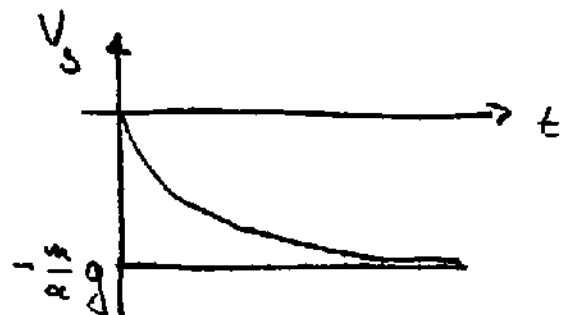
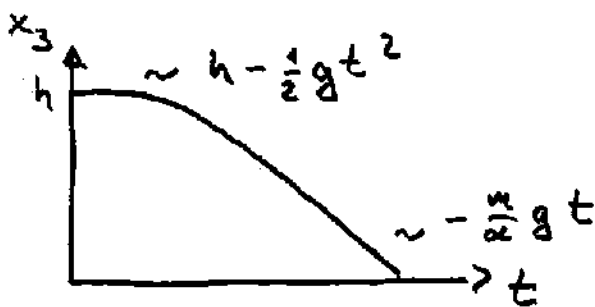
$$x_3(0) = a_3^{(1)} + a_3^{(2)} = h$$

$$\dot{x}_3(0) = -a_3^{(2)} \frac{\alpha}{m} - \frac{m}{\alpha} g = 0 \quad \Rightarrow a_3^{(2)} = -\frac{m^2}{\alpha^2} g$$

inserting  $a_3^{(1)} - \frac{m^2}{\alpha^2} g = h \quad \Rightarrow a_3^{(1)} = h + \frac{m^2}{\alpha^2} g$

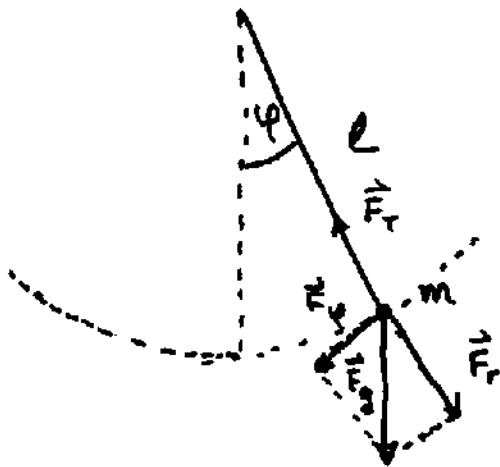
$$x_3(t) = h + \frac{m^2}{\alpha^2} g \left( 1 - e^{-\frac{\alpha}{m} t} \right) - \frac{m}{\alpha} g t$$

$$v_3(t) = \frac{m}{\alpha} g \left( e^{-\frac{\alpha}{m} t} - 1 \right)$$



$$\lim_{t \rightarrow \infty} v_3(t) = -\frac{m}{\alpha} g$$

## Simple Pendulum (mathematical pendulum)



mass point on massless thread

$$\vec{F} = m \ddot{\vec{r}}$$

consider all forces

$$\vec{F}_g = m \vec{g}$$

$$\left. \begin{aligned} \vec{F}_r &= F_r \vec{e}_r \\ \vec{F}_\varphi &= F_\varphi \vec{e}_\varphi \end{aligned} \right\} \vec{F}_g = \vec{F}_r + \vec{F}_\varphi$$

decompose force

calculate components :  $F_r = m g \cos \varphi$ 

$$F_\varphi = -m g \sin \varphi$$

equations of motion in polar coordinates

$$m \left[ (\ddot{r} - r \dot{\varphi}^2) \vec{e}_r + (r \ddot{\varphi} + 2\dot{r} \dot{\varphi}) \vec{e}_\varphi \right] = (F_r + F_\varphi) \vec{e}_r + F_\varphi \vec{e}_\varphi$$

 $F_T$ : thread tension :  $r = l, \dot{r} = 0, \ddot{r} = 0$ radial component  $-m r \dot{\varphi}^2 = F_r + F_T$ calculate  $F_T$  once dynamics of  $\varphi(t)$  is known.angular component  $m l \ddot{\varphi} = -m g \sin \varphi$ 

$$l \ddot{\varphi} + g \sin \varphi = 0 \quad \text{nonlinear D.E. (2nd order)}$$

small angles  $\sin \varphi \approx \varphi$ 

$$\ddot{\varphi} + \frac{g}{l} \varphi = 0, \quad \text{introduce } \omega^2 = \frac{g}{l}$$

$$\ddot{\psi} = -\omega^2 \psi \quad \text{linear D.E. (2nd order)}$$

2 linearly independent solutions

$$\psi_1 = \sin(\omega t) \quad , \quad \ddot{\psi}_1 = -\omega^2 \sin(\omega t)$$

$$\psi_2 = \cos(\omega t) \quad , \quad \ddot{\psi}_2 = -\omega^2 \cos(\omega t)$$

linearly independent  $c_1 \sin(\omega t) + c_2 \cos(\omega t) = 0$   
 $\Rightarrow c_1 = c_2 = 0$

general solution:

$$\psi(t) = A \sin(\omega t) + B \cos(\omega t) \quad ; \quad A, B \text{ fixed by initial cond.}$$

angular frequency :  $\omega = \sqrt{\frac{g}{l}}$  independent of mass ( $m_{in} = m_h$ )

oscillation period  $T = 2\pi \sqrt{\frac{l}{g}} = \frac{2\pi}{\omega}$  (from  $\omega T = 2\pi$ )

frequency :  $\nu = \frac{1}{T} = \frac{1}{2\pi} \sqrt{\frac{g}{l}}$

Rewrite solution (with two other parameters):

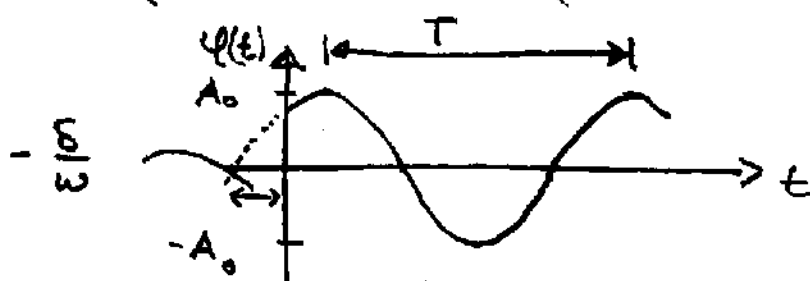
$$\psi(t) = \underbrace{\sqrt{A^2 + B^2}}_{A_0} \left( \underbrace{\frac{A}{\sqrt{A^2 + B^2}}}_{\cos \delta} \sin(\omega t) + \underbrace{\frac{B}{\sqrt{A^2 + B^2}}}_{\sin \delta} \cos(\omega t) \right)$$

use  $\sin(x+y) = \sin x \cos y + \cos x \sin y$

$$\psi(t) = A_0 \sin(\omega t + \delta)$$

$A_0$ : Amplitude

$\delta$ : phase shift



# Complex Numbers

Imaginary numbers:  $\mathbb{I}$  (set of imaginary numbers)

Definition: Unit of imaginary numbers

$$i^2 = -1, \quad i = \sqrt{-1}$$

Definition: set of imaginary numbers  $\{\alpha\}$ :

$$\alpha^2 < 0, \quad \alpha = y \cdot i \quad y \in \mathbb{R}$$

Definition: Complex numbers  $\mathbb{C}$  (set of complex numbers)

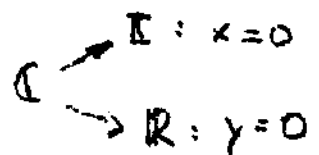
$$z = x + iy \quad x, y \in \mathbb{R}$$

real part  $\operatorname{Re} z = x$

imaginary part  $\operatorname{Im} z = y$

conjugated complex number  $z^* = x - iy$

$$z = 0 \Rightarrow \operatorname{Re} z = x = 0, \operatorname{Im} z = y = 0$$



Calculation rules  $z_1, z_2 \in \mathbb{C}$

Addition  $z_1 + z_2 = x_1 + iy_1 + x_2 + iy_2 = (x_1 + x_2) + i(y_1 + y_2)$

Multiplication  $z_1 \cdot z_2 = (x_1 + iy_1)(x_2 + iy_2) = x_1x_2 + iy_1x_2 + ix_1y_2 - y_1y_2$   
 $= (x_1x_2 - y_1y_2) + i(y_1x_2 + x_1y_2)$

special case  $z z^* = (x + iy)(x - iy) = x^2 + y^2 = |z|^2$

Division  $\frac{z_1}{z_2} = \frac{x_1 + iy_1}{x_2 + iy_2} = \frac{z_1}{z_2} \cdot \frac{z_2^*}{z_2^*} = \frac{(x_1 + iy_1)(x_2 - iy_2)}{x_2^2 + y_2^2}$

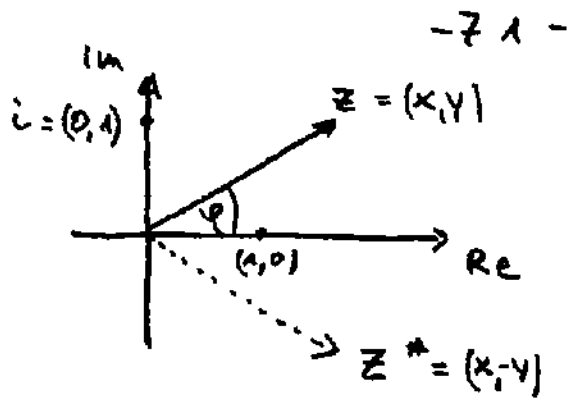
$$= \frac{x_1x_2 + y_1y_2}{x_2^2 + y_2^2} + i \frac{y_1x_2 - x_1y_2}{x_2^2 + y_2^2}$$

special case  $\frac{1}{i} = \frac{1}{i} \cdot \frac{-i}{-i} = \frac{-i}{-i^2} = \frac{-i}{-(-1)} = -i$

Complex plane:

Consider real and imaginary part as components of vectors

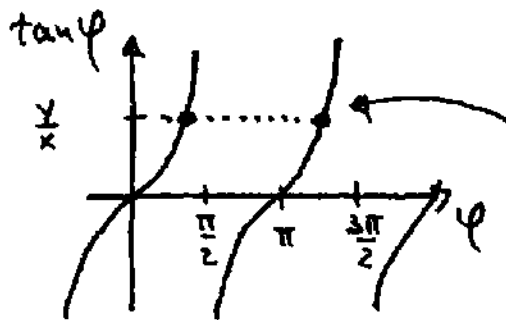
$$z = x + iy = x \underbrace{\begin{pmatrix} 1 \\ 0 \end{pmatrix}}_1 + y \underbrace{\begin{pmatrix} 0 \\ 1 \end{pmatrix}}_i = (x, y)$$



polar representation

$$z = |z| (\cos \varphi + i \sin \varphi)$$

$$|z| = \sqrt{x^2 + y^2}$$



$$\varphi = \arctan\left(\frac{y}{x}\right) = \arg(z)$$

(argument of z)

choose "correct" solution

Exponential form of a complex number

Recall: Series expansions

$$e^x = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

$$\begin{aligned} \sin(x) &= x - \frac{x^3}{3!} + \dots = \sum_{n=0}^{\infty} \underbrace{(-1)^n}_{\frac{1}{i}} \frac{x^{2n+1}}{(2n+1)!} \\ &= \frac{1}{i} \sum_{n=0}^{\infty} \frac{(ix)^{2n+1}}{(2n+1)!} \end{aligned}$$

$$\begin{aligned} \cos x &= 1 - \frac{x^2}{2} + \dots = \sum_{n=0}^{\infty} \underbrace{(-1)^n}_{i^{2n}} \frac{x^{2n}}{(2n)!} \\ &= \sum_{n=0}^{\infty} \frac{(ix)^{2n}}{(2n)!} \end{aligned}$$

now consider :

$$\begin{aligned} \cos x + i \sin x &= \sum_{n=0}^{\infty} \frac{(ix)^{2n}}{(2n)!} + i \frac{1}{i} \sum_{n=0}^{\infty} \frac{(ix)^{2n+1}}{(2n+1)!} \\ &= \sum_{m=0}^{\infty} \frac{(ix)^m}{m!} = e^{ix} \end{aligned}$$

Euler formula :  $e^{i\varphi} = \cos \varphi + i \sin \varphi$

Re  $e^{i\varphi} = \cos \varphi$        $z = |z| e^{i\varphi}$

Im  $e^{i\varphi} = \sin \varphi$        $z^* = |z| e^{-i\varphi}$

inverse Euler formula:  $\cos \varphi = \frac{1}{2} (e^{i\varphi} + e^{-i\varphi})$   
 $\sin \varphi = \frac{1}{2i} (e^{i\varphi} - e^{-i\varphi})$

further calculation rules:

Multiplication  $z_1 \cdot z_2 = |z_1| \cdot |z_2| e^{i(\varphi_1 + \varphi_2)}$

Division  $\frac{z_1}{z_2} = \frac{|z_1|}{|z_2|} e^{i(\varphi_1 - \varphi_2)}$

Power  $z^n = |z|^n e^{in\varphi}$

Root  $\sqrt[n]{z} = \sqrt[n]{|z|} e^{i \frac{1}{n} \varphi}$ ,  $\sqrt[n]{z} = z^{\frac{1}{n}}$

periodicity in  $\varphi$ :  $1 = e^{i \cdot 0} = e^{2\pi i}$

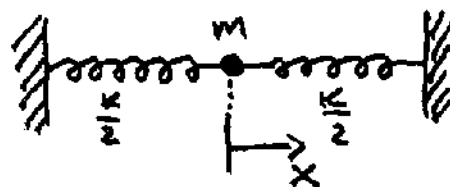
any complex number  $z = |z| e^{i\varphi} = |z| e^{i(\varphi + 2\pi n)}$

Examples:  $\ln(-5) = \ln(5 e^{i\pi})$   
 $= \ln 5 + \ln(e^{i\pi + 2\pi n})$   
 $= \ln 5 + i\pi(1 + 2n)$   
 $z = 1 - i = \sqrt{1^2 + 1^2} e^{i\varphi} = \sqrt{2} e^{i \frac{7\pi}{4}}$   
 $\varphi = \arctan(-1) = \frac{7\pi}{4}$

### Linear harmonic oscillator

$$\ddot{x} + \omega_0^2 x = 0 \quad (\text{L.D.E. } 2^{\text{nd}} \text{ order})$$

1) mass on springs



Hooke's law  
 $F = -kx$

$$m \ddot{x} = -kx$$

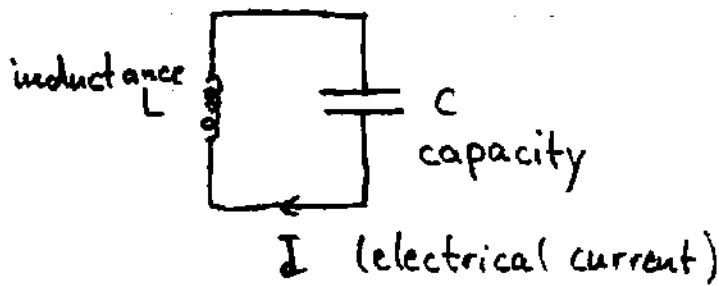
$$\ddot{x} + \frac{k}{m} x = 0$$

$$\omega_0 = \sqrt{\frac{k}{m}}$$

2) mathematical pendulum  $\ddot{\varphi} + \frac{g}{l} \varphi = 0$ ,  $\omega_0 = \sqrt{\frac{g}{l}}$



2) non-mechanical : electrical oscillator



$$L \ddot{I} + \frac{1}{C} I = 0$$

$$\ddot{I} + \frac{1}{LC} I = 0, \omega_0 = \frac{1}{\sqrt{LC}}$$

Now: solve L.D.E. with exponential ansatz

$$x(t) = e^{\alpha t} \quad \ddot{x}(t) = \alpha^2 e^{\alpha t}$$

$$\Rightarrow \alpha^2 e^{\alpha t} + \omega_0^2 e^{\alpha t} = 0$$

$$(\alpha^2 + \omega_0^2) e^{\alpha t} = 0$$

$$\alpha^2 = -\omega_0^2$$

no solution for  $\alpha \in \mathbb{R}$

$$\alpha_{\pm} = \pm \sqrt{-\omega_0^2} = \pm i \omega_0$$

two linearly independent solutions

$$x_1(t) = e^{i\omega_0 t}$$

$$x_2(t) = e^{-i\omega_0 t}$$

general solution:

$$x(t) = A e^{i\omega_0 t} + B e^{-i\omega_0 t}$$

fix A and B with initial conditions and need that  $x(t)$  is real quantity:  $x = x^*$

$$x(t) = A e^{i\omega_0 t} + B e^{-i\omega_0 t} = x^*(t) = A^* e^{-i\omega_0 t} + B^* e^{i\omega_0 t}$$

$$\Rightarrow (A - B^*) e^{i\omega_0 t} + (B - A^*) e^{-i\omega_0 t} = 0$$

$$A = B^* = a + ib$$

rewrite solution

$$x(t) = (a + ib) e^{i\omega_0 t} + (a - ib) e^{-i\omega_0 t}$$

$$= 2a \frac{1}{2} (e^{i\omega_0 t} + e^{-i\omega_0 t}) - 2b \frac{1}{2i} (e^{i\omega_0 t} - e^{-i\omega_0 t})$$

$$x(t) = 2a \cos(\omega_0 t) - 2b \sin(\omega_0 t)$$

(Solution as discussed before  $x(t) = A_0 \sin(\omega_0 t + \delta)$ )

Discussion on example:

1)  $x(0) = x_0, \dot{x}(0) = 0$  (oscillator at rest at  $t=0$ )

$$x_0 = 2a$$

$$\dot{x}(t) = -2a\omega_0 \sin(\omega_0 t) - 2b\omega_0 \cos(\omega_0 t)$$

$$0 = -2b\omega_0$$

special solution  $x(t) = x_0 \cos(\omega_0 t)$

2)  $x(0) = 0, \dot{x}(0) = v_0$  (oscillator at origin, but with finite velocity at  $t=0$ )

$$0 = 2a$$

$$v_0 = -2b\omega_0 \Rightarrow -2b = \frac{v_0}{\omega_0}$$

special solution  $x(t) = \frac{v_0}{\omega_0} \sin(\omega_0 t)$

Linear harmonic oscillator with damping

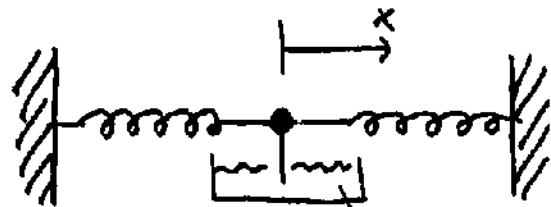
Examples

1)

$$m \ddot{x} = -\alpha \dot{x} - kx$$

$$\Rightarrow \ddot{x} + \frac{\alpha}{m} \dot{x} + \frac{k}{m} x = 0$$

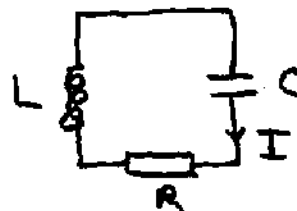
Stoke's Law  
Hooke's law



damping through viscous liquid

2) similar electric circuit

$$L \ddot{I} + R \dot{I} + \frac{1}{C} I = 0$$



inductance  
capacity  
resistance

general form of homogeneous D.E.

$$\ddot{x} + 2\beta \dot{x} + \omega_0^2 x = 0, \quad \omega_0 = \sqrt{\frac{k}{m}}, \quad \beta = \frac{\alpha}{2m}$$

Ansatz:  $x(t) = e^{\lambda t}$ ,  $\dot{x}(t) = \lambda e^{\lambda t}$ ,  $\ddot{x}(t) = \lambda^2 e^{\lambda t}$

$\Rightarrow (\lambda^2 + 2\beta\lambda + \omega_0^2) e^{\lambda t} = 0$

$\lambda^2 + 2\beta\lambda + \omega_0^2 = 0$

(polynomial equation)

$\lambda_{1/2} = -\beta \pm \sqrt{\beta^2 - \omega_0^2}$

1)  $\beta^2 < \omega_0^2$  2 complex solutions

2)  $\beta = \omega_0$  1 solution

3)  $\beta^2 > \omega_0^2$  2 real solutions

1) + 3): two linearly independent solutions

general solution

$x(t) = a_1 e^{\lambda_1 t} + a_2 e^{\lambda_2 t}$

2): need to get another solution

Discussion:

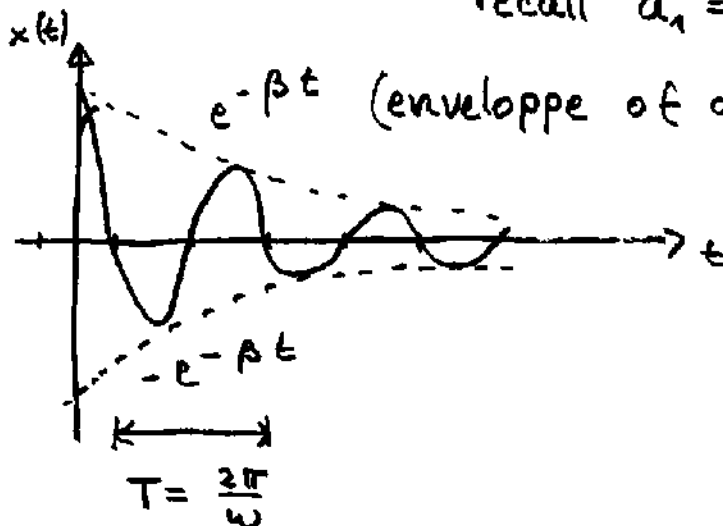
1) Oscillatory case (Weak damping)

introduce eigenfrequency  $\omega = \sqrt{\omega_0^2 - \beta^2}$

$\lambda_{1/2} = -\beta \pm i\omega$

$x(t) = \underbrace{e^{-\beta t}}_{\text{overall damping}} \left( \underbrace{a_1 e^{i\omega t} + a_2 e^{-i\omega t}}_{\text{solution as discussed without damping, but } \omega_0 \rightarrow \omega = \sqrt{\omega_0^2 - \beta^2}} \right)$

recall  $a_1 = a_2^*$  for real  $x(t)$



alternative forms of the solution

general initial conditions:  $x_0 = x(t=0) = a_1 + a_1^*$   
 $v_0 = \dot{x}(t=0) = -\beta(a_1 + a_1^*) + i\omega(a_1 - a_1^*)$

$$x_0 = 2 \operatorname{Re} a_1$$

$$v_0 = -\beta x_0 + i\omega(a_1 - a_1^*) = -\beta x_0 - \omega 2 \operatorname{Im} a_1$$

$$v_0 + \beta x_0 = -\omega 2 \operatorname{Im} a_1$$

$$a_1 = \frac{x_0}{2} - i \frac{v_0 + \beta x_0}{2\omega}$$

$$x(t) = e^{-\beta t} \left[ x_0 \cos(\omega t) + \frac{v_0 + \beta x_0}{\omega} \sin(\omega t) \right]$$

introducing  $A = \sqrt{x_0^2 + \left(\frac{v_0 + \beta x_0}{\omega}\right)^2}$

$$\varphi = \arctan\left(\frac{\omega x_0}{v_0 + \beta x_0}\right)$$

$$x(t) = e^{-\beta t} A \sin(\omega t + \varphi)$$

2) Critical damping: Aperiodic limiting case

$$\beta = \omega_0 \quad \text{only one solution: } \lambda = -\beta$$

$$x(t) = e^{-\beta t} \quad \text{extended Ansatz } x(t) = e^{-\beta t} f(t)$$

here take suitable limit

$$\omega = \sqrt{\omega_0^2 - \beta^2} \rightarrow 0$$

$$\lim_{\omega \rightarrow 0} x(t) = \lim_{\omega \rightarrow 0} \left[ e^{-\beta t} \left\{ x_0 \cos(\omega t) + \frac{v_0 + \beta x_0}{\omega} \sin(\omega t) \right\} \right]$$

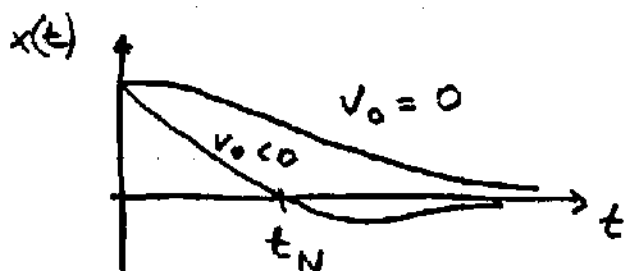
$$= e^{-\beta t} \left\{ x_0 \underbrace{\lim_{\omega \rightarrow 0} \cos(\omega t)}_1 + (v_0 + \beta x_0) \underbrace{\lim_{\omega \rightarrow 0} \frac{\sin(\omega t)}{\omega}}_t \right\}$$

$$t \lim_{\omega \rightarrow 0} \frac{\sin(\omega t)}{\omega t} = t$$

derive diff. eq. for

have full solution in terms of initial conditions

$$x(t) = e^{-\beta t} \left\{ x_0 + (v_0 + \beta x_0) t \right\}$$



no oscillations  
one zero crossing possible:

$$0 = x_0 + (v_0 + \beta x_0) t_N$$

$$t_N = -\frac{x_0}{v_0 + \beta x_0}$$

3) Strong damping (creeping case)

$\beta > \omega_0$  : two real solutions

$$\lambda_{1/2} = -\beta \pm \gamma \quad \gamma = \sqrt{\beta^2 - \omega_0^2} < \beta \Rightarrow \lambda_{1/2} < 0$$

general solution:

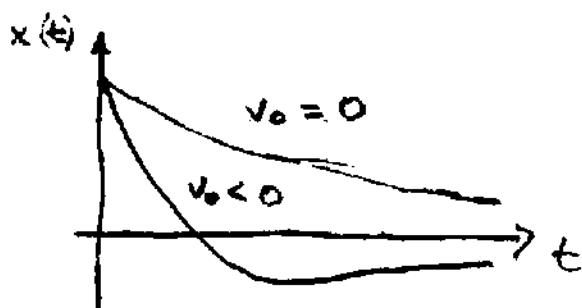
$$x(t) = e^{-\beta t} (a_1 e^{\gamma t} + a_2 e^{-\gamma t})$$

rewrite with initial conditions  $x(t=0) = x_0$   
 $\dot{x}(t=0) = v_0$

$$x(t) = e^{-\beta t} \left( x_0 \cosh(\gamma t) + \frac{v_0 + \beta x_0}{\gamma} \sinh(\gamma t) \right)$$

$$a_1 = \frac{1}{2} \left( x_0 + \frac{v_0 + \beta x_0}{\gamma} \right), \quad a_2 = \frac{1}{2} \left( x_0 - \frac{v_0 + \beta x_0}{\gamma} \right)$$

$$\cosh(x) = \frac{1}{2} (e^x + e^{-x}), \quad \sinh(x) = \frac{1}{2} (e^x - e^{-x})$$



zero crossing still possible,  
but amplitude larger than  
for aperiodic case (same  
initial conditions:  $e^{\gamma t} > t$ )

# Damped Linear Oscillator under influence of external Force

1) mechanical oscillator

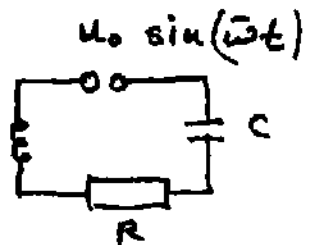
$$m \ddot{x} + \alpha \dot{x} + kx = F(t)$$

special case: periodic force:  $F(t) = m f \cos(\bar{\omega} t)$



2) electrical oscillator

$$L \ddot{I} + R \dot{I} + \frac{1}{C} I = U_0 \bar{\omega} \cos(\bar{\omega} t)$$



inhomogeneous D.E (complex valued)

$$\ddot{z} + 2\beta \dot{z} + \omega_0^2 z = f e^{i\bar{\omega} t} \quad x(t) = \text{Re } z(t)$$

general solution:  $z(t) = z_{\text{hom}}(t) + z_0(t)$   
 ↑ solution of hom. D.E.      ← special solution

consider solution after settling time:  $\lim_{t \rightarrow \infty} z_{\text{hom}}(t) = 0$

ansatz:  $z_0(t) = A e^{i\bar{\omega} t}$

$$\dot{z}_0(t) = i\bar{\omega} A e^{i\bar{\omega} t}$$

$$\ddot{z}_0(t) = -\bar{\omega}^2 A e^{i\bar{\omega} t}$$

insert:  $(-\bar{\omega}^2 + 2i\beta\bar{\omega} + \omega_0^2) A e^{i\bar{\omega} t} = \frac{f}{m} e^{i\bar{\omega} t}$

$$\Rightarrow (-\bar{\omega}^2 + 2i\beta\bar{\omega} + \omega_0^2) A = \frac{f}{m}$$

$$A = -f \frac{1}{\bar{\omega}^2 - \omega_0^2 - 2i\beta\bar{\omega}} = \text{Re } A + i \text{Im } A = |A| e^{i\bar{\varphi}}$$

$$= -f \frac{\bar{\omega}^2 - \omega_0^2 + 2i\beta\bar{\omega}}{(\bar{\omega}^2 - \omega_0^2)^2 + 4\beta^2\bar{\omega}^2}$$

$$|A| = f \frac{1}{\sqrt{(\bar{\omega}^2 - \omega_0^2)^2 + 4\beta^2\bar{\omega}^2}}, \quad \tan \bar{\varphi} = \frac{\text{Im } A}{\text{Re } A} = \frac{2\beta\bar{\omega}}{\bar{\omega}^2 - \omega_0^2}$$

check for correct arg(A):  $\text{Im } A < 0$  for  $\bar{\omega} > 0$

$\text{Re } A > 0$  or  $\text{Re } A < 0$

$$\bar{\varphi} = \arctan\left(\frac{2\beta\bar{\omega}}{\bar{\omega}^2 - \omega_0^2}\right) \quad \pi < \bar{\varphi} < 2\pi$$

special solution:  $z_0(t) = A e^{i\bar{\omega}t} = |A| e^{i(\bar{\omega}t + \bar{\varphi})}$   
 $= x_0(t) + iy_0(t)$   
 $x_0(t) = |A| \cos(\bar{\omega}t + \bar{\varphi})$

general solution:  $x(t) = x_{\text{hom}}(t) + x_0(t)$

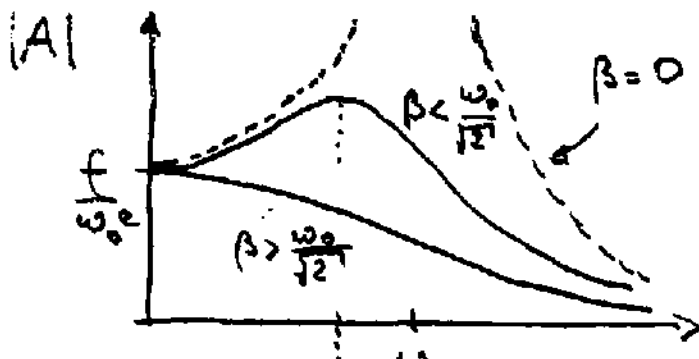
↑ fixed by initial conditions  $x(t_0), \dot{x}(t_0)$

↑ remaining for  $t \gg \frac{1}{\beta}$

Discussion of special solution:

$$x_0(t) = |A| \cos(\bar{\omega}t + \bar{\varphi})$$

Amplitude:



$$\bar{\omega} \rightarrow 0 \quad A \rightarrow \frac{f}{\omega_0^2}$$

$$\bar{\omega} \rightarrow \infty \quad A \propto \frac{1}{\bar{\omega}^2} \rightarrow 0$$

$\bar{\omega}_m = \sqrt{\omega_0^2 - 2\beta^2}$   
 (resonance frequency)

$$\frac{d|A|}{d\bar{\omega}} \stackrel{!}{=} 0$$

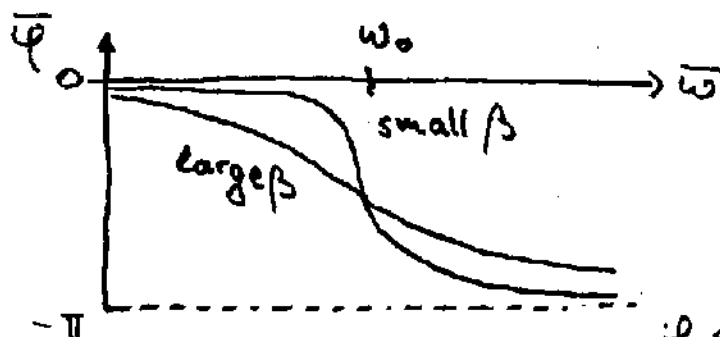
$$(\bar{\omega}^2 - \omega_0^2 + 2\beta^2)\bar{\omega} = 0$$

solutions:  $\bar{\omega}_0 = 0$

$$\bar{\omega}_{1/2} = \pm \sqrt{\omega_0^2 - 2\beta^2}$$

1 real solution for:  $\beta > \frac{\omega_0}{2}$

phase shift



$$\pi < \bar{\varphi} < 2\pi$$

$$-\pi < \bar{\varphi} < 0$$

$\bar{\varphi} < 0$ : displacement maximum reached after force reaches max.

Arbitrary space-dependent force in one dimension

$$F = F(x)$$

$$m \ddot{x} = F(x) \quad \text{nonlinear D.E.}$$

consider :  $V(x) = - \int^x F(x') dx'$  ,  $\frac{d}{dt} V(x) = - F(x) \dot{x}$

$$\dot{x} \ddot{x} = \frac{d}{dt} \left( \frac{\dot{x}^2}{2} \right)$$

$$m \dot{x} \ddot{x} = F(x) \dot{x} \quad \downarrow \text{insert definitions}$$

$$\frac{d}{dt} \left( m \frac{\dot{x}^2}{2} \right) = - \frac{d}{dt} V(x) \quad \downarrow \text{integration}$$

$$m \frac{\dot{x}^2}{2} = - V(x) + E \quad \leftarrow \text{integration constant}$$

$$\Rightarrow \dot{x} = \sqrt{\frac{2(E - V(x))}{m}} = \frac{dx}{dt} \quad dt = \frac{dx}{\sqrt{\frac{2(E - V(x))}{m}}}$$

integration via separation of variables

$$\int_{t_0}^t dt = \int_{x_0}^x \frac{dx'}{\sqrt{\frac{2(E - V(x'))}{m}}} \Rightarrow t(x) = \int_{x_0}^x \frac{dx'}{\sqrt{\frac{2(E - V(x'))}{m}}} + t_0$$

inversion :  $x(t)$  solution of D.E. with parameters  $t_0$  and  $E$

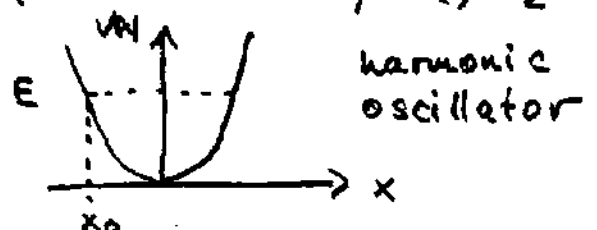
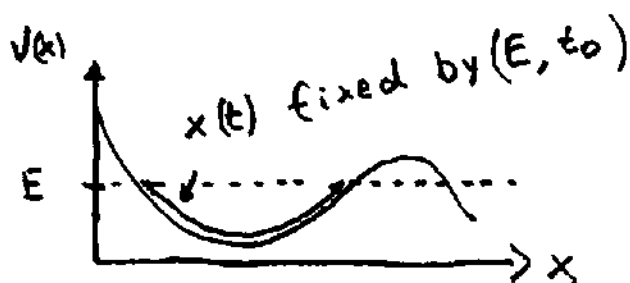
physical interpretation :  $T = m \frac{\dot{x}^2}{2}$  (kinetic energy)

$V(x) = - \int^x F(x') dx$  (potential energy)

$E = T + V$  (total energy)

$\frac{dE}{dt} = 0$  (energy conservation)

Example  $F = -kx$ ,  $V(x) = \frac{k^2}{2} x^2$





# Fundamental concepts and theorems

## Work

1 dimension with  $F = F(x)$  :  $dW = -F dx$

generalisation :  $\vec{F} = \vec{F}(\vec{r}, \dot{\vec{r}}, t)$

$\delta W = -\vec{F} \cdot d\vec{r}$       not a total differential (in general)

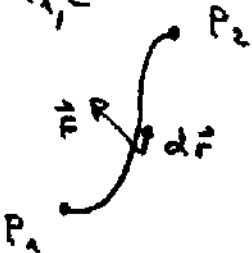
sign convention

$\vec{F} \uparrow \uparrow d\vec{r}$        $dW < 0$

$\vec{F} \downarrow \uparrow d\vec{r}$        $dW > 0$

$\vec{F} \perp d\vec{r}$        $dW = 0$

$W_{21} = - \int_{P_1, C}^{P_2} \vec{F} \cdot \delta \vec{r}$



← integration by parametrization of space curve with parameter  $\alpha$

$W_{21} = \int_{\alpha_1}^{\alpha_2} d\alpha \frac{d\vec{r}(\alpha)}{d\alpha} \cdot \vec{F}(\vec{r}(\alpha), \dot{\vec{r}}(\alpha), t)$

depends on:  
 $P_1, P_2$ , velocity  $\dot{\vec{r}}$ ,  
 form of curve  $C$

Power :

$P = \frac{\delta W}{dt} = -\vec{F} \cdot \frac{d\vec{r}}{dt}$

can be obtained from Newton's equation :

$m \ddot{\vec{r}} = \vec{F}$

$m \ddot{\vec{r}} \cdot \dot{\vec{r}} = \vec{F} \cdot \dot{\vec{r}}$

Units :      work  
                  power

$[W] = N m = J$

$[P] = \frac{[W]}{[t]} = \frac{J}{s} = W$

kinetic energy  $T = \frac{m}{2} \dot{\vec{r}}^2$

Newton's equation  $\frac{d}{dt} T = m \ddot{\vec{r}} \cdot \dot{\vec{r}} = -P = -\frac{dW}{dt}$

integration:  $W_{21} = -(T_2 - T_1) = T_1 - T_2$   
 $= \frac{m}{2} (\dot{\vec{r}}^2(t_1) - \dot{\vec{r}}^2(t_2))$

Note: for  $W_{21} \neq 0$  follows  $|\dot{\vec{r}}(t_1)| \neq |\dot{\vec{r}}(t_2)|$

Potential energy and conservative forces

Potential  $V(\vec{r})$ :  $\frac{dV(\vec{r})}{dt} = -\vec{F} \cdot \frac{d\vec{r}}{dt} = P$

in this case  $dV = \delta W$  (total differential of work exists)

$\vec{F} = F(\vec{r})$ : conservative force

calculation of force:

total differential:

$$dV(\vec{r}) = \sum_i \frac{\partial V}{\partial x_i} dx_i = \vec{\nabla} V \cdot d\vec{r} \quad \downarrow \quad \frac{1}{dt}$$

$$\dot{V}(\vec{r}) = \frac{dV}{dt} = \vec{\nabla} V \cdot \frac{d\vec{r}}{dt} = \vec{\nabla} V \cdot \dot{\vec{r}}$$

compare with modified Newton's equation yields

$$\vec{F} = -\vec{\nabla} V$$

consider general force:  $\vec{F} = \vec{F}_{\text{cons}} + \vec{F}_{\text{diss}}$

$$\frac{d}{dt} T = \vec{F} \cdot \dot{\vec{r}} = (\vec{F}_{\text{cons}} + \vec{F}_{\text{diss}}) \cdot \dot{\vec{r}} = \frac{d}{dt} V + \vec{F}_{\text{diss}} \cdot \dot{\vec{r}}$$

$$\frac{d}{dt} (T+V) = \vec{F}_{\text{diss}} \cdot \dot{\vec{r}} \quad E = T+V \quad (\text{Energy})$$

$$\frac{d}{dt} E = \vec{F}_{diss} \cdot \vec{v}$$

1) Energy conservation in absence of dissipative forces

$$\frac{d}{dt} E = 0, \quad E = m \frac{v^2}{2} + V(\vec{r}) = \text{const.}$$

2)  $\vec{F}_{diss} \perp \vec{v} \Rightarrow \frac{d}{dt} E < 0$

Properties of conservative forces: 1)  $\vec{F} = \vec{F}(\vec{r})$

2)  $\vec{F}$  conservative  $F = -\vec{\nabla} V \Leftrightarrow \vec{\nabla} \times \vec{F} = 0$

$\vec{F} = -\vec{\nabla} V$ , thus  $\vec{\nabla} \times \vec{F} = -\vec{\nabla} \times (\vec{\nabla} V)$

$$\partial_i \partial_j V = \partial_j \partial_i V = - \sum_{ijk} \epsilon_{ijk} \frac{\partial_i \partial_j V}{\partial x_i \partial x_j} \vec{e}_k$$

$\epsilon_{ijk} = -\epsilon_{jik} \Rightarrow 0$  (symmetric and antisymmetric product)

other direction by use of Helmholtz decomposition

$$\vec{F}(\vec{r}) = \vec{\nabla} \psi + \vec{\nabla} \times \vec{f}$$

each field can be decomposed into gradient and curl field

assume  $\vec{\nabla} \times \vec{F} = 0$  then:

$$\vec{\nabla} \times (\vec{\nabla} \psi + \vec{\nabla} \times \vec{f}) = \vec{\nabla} \times (\vec{\nabla} \times \vec{f}) = \vec{\nabla} (\vec{\nabla} \cdot \vec{f}) - \Delta \vec{f} = 0$$

only solution  $\vec{f} = \text{const}$ , but  $\vec{\nabla} \times \vec{f} = 0$ , thus

$\vec{F}(\vec{r})$  only has gradient contribution

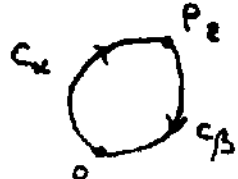
3) Work independent of path

zero for closed loop

$$\vec{F} \text{ conservative} \Leftrightarrow W_{2,1} = \int_{C_1} d\vec{r} \cdot \vec{F} = \int_{C_2} d\vec{r} \cdot \vec{F} \Leftrightarrow \oint d\vec{r} \cdot \vec{F} = 0$$



total differential  $dV = \vec{\nabla} V \cdot d\vec{r} = -\vec{F} \cdot d\vec{r}$

$$\oint d\vec{r} \cdot \vec{F} = \int_{C_\alpha} d\vec{r} \cdot \vec{F} + \int_{C_\beta} d\vec{r} \cdot \vec{F}$$


$$= \int_{P_1}^{P_2} dV + \int_{P_2}^{P_1} dV = V(\vec{r}_2) - V(\vec{r}_1) + V(\vec{r}_1) - V(\vec{r}_2) = 0$$

reversing path :  $d\vec{r} = \frac{d\vec{r}}{dt} dt$  reversed

$$\Rightarrow \int_{C_\alpha} d\vec{r} \cdot \vec{F} = \int_{-C_\beta} d\vec{r} \cdot \vec{F}$$

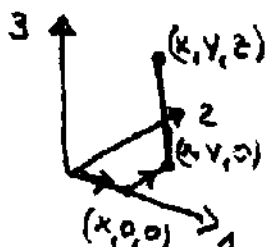
Example :

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isotropic harmonic oscillator

$$\vec{F} = -k \vec{r} \quad \text{conservative?} \quad \vec{\nabla} \times \vec{F} = -k \vec{\nabla} \times \vec{r} = 0$$

choose suitable path to calculate  $V(\vec{r})$  :

$$V(\vec{r}) = - \int_0^{\vec{r}} d\vec{r} \cdot \vec{F}(\vec{r}) = k \int_0^{\vec{r}} d\vec{r} \cdot \vec{r}$$


$$= k \left[ \int_0^1 \frac{d\vec{r}_1}{dt} \cdot \vec{r}_1 dt + \int_0^1 \frac{d\vec{r}_2}{dt} \cdot \vec{r}_2 dt + \int_0^1 \frac{d\vec{r}_3}{dt} \cdot \vec{r}_3 dt \right]$$

$$\vec{r}_1 = (xt, 0, 0) \quad \frac{d\vec{r}_1}{dt} = (x, 0, 0)$$

$$\vec{r}_2 = (0, yt, x) \quad \frac{d\vec{r}_2}{dt} = (0, y, 0)$$

$$\vec{r}_3 = (y, x, zt) \quad \frac{d\vec{r}_3}{dt} = (0, 0, z)$$

$$V(\vec{r}) = k \left[ \int_0^1 x^2 t dt + \int_0^1 y^2 t dt + \int_0^1 z^2 t dt \right]$$

$$= k (x^2 + y^2 + z^2) \int_0^1 t dt = k \frac{x^2 + y^2 + z^2}{2} = \frac{k}{2} r^2$$

# Angular Momentum, Torque (Moment)

Newton's equation  $m \ddot{\vec{r}} = \vec{F}$  multiply with  $\vec{r}$

$$m \vec{r} \times \ddot{\vec{r}} = \vec{r} \times \vec{F}$$

define:  $\vec{M} = \vec{r} \times \vec{F}$  (Torque)

$$\vec{L} = m (\vec{r} \times \dot{\vec{r}}) \quad \text{angular momentum}$$

$$\dot{\vec{L}} = m (\underbrace{\dot{\vec{r}} \times \dot{\vec{r}}}_0 + \vec{r} \times \ddot{\vec{r}}) = m (\vec{r} \times \ddot{\vec{r}})$$

angular momentum law

$$\frac{d}{dt} \vec{L} = \vec{M} \quad (\text{same form as } \dot{\vec{p}} = \vec{F})$$

conservation of angular momentum:

$$\vec{M} = 0, \frac{d}{dt} \vec{L} = 0 \Leftrightarrow \vec{L} = \text{const}$$

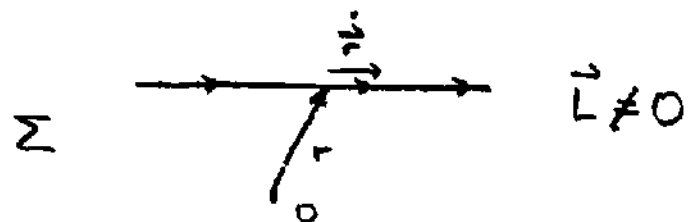
two possibilities

a)  $\vec{F} = 0$  no force

b)  $\vec{F} \parallel \vec{r}$  central force

angular momentum for uniform straight line motion:

$$\vec{L} = m \vec{r} \times \dot{\vec{r}}$$

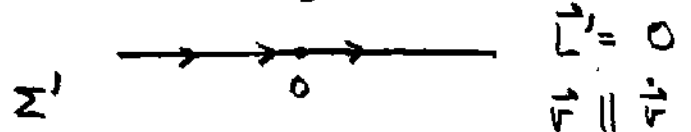


in general:

$$\vec{r}' = \vec{r} + \vec{a}, \quad \dot{\vec{r}}' = \dot{\vec{r}}$$

$$\vec{L} = m \vec{r} \times \dot{\vec{r}}$$

$$\vec{L}' = m \vec{r}' \times \dot{\vec{r}}' = m (\vec{r} + \vec{a}) \times \dot{\vec{r}} = \vec{L} + \vec{a} \times \dot{\vec{r}}$$



but:

$$\frac{d\vec{L}'}{dt} = \frac{d\vec{L}}{dt} + \frac{d}{dt} (\vec{a} \times \dot{\vec{r}}) = \frac{d\vec{L}}{dt} + \vec{a} \times \ddot{\vec{r}} = \frac{d\vec{L}}{dt} = 0$$

conservation of  $\vec{L}$  if  $\dot{\vec{p}} = 0 \rightarrow \frac{\vec{F}}{m} = 0 = \dot{\vec{p}}$

Properties of motion with  $\dot{\vec{L}} = 0$ ,  $\vec{L} = \text{const.}$

1) movement in plane  $\perp \vec{L}$  :  $\vec{r} \perp \vec{L}$

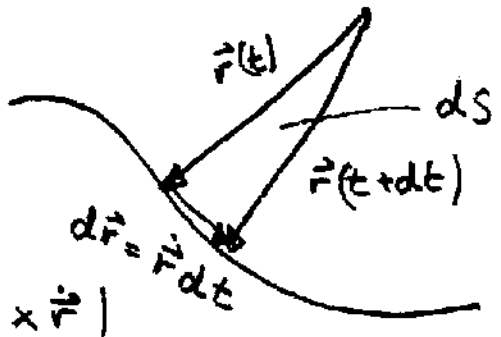
consider  $\vec{r} \cdot \dot{\vec{L}} = \vec{r} \cdot (\vec{r} \times \dot{\vec{r}}) = 0$   
 triple dot product

2) area conservation principle:  $\vec{L} = \text{const}$   
 "the position vector of the mass point sweeps equal areas in equal times"

$$dS = \frac{1}{2} |\vec{r}(t) \times \vec{r}(t+dt)|$$

$$= \frac{1}{2} |\vec{r}(t) \times [\vec{r}(t) + \dot{\vec{r}} dt]|$$

$$= \frac{1}{2} |\vec{r}(t) \times \dot{\vec{r}} dt| = \frac{dt}{2} |\vec{r} \times \dot{\vec{r}}|$$



$$\frac{dS}{dt} = \frac{1}{2} |\vec{r} \times \dot{\vec{r}}| = \frac{1}{2m} |m \vec{r} \times \dot{\vec{r}}| = \frac{1}{2m} |\vec{L}| = \text{const}$$

holds for a)  $\vec{F} = 0$   
 b)  $\vec{F} \parallel \vec{r}$

Central forces

any central force:  $\vec{F} = f(\vec{r}, \dot{\vec{r}}, t) \vec{e}_r \Rightarrow \frac{d\vec{L}}{dt} = 0$   
 $\vec{M} = \vec{r} \times \vec{F} = 0$

necessary condition for conservative force  $f(\vec{r}, \dot{\vec{r}}, t) = f(\vec{r})$

Theorem:  $\vec{F} = f(r) \vec{e}_r \Leftrightarrow \vec{F}$  conservative  
 $f(r, \dot{\vec{r}}, t)$

Proof: a) calculate curl for arbitrary function  $f(\vec{r})$

$$0 = \vec{\nabla} \times \vec{F} = \vec{\nabla} \times \left( \frac{f(\vec{r})}{r} \vec{r} \right) = \left[ \vec{\nabla} \left( \frac{f(\vec{r})}{r} \right) \right] \times \vec{r} + \frac{f(\vec{r})}{r} \underbrace{\vec{\nabla} \times \vec{r}}_0$$

We obtain  $0 = \left[ \vec{\nabla} \frac{f(\vec{r})}{r} \right] \times \vec{r}$ , thus  $\vec{r} \parallel \vec{\nabla} \frac{f(\vec{r})}{r}$

Recall: gradient field  $\perp$  to surface where  $\frac{f(\vec{r})}{r}$  constant  
 $\rightarrow \frac{f(\vec{r})}{r} = \text{const}$  has to be a sphere ( $\vec{r} \perp$  to this surface everywhere):  $f(\vec{r})$  independent of direction of  $\vec{r}$ , thus  $f(\vec{r}) = f(r)$

b) assume  $\vec{F}(\vec{r}) = f(r)\vec{e}_r$   $\vec{\nabla} \times \vec{F} = 0$  (shown before)

Theorem: a conservative force is central force if and only if  $V(\vec{r}) = V(r)$

a) consider  $\vec{F} = -\vec{\nabla} V$  with  $V = V(r)$  chain rule  
 calculate gradient:  $\vec{F} = -\vec{\nabla} V = -\frac{dV}{dr} \underbrace{\vec{\nabla} r}_{\frac{\vec{r}}{r}}$  (shown before)  
 $= -\frac{dV}{dr} \vec{e}_r$   
 thus  $\vec{F} \parallel \vec{r}$  (central force)

b) we show  $V(\vec{r}) = V(r)$  assuming:  $\vec{F} = f(r)\vec{e}_r, \vec{F} = -\vec{\nabla} V$   
 read equation for components:  $\vec{e}_r = \frac{\vec{r}}{r}$

$$\frac{\partial V}{\partial x_i} = -f(r) \frac{x_i}{r} = -f(r) \frac{dr}{dx_i}$$

introduce function  $\tilde{f}(r)$  such that  $f(r) = \frac{d\tilde{f}}{dr}$

$$\frac{dV}{dx_i} = -\frac{d\tilde{f}}{dr} \frac{dr}{dx_i} = -\frac{d\tilde{f}(r)}{dx_i} \quad \text{holds for } i=1,2,3$$

$\rightarrow V(\vec{r})$  only depends on  $r$  as  $\tilde{f}(r)$ .

# Movement in conservative central force fields

conservative force  $E = T + V = \text{const.}$   
 central force  $\vec{L} = m \vec{r} \times \dot{\vec{r}} = \text{const}$

} 1st integrals of movement  
 (integrating Newton's law)

choose  $\vec{L} = L \vec{e}_z$  (movement in x-y plane)

describe movement in polar coordinates:

$$\vec{r} = r \vec{e}_r$$

$$\dot{\vec{r}} = \dot{r} \vec{e}_r + r \dot{\varphi} \vec{e}_\varphi$$

calculate angular momentum

$$\vec{L} = m \vec{r} \times \dot{\vec{r}} = m r \vec{e}_r \times (\dot{r} \vec{e}_r + r \dot{\varphi} \vec{e}_\varphi)$$

$$= m r^2 \dot{\varphi} (\vec{e}_r \times \vec{e}_\varphi) = m r^2 \dot{\varphi} \vec{e}_z$$

read off  $L = m r^2 \dot{\varphi} = \text{const}$

calculate energy

$$E = \frac{1}{2} m \dot{\vec{r}}^2 + V(r) = \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\varphi}^2) + V(r)$$

$$m r^2 \dot{\varphi}^2 = \frac{L^2}{2 m r^2} \rightarrow \frac{1}{2} m \dot{r}^2 + \frac{L^2}{2 m r^2} + V(r)$$

define effective potential  $U(r) = \frac{L^2}{2 m r^2} + V(r)$   
 for movement in radial direction.

Solution of the equations of motion

$$\vec{r}(t) = r(t) (\cos \varphi(t), \sin \varphi(t), 0)$$

need to obtain  $r(t)$  and  $\varphi(t)$



similar as for 1D motion:

$$\frac{m}{2} \dot{r}^2 = E - U(r)$$

$$\dot{r}^2 = \frac{2}{m} [E - U(r)]$$

$$\frac{dr}{dt} = \dot{r} = \sqrt{\frac{2}{m} [E - U(r)]} \quad dt = \frac{dr}{\sqrt{\frac{2}{m} [E - U(r)]}}$$

$$\int_{t_0}^t dt' = t - t_0 = \int_{r_0}^r dr' \frac{1}{\sqrt{\frac{2}{m} [E - U(r')]}}$$

From  $t(r)$   
obtain  $r(t)$

also  $\varphi(r)$  with one integration

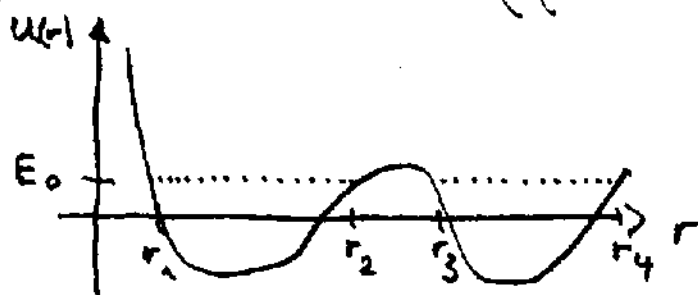
$$\dot{\varphi} = \frac{d\varphi}{dt} = \frac{L}{mr^2} \quad d\varphi = \frac{L}{mr^2} dt = \frac{L}{r^2} \frac{dr}{\sqrt{\frac{2}{m} [E - U(r)]}}$$

$$\int_{\varphi_0}^{\varphi} d\varphi' = \varphi - \varphi_0 = L \int_{r_0}^r \frac{dr'}{r'^2 \sqrt{2m [E - U(r)]}}$$

yields  $\varphi(r) = \varphi(r(t)) =: \varphi(t)$  with solution  $r(t)$

Remarks:

- 1) same equations can be obtained from Newton's law
- 2) movement in effective potential



for real  $r(t)$  we

need  $E \geq U(r)$

1) allowed regions  $U(r) \leq E$

2) classically forbidden

$$U(r) > E$$

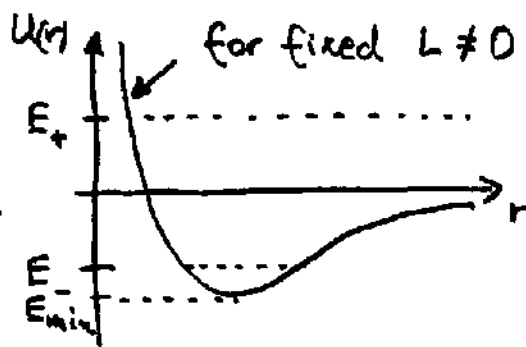
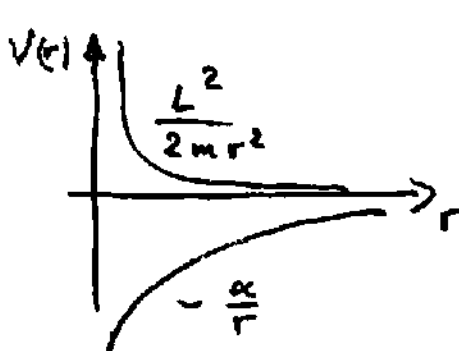
3) points of return

$$U(r_i) = E$$

Example: motion in attractive potential  $V(r) = -\frac{\alpha}{r}$

a) Coulomb potential  $\alpha = \frac{q_1 q_2}{4\pi \epsilon_0}$   $q_i$ : charges

b) gravitational potential  $\alpha = \gamma m M$   $\gamma$ : gravitational constant  
 $\gamma = 6.674 \cdot 10^{-11} \text{ N} \left(\frac{\text{m}}{\text{kg}}\right)^2$   
 $m$ : mass of planet  
 $M$ : solar mass



$E_+$ : scattering states (no return)  
 $E_-$ : bounded oscillatory motion  
 $E_{min}$ : motion with fixed  $r_0$  (circle)  
 $E=0$  special scattering

motion described by solution of integral

$$\varphi - \varphi_0 = L \int_{r_0}^r \frac{dr'}{r'^2 \sqrt{2m \left( E - \frac{L^2}{2mr'^2} + \gamma \frac{mM}{r'} \right)}}$$

hint: substitute  $r = \frac{1}{s}$  to solve

here: derive differential equation for  $s(\varphi) = \frac{1}{r(\varphi)}$

$$\frac{ds}{d\varphi} = \frac{d}{d\varphi} \frac{1}{r(\varphi)} = \frac{dt}{d\varphi} \frac{d}{dt} \left( \frac{1}{r} \right) = \frac{1}{\dot{\varphi}} \frac{dr}{dt} \left( -\frac{1}{r^2} \right) = -\frac{\dot{r}}{\dot{\varphi} r^2}$$

$$L = m r^2 \dot{\varphi} \quad \Leftrightarrow \quad r^2 \dot{\varphi} = \frac{L}{m} \quad \frac{ds}{d\varphi} = -\frac{\dot{r} m}{L}$$

rewrite  $\dot{r} = -\frac{L}{m} \frac{ds}{d\varphi}$

energy conservation:

$$E = \frac{m}{2} \dot{r}^2 + \frac{L^2}{2mr^2} - \gamma \frac{mM}{r}$$

$$r = \frac{1}{s}$$

$$\dot{r} = -\frac{L}{m} \frac{ds}{d\varphi}$$

$$\frac{d}{dt} E = 0 \quad \text{but also} \quad \frac{d}{d\varphi} E = 0$$

$$0 = \frac{d}{d\varphi} \left[ \frac{L^2}{2m} \left[ \left( \frac{ds}{d\varphi} \right)^2 + s^2 \right] - \gamma m M s \right]$$

$$= \frac{ds}{d\varphi} \left[ \frac{L^2}{m} \left( \frac{d^2s}{d\varphi^2} + s \right) - \gamma m M \right]$$

two solutions

1)  $\frac{ds}{d\varphi} = 0 \quad s(\varphi) = \text{const} = \frac{1}{r_0} \quad \text{circular motion}$

2)  $0 = \frac{L^2}{m} \left( \frac{d^2s}{d\varphi^2} + s \right) - \gamma m M$

$$\frac{d^2s}{d\varphi^2} + s = \frac{\gamma m^2 M}{L^2} \quad \text{inhomogeneous D.E.}$$

$$s(\varphi) = s_{\text{hom}}(\varphi) + s_0(\varphi) \quad \text{general solution}$$

$$s_{\text{hom}}(\varphi) = \alpha \sin \varphi + \beta \cos \varphi$$

$$s_0(\varphi) = \frac{\gamma m^2 M}{L^2} \quad (\text{guessed special solution})$$

$$s(\varphi) = \alpha \sin \varphi + \beta \cos \varphi + \frac{\gamma m^2 M}{L^2}$$

$\alpha, \beta$  fixed by initial conditions:  $\left. \frac{ds}{d\varphi} \right|_{\varphi=0} = 0$

$$\left. \frac{ds}{d\varphi} \right|_{\varphi=0} = \alpha \cos \varphi - \beta \sin \varphi \Big|_{\varphi=0} = \alpha = 0 \quad \text{fixes direction of coordinate system}$$

$$\left. \frac{d^2s}{d\varphi^2} \right|_{\varphi=0} = -\beta \cos \varphi \Big|_{\varphi=0} < 0 \quad \text{want to have } s \text{ maximal (} \rightarrow r = \frac{1}{s} \text{ minimal) at } \varphi = 0$$

in summary

$$S(\varphi) = \beta \cos \varphi + \frac{\gamma m^2 M}{L^2}$$

introduce

$$K = \frac{L^2}{\gamma M m^2}, \quad \beta = \frac{\epsilon}{K} \geq 0$$

$$\frac{1}{r} = \frac{1}{K} (1 + \epsilon \cos \varphi), \quad r(\varphi) = \frac{K}{1 + \epsilon \cos \varphi}$$

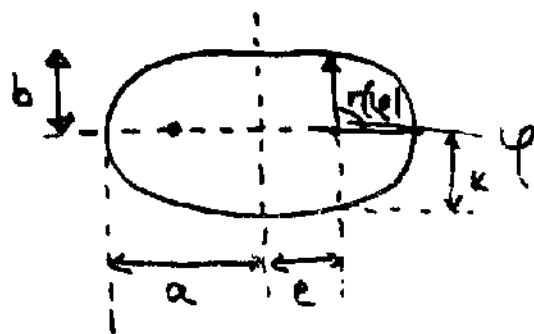
equation of conic section in polar coordinates

Discussion (4 cases)

1)  $\epsilon = 0$   $r(\varphi) = K = r_0$  circle with radius  $r_0$

2)  $0 < \epsilon < 1$  ellipse

$a$ : semi-major axis  
 $b$ : semi-minor axis



i) closest point

$$r(0) = \frac{K}{1 + \epsilon \cos 0} = \frac{K}{1 + \epsilon} = a - e$$

ii) farthest point

$$r(\pi) = \frac{K}{1 + \epsilon \cos \pi} = \frac{K}{1 - \epsilon} = a + e$$

combine equations  $\oplus/\ominus$

$$1 - \epsilon^2 = \frac{K}{a}$$

$$1 - \epsilon^2 = \frac{K\epsilon}{e}$$

numerical eccentricity

$$\epsilon = \frac{e}{a}$$

$$K = \frac{a^2 - e^2}{a}$$

iii)  $r\left(\frac{\pi}{2}\right) = \frac{K}{1 + \epsilon \cos\left(\frac{\pi}{2}\right)} = K$

use normal form of ellipse  $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$

for  $x = e, y = K$   $\frac{e^2}{a^2} + \frac{K^2}{b^2} = 1$

$$\epsilon = \frac{e}{a}$$

$$\frac{K^2}{b^2} = 1 - \epsilon^2 \quad \downarrow \quad 1 - \epsilon^2 = \frac{K}{a}$$

$$b^2 = a \cdot k = a^2 - e^2$$

Calculate semi-axis for fixed  $E, L$ :

closest point:  $\dot{r} = 0, \dot{T} = 0$

$$E = U(r_0) = \frac{L^2}{2m r_0^2} - \frac{\gamma m M}{r_0} = \gamma m M \left( \frac{k}{2r_0^2} - \frac{1}{r_0} \right)$$

$$= \gamma m M \left( \frac{a^2 - e^2}{2(a-e)^2} - \frac{1}{a-e} \right) = -\frac{\gamma m M}{2a}$$

$$a = -\frac{\gamma m M}{2E} \quad (\text{energy determines semi-major axis})$$

$$b^2 = a \cdot k = -\frac{\gamma m M}{2E} \frac{L^2}{\gamma M m^2} = -\frac{L^2}{2mE}$$

$$b = \frac{L}{\sqrt{-2mE}} \quad (\text{semi-minor axis}) \quad E < 0$$

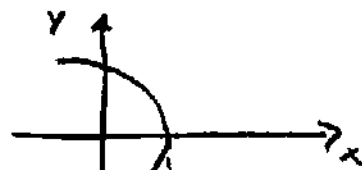
(bounded motion)

3)  $E = 1$  parabola; transform back to  $x-y$ :

$$x = r \cos \varphi = \frac{k \cos \varphi}{1 + \cos \varphi} = \frac{k}{2} \left( \frac{1 + \cos \varphi}{1 + \cos \varphi} - \frac{1 - \cos \varphi}{1 + \cos \varphi} \right)$$

$$y = r \sin \varphi = \frac{k \sqrt{1 - \cos^2 \varphi}}{1 + \cos \varphi} = k \sqrt{\frac{1 - \cos \varphi}{1 + \cos \varphi}}$$

$$x = \frac{k}{2} - \frac{k}{2} \frac{1 - \cos \varphi}{\frac{y^2}{k^2}} = \frac{k}{2} - \frac{y^2}{2k}$$

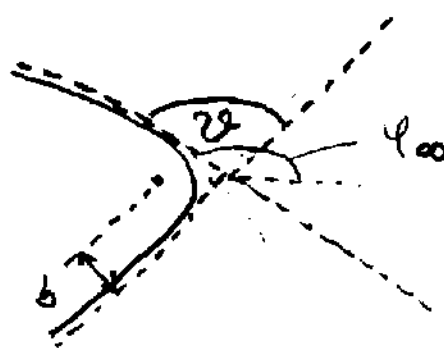


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4)  $E > 1$  Hyperbola

$$r(\varphi) = \frac{k}{1 + E \cos \varphi}$$

$b$ : impact parameter  
 $\vartheta$ : deflection angle



take  $r \rightarrow \infty$        $\cos \varphi_\infty = -\frac{1}{\epsilon}$

geometry :  $\pi - \vartheta = 2(\pi - \varphi_\infty) \Leftrightarrow \frac{\vartheta}{2} = \varphi_\infty - \frac{\pi}{2}$

$\sin \frac{\vartheta}{2} = \sin(\varphi_\infty - \frac{\pi}{2}) = -\cos(\varphi_\infty) = \frac{1}{\epsilon}$

calculate impact parameter (energy conservation)

$E = \frac{1}{2} m \dot{r}_\infty^2 = \frac{1}{2} m \dot{r}_\infty^2$        $\dot{r}_\infty = \sqrt{\frac{2E}{m}}$

$r \rightarrow \infty, u \rightarrow 0$  and use angular momentum :

$L = m |\vec{r} \times \dot{\vec{r}}| = m |\vec{r}_\infty \times \dot{\vec{r}}_\infty| = m b \dot{r}_\infty = b \sqrt{2Em}$

only contribution of  $\vec{r}_\infty \perp$  to  $\dot{\vec{r}}_\infty$

$b = \frac{L}{\sqrt{2Em}}$

for the deflection angle we can calculate

$r(\frac{\pi}{2}) = \frac{k}{1+\epsilon} = r_0$  and we have  $\dot{r} = 0$

$E = U(r_0) = \gamma M m \left( \frac{k}{2r_0^2} - \frac{1}{r_0} \right) = \gamma M m \left[ \frac{(1+\epsilon)^2}{2k} - \frac{1+\epsilon}{k} \right]$

$= \gamma M m \frac{\epsilon^2 - 1}{2k}$        $k = \frac{L^2}{\gamma M m^2}$

$\Rightarrow \epsilon^2 - 1 = \frac{2kE}{\gamma M m} = \frac{2L^2 E}{\gamma^2 M^2 m^3} = \frac{4E^2 b^2}{\gamma^2 M^2 m^2} = \frac{1}{\sin^2 \frac{\vartheta}{2}} - 1$

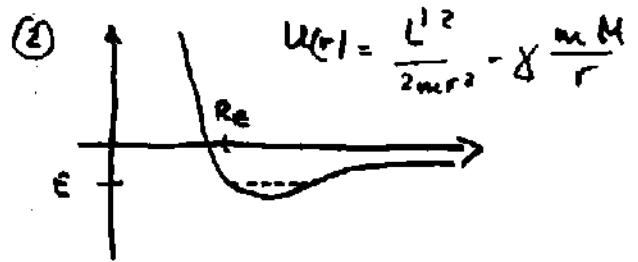
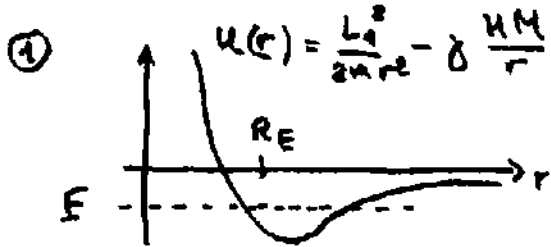
$= \frac{1 - \sin^2 \frac{\vartheta}{2}}{\sin^2 \frac{\vartheta}{2}} = \frac{\cos^2 \frac{\vartheta}{2}}{\sin^2 \frac{\vartheta}{2}} = \cot^2 \frac{\vartheta}{2}$

$b = \frac{L}{\sqrt{2Em}}$        $\sin \frac{\vartheta}{2} = \frac{1}{\epsilon}$

$\Rightarrow \tan \frac{\vartheta}{2} = \frac{\gamma M m}{2bE}$  (deflection angle fixed by  $b, E$ )

# The cosmic velocities

movement in effective potential  $U(r) = \frac{L^2}{2mr^2} - \gamma \frac{mM}{r}$



for fixed energy E:  
satellite falls on earth

$L_2 > L_1$  : satellite does not hit surface



$\psi = 0 : \vec{r} \perp \vec{v}$

$$L_1 = |\vec{L}| = |m \vec{r} \times \vec{v}| = m R_E v_1$$

$$r(\psi = 0) = R_E = \frac{k}{1+E} \cdot \frac{L_1^2}{\gamma m^2 M} \frac{1}{1+E}$$

$$= \frac{m^2 R_E^2 v_1^2}{\gamma m^2 M} \frac{1}{1+E}$$

$$v_1^2 = \frac{\gamma M}{R_E} (1+E) \geq \frac{\gamma M}{R_E}$$

smallest  $v_1$  :  $E=0$  (circular motion)

Force :  $F_G(R_E) = \frac{\gamma m M}{R_E^2} = m g \Rightarrow g = \frac{\gamma M}{R_E^2}$

$$v_1 \geq \sqrt{g R_E} \approx 7.91 \frac{\text{km}}{\text{s}} \quad (\text{first cosmic velocity})$$

velocity to leave gravitational field

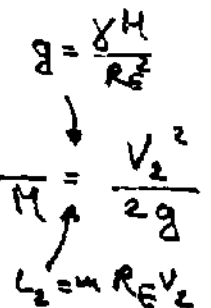
(smallest possible energy :  $E=0$ , parabola)

$$L_2 = m R_E v_2$$

$$0 = E = U(R_E) = \frac{L_2^2}{2m R_E^2} - \gamma \frac{m M}{R_E} \Rightarrow R_E = \frac{L_2^2}{2\gamma m^2 M} = \frac{v_2^2}{2g}$$

$$v_2 = \sqrt{2g R_E} = \sqrt{2} v_1 \approx 11.2 \frac{\text{km}}{\text{s}}$$

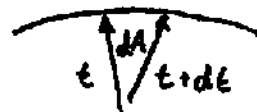
(second cosmic velocity)



## Kepler's Laws

- 1) planets move along ellipses with sun at focal point (conservation of energy and angular momentum)
- 2) areal velocity is constant: result of  $\dot{L} = 0$

$$\frac{dA}{dt} = \frac{L}{2m}$$



3) for all planets:  $\frac{T^2}{a^3} = \text{const}$

T: orbital period  
a: semi-major axis

consider area of ellipse  $A = \pi a b$

use 2):  $\frac{dA}{dt} = \frac{L}{2m} \quad \therefore \quad A = \frac{dA}{dt} \cdot T = \frac{L}{2m} T$

$$T = \frac{2\pi a b m}{L}$$

$$\frac{T^2}{a^3} = \frac{(2\pi a b m)^2}{L^2 a^3} = \frac{4\pi^2 m^2}{L^2} \frac{b^2}{a} = \frac{4\pi^2 m^2}{L^2} \frac{L^2}{8Mm^2} = \frac{4\pi^2}{8M} = \text{const}$$

$$\frac{b^2}{a} = k = \frac{L^2}{8Mm^2}$$



# Mechanics of many-particle systems

Definitions:  $N$ -particle system  $i = 1, \dots, N$

$m_i$  mass of  $i$ th particle

$\vec{r}_i$  position of  $i$ th particle

$\vec{F}_i$  total force on particle  $i$

$\vec{F}_i^{ex}$  external force on particle  $i$

$\vec{F}_{ij}$  force executed from particle  $j$  on particle  $i$  (internal force)

Newton's equation

third axiom

$$m_i \ddot{\vec{r}}_i = \vec{F}_i = \vec{F}_i^{ex} + \sum_j \vec{F}_{ij}$$

$$\vec{F}_{ij} = -\vec{F}_{ji}$$

$$\vec{F}_{ii} = 0$$

3M coupled D.E (no analytic solution)

Next steps:

a) derive conservation laws

b) consider  $N=2$ : analytical solution

## Momentum conservation law

add up all equ: 
$$\sum_{i=1}^N m_i \ddot{\vec{r}}_i = \sum_{i=1}^N \vec{F}_i = \sum_{i=1}^N \vec{F}_i^{ex} + \underbrace{\sum_{i,j=1}^N \vec{F}_{ij}}_0$$

define  $M = \sum_i m_i$  (total mass)  $\frac{1}{2} \sum_{ij} (\vec{F}_{ij} + \vec{F}_{ji})$

$\vec{R} = \frac{1}{M} \sum_{i=1}^N m_i \vec{r}_i$  (center of mass)

$\vec{P} = \sum_i m_i \dot{\vec{r}}_i = M \dot{\vec{R}}$  (total momentum)

$\vec{F}^{ex} = \sum_{i=1}^N \vec{F}_i^{ex}$  (total external force)

$\ddot{\vec{R}} = \frac{1}{M} \sum_{i=1}^N m_i \ddot{\vec{r}}_i$

$$\underbrace{\sum_i m_i \ddot{\vec{r}}_i}_{\vec{P}} = \sum_i \vec{F}_i^{ex}$$

$$\dot{\vec{P}} = M \ddot{\vec{R}} = \vec{F}^{ex} \quad (\text{center of mass theorem})$$

momentum conservation law :  $\vec{F}^{ex} = 0 \Leftrightarrow \vec{P} = \text{const}$

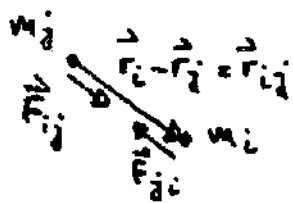
Conservation of angular momentum

define :  $\vec{L} = \sum_{i=1}^N \vec{L}_i = \sum_{i=1}^N m_i \vec{r}_i \times \dot{\vec{r}}_i$  (total angular momentum)

$$\dot{\vec{L}} = \sum_{i=1}^N m_i \left[ \underbrace{\dot{\vec{r}}_i \times \dot{\vec{r}}_i}_0 + \vec{r}_i \times \ddot{\vec{r}}_i \right] \stackrel{\text{Newton's law}}{=} \sum_{i=1}^N \vec{r}_i \times \vec{F}_i$$

$$= \sum_{i=1}^N \vec{r}_i \times \vec{F}_i^{ex} + \underbrace{\sum_{i,j=1}^N \vec{r}_i \times \vec{F}_{ij}}$$

$$\frac{1}{2} \sum_{i,j=1}^N (\vec{r}_i \times \vec{F}_{ij} + \vec{r}_j \times \vec{F}_{ji}) = \frac{1}{2} \sum_{i,j=1}^N (\vec{r}_i - \vec{r}_j) \times \vec{F}_{ij}$$



Note :  $\vec{F}_{ij} = \alpha(\vec{r}_i - \vec{r}_j)$ ,  $\vec{r}_{ij} = \vec{r}_i - \vec{r}_j \parallel \vec{F}_{ij}$   
(direction!)

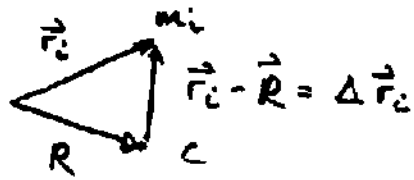
define  $\vec{M}_i^{ex} = \vec{r}_i \times \vec{F}_i^{ex}$  (external torque)  
 $\vec{M}^{ex} = \sum_{i=1}^N \vec{M}_i^{ex}$  (total torque)

$$\frac{d}{dt} \vec{L} = \sum_{i=1}^N \dot{\vec{r}}_i \times \vec{F}_i^{ex} = \vec{M}^{ex} \quad (\text{angular momentum law})$$

$\vec{M}^{ex} = 0 \Leftrightarrow \vec{L} = \text{const}$  (angular momentum conservation for closed system  $\vec{F}_i^{ex} = 0$ )

Decomposition of angular momentum : relative, center of mass

$$\begin{aligned}
 \vec{L} &= \sum_{i=1}^N m_i (\vec{r}_i \times \dot{\vec{r}}_i) \\
 &= \sum_{i=1}^N m_i (\vec{R} + \Delta \vec{r}_i) \times (\dot{\vec{R}} + \Delta \dot{\vec{r}}_i) \\
 &= \sum_{i=1}^N m_i (\vec{R} \times \dot{\vec{R}} + \vec{R} \times \Delta \dot{\vec{r}}_i + \Delta \vec{r}_i \times \dot{\vec{R}} + \Delta \vec{r}_i \times \Delta \dot{\vec{r}}_i) \\
 &= M \vec{R} \times \dot{\vec{R}} + \vec{R} \times \underbrace{\left( \sum_i m_i \Delta \dot{\vec{r}}_i \right)}_{\text{total momentum}} + \underbrace{\left( \sum_i m_i \Delta \vec{r}_i \right)}_{\text{center of mass}} \times \dot{\vec{R}} + \sum_i m_i \Delta \vec{r}_i \times \Delta \dot{\vec{r}}_i
 \end{aligned}$$



total momentum:  $\sum_i m_i (\dot{\vec{r}}_i - \dot{\vec{R}}) = \vec{P} - M \dot{\vec{R}} = 0$   
 center of mass:  $\sum_i m_i (\vec{r}_i - \vec{R}) = M \vec{R} - M \vec{R} = 0$

$= M \vec{R} \times \dot{\vec{R}} + \sum_i m_i \Delta \vec{r}_i \times \Delta \dot{\vec{r}}_i$   
 $= \vec{L}_S + \vec{L}_r$   
 angular momentum of mass centered at  $\vec{R}$       angular momentum of  $N$  particles with reference to the center of mass  $\vec{R}$

Conservation of Energy (similar as masspoint)

Newton's law : multiply with  $\dot{\vec{r}}_i$  and sum :

$$\sum_{i=1}^N m_i \dot{\vec{r}}_i \cdot \ddot{\vec{r}}_i = \sum_{i=1}^N \underbrace{\frac{1}{2} \frac{d}{dt} (m_i \dot{\vec{r}}_i^2)}_{\frac{d}{dt} T_i} = \sum_i \dot{\vec{r}}_i \cdot \vec{F}_i \quad (\text{kinetic energy of one particle})$$

define  $T = \sum_{i=1}^N T_i$   
 $\frac{d}{dt} T = \sum_{i=1}^N \dot{\vec{r}}_i \cdot \vec{F}_i$

- Two cases
- a) all forces conservative  $\nabla_i \times \vec{F}_i = 0$
  - b) split up forces  $\vec{F}_i = \vec{F}_i^{\text{cons}} + \vec{F}_i^{\text{diss}}$

a) conservative forces:  $\vec{F}_i = -\vec{\nabla}_i V$   
 $V = V(\vec{r}_1, \dots, \vec{r}_N)$  with  $dV = \sum_{i=1}^N \vec{\nabla}_i V \cdot d\vec{r}_i$   
 rewrite:  $\sum_{i=1}^N \dot{\vec{r}}_i \cdot \vec{F}_i = -\sum_{i=1}^N \dot{\vec{r}}_i \cdot \vec{\nabla}_i V = -\frac{dV}{dt}$  (total differential)

in total:  $\frac{d}{dt}(T+V) = 0$        $T+V = E = \text{const}$   
 (conservation of energy)

b) dissipative forces

$$\frac{d}{dt}(T+V) = \sum_{i=1}^N \vec{F}_i^{\text{diss}} \cdot \dot{\vec{r}}_i$$

decomposition of potential: internal / external contributions

$$V(\vec{r}_1, \dots, \vec{r}_N) = \sum_{i=1}^N V_i^{\text{ex}}(\vec{r}_i) + \frac{1}{2} \sum_{i,j} V_{ij}(|\vec{r}_i - \vec{r}_j|)$$

calculate force on particle k:

$$\vec{F}_k = -\vec{\nabla}_k V = -\vec{\nabla}_k V_k^{\text{ex}}(\vec{r}_k) - \frac{1}{2} \sum_{i,j} \vec{\nabla}_k V_{ij}(|\vec{r}_i - \vec{r}_j|)$$

we use  $\vec{\nabla}_k V_{ij}(|\vec{r}_i - \vec{r}_j|) = \vec{\nabla}_k V_{kj}(|\vec{r}_k - \vec{r}_j|) \delta_{ki} + \vec{\nabla}_k V_{ik}(|\vec{r}_i - \vec{r}_k|) \delta_{kj}$

symmetry  $V_{ij}(|\vec{r}_i - \vec{r}_j|) = V_{ji}(|\vec{r}_j - \vec{r}_i|) = V_{jk}(|\vec{r}_j - \vec{r}_k|)$

it follows

$$\vec{F}_k = \vec{F}_k^{\text{ex}} - \sum_{\substack{j=1 \\ j \neq k}}^N \underbrace{\vec{\nabla}_k V_{kj}(|\vec{r}_k - \vec{r}_j|)}_{-\vec{F}_{kj}} = \vec{F}_k^{\text{ex}} + \sum_{\substack{j=1 \\ j \neq k}}^N \vec{F}_{kj}$$

(form of force as initially assumed)

Note:  $\vec{F}_{kj}$  is conservative

central force,  $V_{kj}$  only depends on  $|\vec{r}_k - \vec{r}_j|$

3rd axiom:

$$\begin{aligned}\vec{F}_{kj} &= -\vec{\nabla}_k V_{kj}(|\vec{r}_k - \vec{r}_j|) \stackrel{\text{chain rule}}{=} +\vec{\nabla}_j V_{kj}(|\vec{r}_k - \vec{r}_j|) \\ &= \vec{\nabla}_j V_{jk}(|\vec{r}_k - \vec{r}_j|) = -\vec{F}_{jk}\end{aligned}$$

note: choose constant in potentials  $V_{kj}$  such that  $V_{ii} = 0$ , then  $V_{kj}(|\vec{r}_k - \vec{r}_j|) = V_{jk}(|\vec{r}_k - \vec{r}_j|)$

## Virial Theorem

(properties of time averaged kinetic and potential energy)

- conservative forces
- finite velocity, position
- closed system

a) multiply Newton's laws with  $\vec{r}_i$ :

$$\begin{aligned}\sum_{i=1}^N m_i \ddot{\vec{r}}_i \cdot \vec{r}_i &= \sum_i \vec{F}_i \cdot \vec{r}_i \quad \left. \begin{array}{l} \vec{F}_i = -\vec{\nabla}_i V \\ \end{array} \right\} \\ \frac{d}{dt} \left( \sum_{i=1}^N m_i \dot{\vec{r}}_i \cdot \vec{r}_i \right) - \sum_{i=1}^N m_i \dot{\vec{r}}_i^2 &= - \sum_i \vec{\nabla}_i V \cdot \vec{r}_i\end{aligned}$$

time average of function  $f(t)$ 

$$\langle f \rangle = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau dt f(t)$$

first term:

$$\begin{aligned}\left\langle \frac{d}{dt} \left( \sum_{i=1}^N m_i \dot{\vec{r}}_i \cdot \vec{r}_i \right) \right\rangle &= \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau dt \frac{d}{dt} \left( \sum_{i=1}^N m_i \dot{\vec{r}}_i \cdot \vec{r}_i \right) \\ &= \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \sum_{i=1}^N m_i \dot{\vec{r}}_i \cdot \vec{r}_i \Big|_0^\tau \\ &\stackrel{b)}{\rightarrow} 0\end{aligned}$$

thus

$$- \left\langle \sum_{i=1}^N m_i \dot{\vec{r}}_i^2 \right\rangle = - \left\langle \sum_{i=1}^N \vec{\nabla}_i V \cdot \vec{r}_i \right\rangle$$

$$2 \langle T \rangle = \left\langle \sum_{i=1}^N \vec{\nabla}_i V \cdot \vec{r}_i \right\rangle \quad (\text{Virial theorem})$$

↑  
virial of the forces

c) closed system  $\vec{F}_i^{\text{ext}} = -\vec{\nabla}_i V_i^{\text{ext}} = 0$   
 special case  $V(\vec{r}_1, \dots, \vec{r}_n) = \frac{1}{2} \sum_{i,j=1}^N V_{ij}(|\vec{r}_i - \vec{r}_j|)$   
 with  $V_{ij}(|\vec{r}_i - \vec{r}_j|) = \alpha_{ij} |\vec{r}_i - \vec{r}_j|^n$

$$\begin{aligned} \sum_{i=1}^N \vec{\nabla}_i V \cdot \vec{r}_i &= \frac{1}{2} \sum_{i,j,k} \vec{\nabla}_i V_{jk}(|\vec{r}_j - \vec{r}_k|) \cdot \vec{r}_i \\ &= \frac{1}{2} \sum_{i,j,k} \frac{dV_{jk}}{d|\vec{r}_j - \vec{r}_k|} \vec{\nabla}_i |\vec{r}_j - \vec{r}_k| \cdot \vec{r}_i \end{aligned}$$

$$\frac{dV_{jk}}{d|\vec{r}_j - \vec{r}_k|} = n \frac{V_{jk}}{|\vec{r}_j - \vec{r}_k|}, \quad \vec{\nabla}_i |\vec{r}_j - \vec{r}_k| = (\delta_{ij} - \delta_{ik}) \frac{\vec{r}_j - \vec{r}_k}{|\vec{r}_j - \vec{r}_k|}$$

$\vec{\nabla} \frac{1}{r} = -\frac{\vec{r}}{r^3}$

$$\sum_{i=1}^N \vec{\nabla}_i V \cdot \vec{r}_i = \frac{n}{2} \sum_{j,k=1}^N \frac{V_{jk}}{|\vec{r}_j - \vec{r}_k|^2} (\vec{r}_j - \vec{r}_k) \cdot (\vec{r}_j - \vec{r}_k) = \frac{n}{2} \sum_{j,k=1}^N V_{jk} = nV$$

Virial theorem:  $2 \langle T \rangle = n \langle V \rangle$

Examples:

1) harmonic oscillators  $V_{ij} = \frac{1}{2} K_{ij} (\vec{r}_i - \vec{r}_j)^2, n=2$

$$\langle T \rangle = \langle V \rangle, \quad \langle E \rangle = \langle T \rangle + \langle V \rangle = 2 \langle T \rangle = 2 \langle V \rangle$$

2) Coulomb / gravitational potential

$$V_{ij} = -\frac{\alpha}{|\vec{r}_i - \vec{r}_j|} = -\alpha |\vec{r}_i - \vec{r}_j|^{-1}, n = -1$$

$$2 \langle T \rangle = -\langle V \rangle$$

$$E = \langle T \rangle + \langle V \rangle = \frac{1}{2} \langle V \rangle = -\langle T \rangle < 0$$

negative energy (result of bounded motion)

Two particle systems ( $N=2$ )

idea: decouple center of mass and relative motion

$$\left. \begin{aligned} \vec{R} &= \frac{m_1 \vec{r}_1 + m_2 \vec{r}_2}{m_1 + m_2} = \frac{m_1}{M} \vec{r}_1 + \frac{m_2}{M} \vec{r}_2 \\ \vec{r} &= \vec{r}_1 - \vec{r}_2 \end{aligned} \right\} \begin{aligned} \vec{r}_1 &= \vec{R} + \frac{m_2}{M} \vec{r} \\ \vec{r}_2 &= \vec{R} - \frac{m_1}{M} \vec{r} \end{aligned}$$

equations of motion:

$$\begin{aligned} m_1 \ddot{\vec{r}}_1 &= \vec{F}_1 = \vec{F}_1^{\text{ex}} + \vec{F}_{12} \\ m_2 \ddot{\vec{r}}_2 &= \vec{F}_2 = \vec{F}_2^{\text{ex}} + \vec{F}_{21} \end{aligned}$$

center of mass theorem  $M \ddot{\vec{R}} = \vec{F}^{\text{ex}}$  06.01.2016

$$\begin{aligned} \ddot{\vec{r}} &= \ddot{\vec{r}}_1 - \ddot{\vec{r}}_2 = \frac{\vec{F}_1^{\text{ex}}}{m_1} - \frac{\vec{F}_2^{\text{ex}}}{m_2} + \frac{\vec{F}_{12}}{m_1} - \frac{\vec{F}_{21}}{m_2} \\ &= \frac{\vec{F}_1^{\text{ex}}}{m_1} - \frac{\vec{F}_2^{\text{ex}}}{m_2} + \vec{F}_{12} \left( \frac{1}{m_1} + \frac{1}{m_2} \right) \\ &= \frac{\vec{F}_1^{\text{ex}}}{m_1} - \frac{\vec{F}_2^{\text{ex}}}{m_2} + \frac{\vec{F}_{12}}{\mu} \end{aligned}$$

reduced mass  $\frac{1}{\mu} = \frac{1}{m_1} + \frac{1}{m_2}$ ,  $\mu = \frac{m_1 m_2}{M}$

closed systems:  $\vec{F}_i^{\text{ex}} = 0$

$$M \ddot{\vec{R}} = 0$$

$$\dot{\vec{p}} = 0, \vec{p} = \text{const}$$

$$\mu \ddot{\vec{r}} = \vec{F}_{12}$$

choose inertial system with  $\vec{R} = 0$

(movement in central force  $\vec{F}_{12} = f \cdot (\vec{r}_1 - \vec{r}_2) = f \cdot \vec{r}$ )

all quantities can be decomposed

angular momentum 
$$\begin{aligned} \vec{L} &= \vec{L}_S + \vec{L}_r = M \vec{R} \times \dot{\vec{R}} + \sum m_i \vec{r}_i \times \dot{\vec{r}}_i \\ &= M \vec{R} \times \dot{\vec{R}} + m_1 \left( \frac{m_2}{M} \right)^2 \vec{r} \cdot \dot{\vec{r}} + m_2 \left( \frac{m_1}{M} \right)^2 \vec{r} \cdot \dot{\vec{r}} \end{aligned}$$

$$\vec{L} = M \vec{R} \times \dot{\vec{R}} + \frac{m_1 m_2}{M} \frac{m_2 + m_1}{M} \vec{r} \times \dot{\vec{r}}$$

$$= M \vec{R} \times \dot{\vec{R}} + \mu \vec{r} \times \dot{\vec{r}}$$

kinetic energy  $T_i = \frac{m_i}{2} \dot{r}_i^2$

$$T = T_1 + T_2 = \frac{m_1}{2} \left[ \dot{R}^2 + \left(\frac{m_2}{M}\right)^2 \dot{r}^2 - \frac{m_2}{M} \dot{R} \cdot \dot{r} \right]$$

$$+ \frac{m_2}{2} \left[ \dot{R}^2 + \left(\frac{m_1}{M}\right)^2 \dot{r}^2 + \frac{m_1}{M} \dot{R} \cdot \dot{r} \right]$$

$$= \frac{M}{2} \dot{R}^2 + \frac{1}{2} \underbrace{\frac{m_1 m_2}{M}}_{\mu} \dot{r}^2 = T_S + T_r$$

potential energy (conservative forces)

$$V(\vec{r}_1, \vec{r}_2) = \underbrace{V_1^{ext}(\vec{r}_1) + V_2^{ext}(\vec{r}_2)}_{V_S} + \underbrace{V_{12}(\vec{r})}_{V_r}$$

$$= V_S + V_r$$

total energy

$$E = E_S + E_r \quad E_S = T_S + V_S, \quad E_r = T_r + V_r$$

Example: planetary motion

gravitational potential of two masses:

$$V_{12}(|\vec{r}_1 - \vec{r}_2|) = -\gamma \frac{m_1 m_2}{|\vec{r}_1 - \vec{r}_2|} = -\gamma \frac{\mu M}{r}$$

$$\vec{F}_{12} = -\vec{\nabla}_r V_{12} = -\gamma \frac{\mu M}{r^2} \vec{r}$$

$$\mu \ddot{\vec{r}} = \vec{F}_{12} = -\gamma \frac{\mu M}{r^2} \vec{e}_r$$

already solved: replace  $m \rightarrow \mu$

$$r(\varphi) = \frac{K_r}{1 + E_r \cos \varphi}$$

$$K_r = \frac{L_r^2}{\gamma \mu^2 M}, \quad E_r = \sqrt{1 + \frac{L_r^2 \mu E_r}{(\gamma \mu^2 M)^2}}$$

$$\vec{r}_1 = \frac{m_2}{M} \vec{r}$$

$$\vec{r}_2 = \frac{m_1}{M} \vec{r}$$

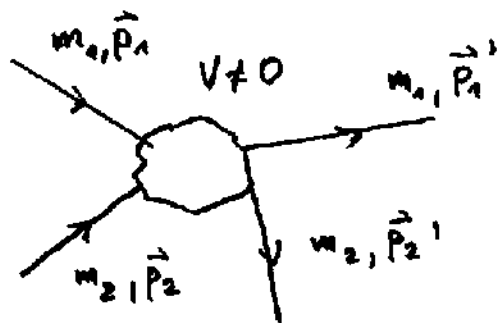


$$m_1 = \frac{m_2}{2}$$

old result for  $m_2 \gg m_1$



Two-body collision



deduce  $\vec{p}_1'$  and  $\vec{p}_2'$   
from  $\vec{p}_1$  and  $\vec{p}_2$

a) conservation of momentum

$$\vec{p} = \vec{p}'$$

laboratory reference frame  $\Sigma_L$

$$\vec{p}_1 + \vec{p}_2 = \vec{p}_1' + \vec{p}_2'$$

center of mass ref. frame  $\Sigma_{CM}$

$$\vec{R} = 0, \dot{\vec{R}} = 0$$

$$\Rightarrow \vec{P} = 0$$

$$\vec{p}_1 = -\vec{p}_2, \vec{p}_1' = -\vec{p}_2'$$

b) energy conservation (use  $\Sigma_{CM}$ )

$$E = \sum_{i=1}^2 \frac{\vec{p}_i^2}{2m_i} = \sum_{i=1}^2 \frac{\vec{p}_i'^2}{2m_i} + Q$$

change of internal energy

$Q = 0$  elastic collision

$Q \neq 0$  inelastic collision

$$Q > 0$$

kinetic energy converted into internal energy

use  $\vec{p}_1^2 = \vec{p}_2^2, \vec{p}_1'^2 = \vec{p}_2'^2$

$$Q < 0$$

internal energy converted into kin. energy

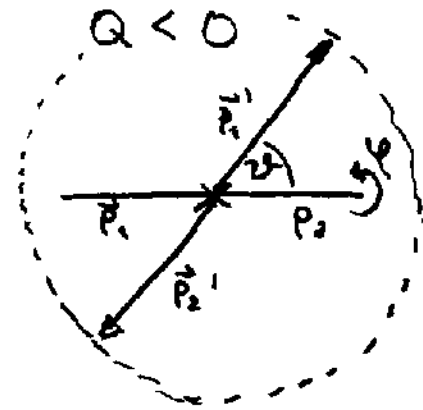
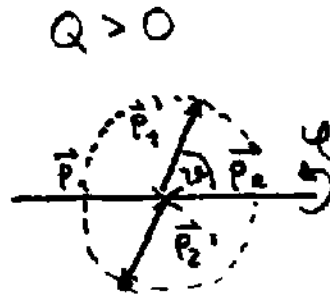
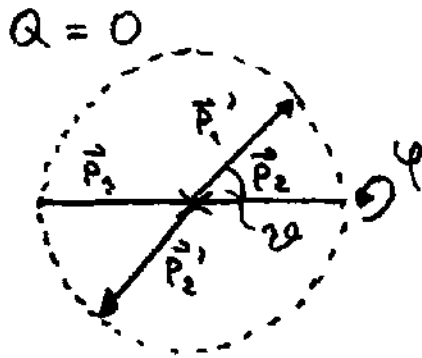
$$E = \frac{1}{2} \left( \frac{1}{m_1} + \frac{1}{m_2} \right) \vec{p}_1^2 = \frac{1}{2} \left( \frac{1}{m_1} + \frac{1}{m_2} \right) \vec{p}_1'^2 + Q$$

$$\frac{\vec{p}_1^2}{2\mu} = \frac{\vec{p}_1'^2}{2\mu} + Q$$

$$\vec{p}_1'^2 = \vec{p}_1^2 - 2\mu Q$$

$$p_1' = \sqrt{p_1^2 - 2\mu Q}$$

Note: 6 unknown quantities:  $\vec{p}_1'$  and  $\vec{p}_2'$   
 but only  $3 + 1 = 4$  equations:  
 magnitude  $p_i'$  fixed, direction not

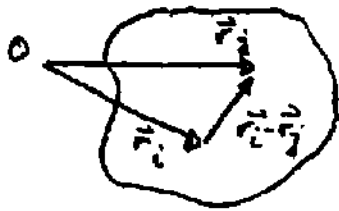


$\theta, \phi$ : fixed by details of potential  $V_{12}$   
 (compare result from  $V_{12} = -\gamma \frac{Mm}{r}$ )

### The rigid body

Definition : rigid body : system of  $N = 10^{23}$  mass points with fixed distances

$$r_{ij} = |\vec{r}_i - \vec{r}_j|$$



(not deformable)

possible movements : translation, rotation

How many degrees of freedom?

1 particle                      3 degrees of freedom       $\vec{r}_1 = (x_1, y_1, z_1)$

2 particles                      6 - 1 = 5 degrees of freedom  
 $\vec{r}_i = (x_i, y_i, z_i)$                       constraint  $r_{12} = |\vec{r}_1 - \vec{r}_2|$

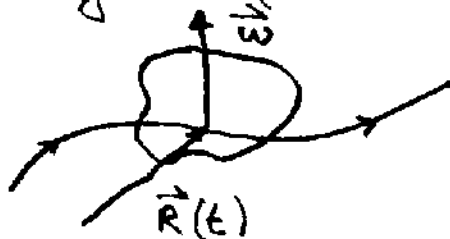
3 particles                      5 + 3 - 2 = 6 degrees of freedom  
 $\vec{r}_3 = (x_3, y_3, z_3)$                        $r_{13} = |\vec{r}_1 - \vec{r}_3|$   
     $r_{23} = |\vec{r}_2 - \vec{r}_3|$

N particles                      6 degrees of freedom  
 (each particle added generates 3 more constraints)

fix 6 degrees of freedom

1) Translation : coordinates of fixed point:  $\vec{R} = (x, y, z)$

2) Rotation : 3 angles fix direction,  $\vec{\omega}(t)$



Special cases : spinning top : no translation  
 3 degrees of freedom  
 fixed axis (physical pendulum)  
 1 degree of freedom:  $\psi$

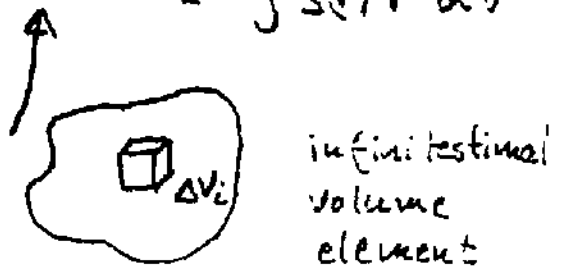
Recall: quantities for N-particle system

mass  $M = \sum_{i=1}^N m_i = \int \rho(\vec{r}) dV$

center of mass  $\vec{R} = \frac{1}{M} \sum_{i=1}^N m_i \vec{r}_i = \frac{1}{M} \int \rho(\vec{r}) \vec{r} dV$

total momentum  $\vec{p} = \sum_{i=1}^N m_i \dot{\vec{r}}_i = \int \rho(\vec{r}) \dot{\vec{r}} dV$

Calculation in continuum



$$M = \sum_i \Delta m_i = \sum_i \frac{\Delta m_i}{\Delta V_i} \Delta V_i$$

take limit

$$\left. \begin{array}{l} \Delta V_i \rightarrow 0 \\ \Delta m_i \rightarrow 0 \end{array} \right\} \rho(\vec{r}) = \lim_{\Delta V \rightarrow 0} \frac{\Delta m(\vec{r})}{\Delta V(\vec{r})}$$

mass density

$$\Delta V \rightarrow dV = d^3 \vec{r}$$

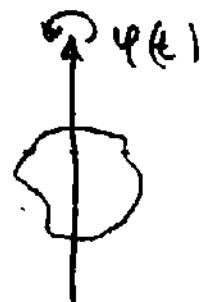
recall: volume integral  $M = \int \rho(\vec{r}) dV$

$\rho = \rho_0$  in  $V \Rightarrow \int_V \rho_0 dV = \rho_0 \int_{c_1}^{c_2} \int_{b_1}^{b_2} \int_{a_1}^{a_2} d\epsilon \int d\eta \int d\zeta$

cube

Rotation around an axis

degree of freedom  $\psi(t)$



calculate kinetic energy

$$T = \sum_{i=1}^N \frac{m_i}{2} \vec{v}_i^2$$

angular velocity  $\omega = \dot{\psi}$ ,  $\vec{\omega} = (0, 0, \omega)$

rotation of mass point around axis:

$$\dot{\vec{r}}_i = \vec{\omega} \times \vec{r}_i = \begin{vmatrix} \vec{e}_1 & \vec{e}_2 & \vec{e}_3 \\ 0 & 0 & \omega \\ x_i & y_i & z_i \end{vmatrix} = \omega (-y_i, x_i, 0)$$

$$\begin{aligned} T &= \sum_{i=1}^N \frac{m_i}{2} (\vec{\omega} \times \vec{r}_i)^2 = \sum_{i=1}^N \frac{m_i}{2} (\hat{\omega} \times \vec{r}_i)^2 \omega^2 \\ &= \frac{1}{2} \mathcal{J} \omega^2 \quad \mathcal{J} = \sum_{i=1}^N m_i (\hat{\omega} \times \vec{r}_i)^2 \\ &\quad \text{(moment of inertia)} \end{aligned}$$

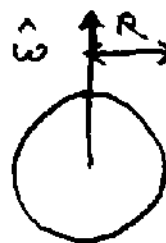
rotation around z-axis  $\hat{\omega} = (0, 0, 1)$

$$\begin{aligned} \mathcal{J} &= \sum_{i=1}^N m_i (\hat{\omega} \times \vec{r}_i)^2 = \sum_{i=1}^N m_i (x_i^2 + y_i^2) \\ &= \int d^3r \rho(\vec{r}) (x^2 + y^2) \quad \text{(continuum)} \end{aligned}$$

Example: sphere with homogeneous mass distribution

$$\rho(\vec{r}) = \begin{cases} \rho_0 & r \leq R \\ 0 & r > R \end{cases} = \rho_0 \Theta(R-r)$$

step function



use spherical coordinates  $\vec{r} = r (\sin\vartheta \cos\varphi, \sin\vartheta \sin\varphi, \cos\vartheta)$

$$(\hat{\omega} \times \vec{r})^2 = r^2 (\sin^2\vartheta \cos^2\varphi + \sin^2\vartheta \sin^2\varphi) = r^2 \sin^2\vartheta$$

$$J = \int d^3r \rho(\vec{r}) (\hat{\omega} \times \vec{r})^2 \quad d^3r = r^2 \sin\vartheta \, dr \, d\vartheta \, d\varphi$$

$$= \int_0^\infty dr \, r^4 \int_0^\pi d\vartheta \, \sin^3\vartheta \int_0^{2\pi} d\varphi \, \frac{\rho(\vec{r})}{\rho_0 \Theta(R-r)}$$

$$= \rho_0 \int_0^R dr \, r^4 \int_0^\pi d\vartheta \, \sin^3\vartheta \int_0^{2\pi} d\varphi$$

$$= \rho_0 \frac{r^5}{5} \Big|_0^R \int_{-1}^1 dx (1-x^2) \varphi \Big|_0^{2\pi} \quad \downarrow x = \cos\vartheta$$

$$= \rho_0 \frac{R^5}{5} \left(2 - \frac{2}{3}\right) 2\pi = \underbrace{\frac{4\pi}{3} R^3}_{V} \rho_0 \frac{2}{5} R^2 = M \frac{2}{5} R^2$$

Rotation around axis in conservative force:

energy conservation

$$E = T + V = \frac{1}{2} J \omega^2 + V = \frac{1}{2} J \dot{\varphi}^2 + V(\varphi)$$

compare  $E = \frac{m}{2} \dot{x}^2 + V(x)$  (1 dimensional motion in potential)

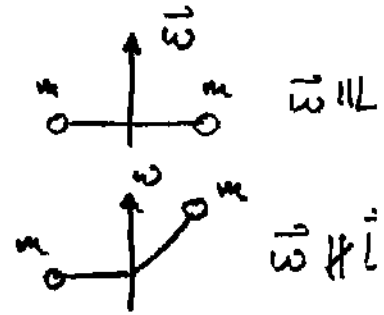
solution by separation of variables

$$t(\varphi) = t - t_0 = \int_{\varphi_0}^{\varphi} d\varphi' \frac{1}{\sqrt{\frac{2}{J} (E - V(\varphi'))}}$$

inversion:  $\varphi(t)$

# Angular momentum law

in general : angular momentum not parallel to rotation axis



consider : component parallel to  $\vec{\omega}$  :

$$\begin{aligned}
 L_{\omega} &= \hat{\omega} \cdot \vec{L} = \hat{\omega} \cdot \sum_{i=1}^N m_i \vec{r}_i \times \dot{\vec{r}}_i \\
 &= \sum_{i=1}^N m_i \underbrace{(\vec{r}_i \times \dot{\vec{r}}_i) \cdot \hat{\omega}}_{(\hat{\omega} \times \vec{r}_i) \cdot \dot{\vec{r}}_i} = \sum_{i=1}^N m_i (\hat{\omega} \times \vec{r}_i) \cdot (\vec{\omega} \times \vec{r}_i) \\
 &= \omega \sum_{i=1}^N m_i (\hat{\omega} \times \vec{r}_i)^2 = \omega J = \dot{\psi} J
 \end{aligned}$$

general angular momentum law  $\frac{d}{dt} \vec{L} = \vec{M}^{ex} = \sum_i \vec{r}_i \times \vec{F}_i^{ex}$

multiply with  $\hat{\omega} = \text{const}$

$$\frac{d}{dt} (\hat{\omega} \cdot \vec{L}) = \hat{\omega} \cdot \vec{M}^{ex}$$

$$\frac{d}{dt} (\dot{\psi} J) = \sum_i (\vec{r}_i \times \vec{F}_i^{ex}) \cdot \hat{\omega}$$

$$\ddot{\psi} J = \sum_i (\hat{\omega} \times \vec{r}_i) \cdot \vec{F}_i^{ex}$$

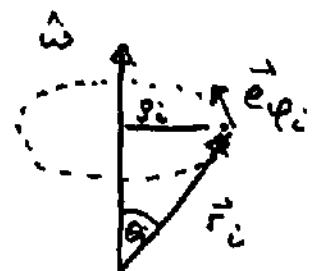
evaluate  $\hat{\omega} \times \vec{r}_i = \vec{e}_z \times \vec{r}_i = (-y_i, x_i, 0)$

in cylindrical coordinates

$$\hat{\omega} \times \vec{r}_i = (-y_i, x_i, 0)$$

$$= s_i (-\sin \varphi, \cos \varphi, 0)$$

$$= s_i \vec{e}_{\varphi}, \quad s_i = r_i \sin \theta_i$$



$$\ddot{\psi} J = \sum_i s_i \vec{e}_{\varphi} \cdot \vec{F}_i^{ex}$$

special case:  $\vec{M}^{ex} = 0$ ,  $\hat{\omega} \cdot \vec{M}^{ex} = 0$   
 $\mathcal{J} \ddot{\psi} = 0$ ,  $\dot{\psi} = \omega = \text{const}$   
 $\omega \mathcal{J} = L_{\omega} = \text{const}$   
 $T = \frac{1}{2} \mathcal{J} \omega^2 = \text{const}$

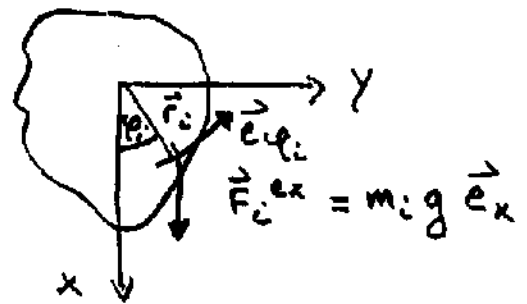
### Analogies between translational and rotational motion

Translation		Rotation
position	$x$	angle $\varphi$
mass	$m$	moment of inertia $\mathcal{J}$
velocity	$v = \dot{x}$	angular velocity $\omega = \dot{\varphi}$
momentum	$p = m v$	angular momentum $L_{\omega} = \mathcal{J} \omega$
force	$F$	torque $M_{\omega}^{ex}$
kinetic energy	$T = \frac{1}{2} m v^2$	$T = \frac{1}{2} \mathcal{J} \omega^2$
equation of motion	$F = m \ddot{x}$	$M_{\omega}^{ex} = \mathcal{J} \ddot{\psi}$

### Physical pendulum

rotation  $\vec{\omega} \parallel \vec{e}_z$

$$\mathcal{J} \ddot{\psi} = \sum_i s_i \underbrace{\vec{F}_i^{ex} \cdot \vec{e}_{\varphi_i}}_{-m_i g \sin \varphi_i}$$



$$\vec{e}_{\varphi_i} = (-\sin \varphi_i, \cos \varphi_i, 0)$$

$$= -\sum_i \underbrace{s_i \sin \varphi_i}_{y_i \text{ (geometry)}} m_i g = -g \sum_i y_i m_i$$

$$= -g M R_y$$

$$\vec{R} = \frac{1}{M} \sum_i \vec{r}_i m_i, R_y = \frac{1}{M} \sum_i y_i m_i$$

$$R_y = R \sin \varphi, R = |\vec{R}|$$

$$= -g M R \sin \varphi$$



$$\frac{J}{MR} \ddot{\varphi} + g \sin \varphi = 0$$

$$l \ddot{\varphi} + g \sin \varphi = 0$$

(mathematical pendulum)

motion equivalent to mathematical pendulum

with

$$l = \frac{J}{MR}$$

small angles  $\varphi \approx \sin \varphi$ , solution

$$\varphi(t) = A \cos(\omega t) + B \sin(\omega t), \quad \omega = \sqrt{\frac{gMR}{J}}$$

alternative derivation: energy conservation

calculate potential  $V = \sum_i V_i = \sum_i -m_i g x_i$   $V_i = -m_i g x_i$

$$= -Mg R_x = -Mg R \cos \varphi$$

$$E = T + V = \frac{1}{2} J \dot{\varphi}^2 - Mg R \cos \varphi$$

$$\frac{dE}{dt} = \dot{\varphi} (J \ddot{\varphi} + gMR \sin \varphi) = 0$$

12.01.2017

Steiner's theorem

Note:  $J = \sum_i m_i (x_i^2 + y_i^2)$  depends on choice of rotation axis

decompose  $\vec{r}_i = \Delta \vec{r}_i + \vec{R}$ ,  $x_i = x_i' + R_x$   
 $y_i = y_i' + R_y$

$$J = \sum_i m_i (x_i^2 + y_i^2) = \sum_i m_i [(x_i' + R_x)^2 + (y_i' + R_y)^2]$$

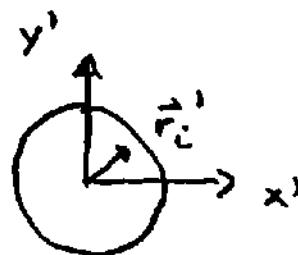
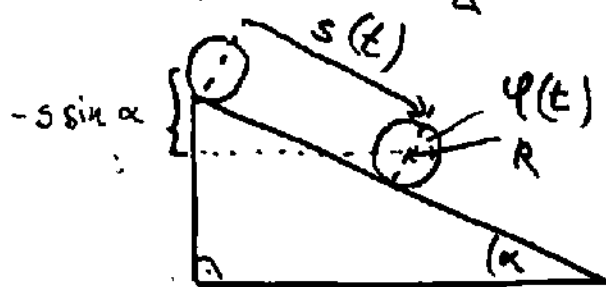
$$= \underbrace{\sum_i m_i (x_i'^2 + y_i'^2)}_{J_S} + \underbrace{\sum_i m_i (R_x^2 + R_y^2)}_{M S^2} + 2R_x \underbrace{\sum_i m_i x_i'}_0 + 2R_y \underbrace{\sum_i m_i y_i'}_0$$

$$= J_S + M S^2 \geq J_S$$

(moment of inertia for axis through  $\vec{R}$ ) (center of mass in coordinate system at center of mass)

### Rolling motion

Example: homogeneous cylinder rolling off a plane



each mass point  $\dot{\vec{r}}_i = \dot{\vec{r}}_i^T + \dot{\vec{r}}_i^R$   $\dot{\vec{r}}_i^R = \vec{\omega} \times \vec{r}_i'$   $\vec{\omega} \parallel \vec{e}_z$

no sliding: condition

$$\dot{s} = -R \dot{\varphi} = -R\omega \quad \rightarrow \quad \dot{\vec{r}}_i = \dot{s} \vec{e}_s + \vec{\omega} \times \vec{r}_i'$$

kinetic energy

$$\begin{aligned} T &= \frac{1}{2} \sum_i m_i \dot{\vec{r}}_i^2 = \frac{1}{2} \sum_i m_i (\dot{s} \vec{e}_s + \vec{\omega} \times \vec{r}_i')^2 \\ &= \frac{1}{2} \sum_i m_i \left[ \dot{s}^2 + (\vec{\omega} \times \vec{r}_i')^2 + 2 \dot{s} \vec{e}_s \cdot (\vec{\omega} \times \vec{r}_i') \right] \\ &= \frac{1}{2} M \dot{s}^2 + \frac{1}{2} J \omega^2 + \dot{s} \vec{e}_s \cdot \left( \vec{\omega} \times \underbrace{\sum_i m_i \vec{r}_i'}_{M \vec{R}' = 0} \right) \\ &= \frac{1}{2} M \dot{s}^2 + \frac{1}{2} J \omega^2 \\ &= \frac{3}{4} M R^2 \omega^2 \end{aligned} \quad \left. \begin{aligned} \dot{s}^2 &= R^2 \omega^2 \\ J &= \frac{1}{2} M R^2 \end{aligned} \right\} \text{(no proof)}$$

potential energy (gravitational potential)

$$\begin{aligned} V &= \sum_i V_i = \sum_i m_i g x_i \\ &= g M R_x = -g M s \sin \alpha \end{aligned} \quad \text{choice of coordinate system}$$

$$E = T + V = \frac{3}{4} M R^2 \dot{\varphi}^2 - Mg s \sin \alpha$$

$$0 = \frac{d}{dt} E = \frac{3}{4} M R^2 2 \dot{\varphi} \ddot{\varphi} + Mg \sin \alpha R \dot{\varphi} \quad \left. \begin{array}{l} \\ \end{array} \right\} \dot{s} = -\dot{\varphi} R$$

$$\Rightarrow \ddot{\varphi} = -\frac{2}{3} \frac{g \sin \alpha}{R}, \quad \ddot{s} = \frac{2}{3} g \sin \alpha$$

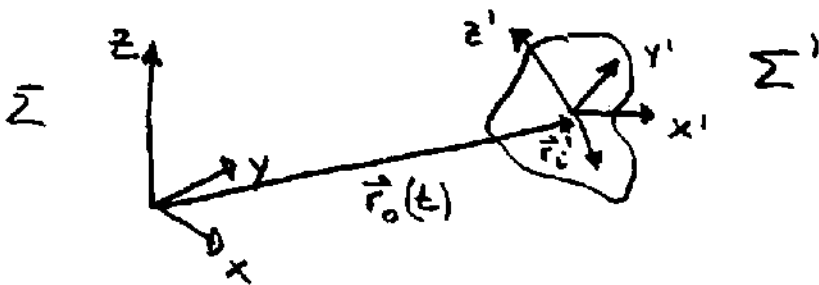
$$\varphi(t) = -\frac{1}{3} \frac{g \sin \alpha}{R} t^2 \quad \begin{array}{l} \varphi(0) = 0 \\ \dot{\varphi}(0) = 0 \end{array}$$

Generalization : moment of inertia

physical pendulum :  $\hat{\omega} = \text{const}$   $T = \frac{1}{2} I \omega^2$

spinning top  $\hat{\omega} = \hat{\omega}(t)$   $T = ?$   
(inertial tensor)

calculate velocity using coordinate system fixed at center of mass position



$$\vec{r}_i = \vec{r}_0 + \vec{r}'_i \quad \begin{array}{l} \vec{r}_0 = \sum_{\alpha=1}^3 x_{\alpha 0} \vec{e}_\alpha \\ \vec{r}'_i(t) = \sum_{\alpha=1}^3 x'_{i\alpha} \vec{e}'_\alpha(t) \end{array}$$

recall : time derivative operator

in rotating reference frame  $\frac{d}{dt} \Big|_{\Sigma} = \frac{d}{dt} \Big|_{\Sigma'} + \vec{\omega} \times$

$$\dot{\vec{r}}_i = \dot{\vec{r}}_0 + \underbrace{\frac{d}{dt} \vec{r}'_i \Big|_{\Sigma'}}_0 + \vec{\omega} \times \vec{r}'_i = \dot{\vec{r}}_0 + \vec{\omega} \times \vec{r}'_i$$

choice of coordinate system

kinetic energy :

$$\begin{aligned}
 T &= \frac{1}{2} \sum_i m_i \dot{\vec{r}}_i^2 = \frac{1}{2} \sum_i m_i (\dot{\vec{r}}_0 + \vec{\omega} \times \vec{r}_i')^2 \\
 &= \frac{1}{2} \sum_i m_i \dot{\vec{r}}_0^2 + \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i')^2 + \sum_i m_i \dot{\vec{r}}_0 \cdot (\vec{\omega} \times \vec{r}_i') \\
 &= \frac{1}{2} \dot{\vec{r}}_0^2 \sum_{i=1}^N m_i + \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i')^2 + \dot{\vec{r}}_0 \cdot (\vec{\omega} \times \underbrace{\sum_i m_i \vec{r}_i'}_{M \vec{R}' = 0}) \\
 &= T_T + T_R
 \end{aligned}$$

consider rotation part :

$$\begin{aligned}
 T_R &= \frac{1}{2} \sum_i m_i (\vec{\omega} \times \vec{r}_i')^2 \\
 &= \frac{1}{2} \sum_i m_i \left( \sum_{nlm} E_{nlm} \omega_l x_{im} \vec{e}_n \right) \cdot \left( \sum_{trs} E_{trs} \omega_r x_{is} \vec{e}_t \right) \\
 \vec{e}_n \cdot \vec{e}_t &= \delta_{nt} \\
 &= \frac{1}{2} \sum_{nlmtrs} m_i E_{nlm} E_{trs} \omega_l \omega_r x_{im} x_{is} \delta_{nt} \\
 &= \frac{1}{2} \sum_{nlmtr} m_i \underbrace{E_{nlm} E_{nrs}}_{\delta_{lr} \delta_{ms} - \delta_{ls} \delta_{mr}} \omega_l \omega_r x_{im} x_{is} \\
 &= \frac{1}{2} \sum_i m_i (\delta_{lr} \delta_{ms} - \delta_{ls} \delta_{mr}) \omega_l \omega_r x_{im} x_{is} \\
 &= \frac{1}{2} \sum_{lm} m_i (\omega_l^2 x_{im}^2 - \omega_l \omega_m x_{il} x_{im}) \\
 &= \frac{1}{2} \sum_{lm} \omega_l \omega_m \underbrace{\sum_i m_i (\delta_{lm} r_i'^2 - x_{il} x_{im})}_{I_{lm}} \\
 \sum_m x_{im}^2 &= r_i'^2 \\
 I_{lm} &= \sum_i m_i (\delta_{lm} r_i'^2 - x_{il} x_{im})
 \end{aligned}$$

rewrite kinetic energy  $T_R = \frac{1}{2} \vec{\omega}^T \underline{J} \vec{\omega}$   
 $= \frac{1}{2} \sum_{lm} J_{lm} \omega_m$

inertial tensor

$$J_{lm} = \begin{pmatrix} \sum_i m_i (x_{i2}^{\prime 2} + x_{i3}^{\prime 2}) & -\sum_i m_i x_{i1}^{\prime} x_{i2}^{\prime} & -\sum_i m_i x_{i1}^{\prime} x_{i3}^{\prime} \\ -\sum_i m_i x_{i2}^{\prime} x_{i1}^{\prime} & \sum_i m_i (x_{i1}^{\prime 2} + x_{i3}^{\prime 2}) & -\sum_i m_i x_{i2}^{\prime} x_{i3}^{\prime} \\ -\sum_i m_i x_{i3}^{\prime} x_{i1}^{\prime} & -\sum_i m_i x_{i3}^{\prime} x_{i2}^{\prime} & \sum_i m_i (x_{i1}^{\prime 2} + x_{i2}^{\prime 2}) \end{pmatrix}$$

symmetric 3x3 matrix

continuum  $J_{lm} = \int d^3\vec{r} \rho(\vec{r}) (\delta_{lm} r^2 - x_l x_m)$

Properties of inertial tensor

- 1) Tensor of k-th rank in an n-dimensional space
  - a)  $n^k$  number of elements  $\{F_{i_1, \dots, i_k}\}$ ,  $i_j = 1, \dots, n$
  - b) transforms itself with respect to all k indices like a vector under coordinate rotations

Examples:

Tensor of 0-th rank: scalar (invariant)

Tensor of 1st rank: vector  $\bar{x}_j = \sum d_{jk} x_k$   
 $\vec{\bar{x}} = D \vec{x}$

Tensor of 2nd rank:  $\bar{F}_{jk} = \sum_{lm} d_{jl} d_{km} F_{lm}$   
 $= \sum_{lm} d_{jl} F_{lm} d_{mk}^T$   
 $= (D F D^T)_{jk}$

Use transformation of  $\underline{J}_{cm}$ :

kinetic energy in rotated system,  $\underline{\omega} = D \underline{\omega}$

$$\begin{aligned} T_R &= \frac{1}{2} \underline{\omega}^T \underline{J} \underline{\omega} = \frac{1}{2} (D \underline{\omega})^T D \underline{J} D^T D \underline{\omega} \\ &= \frac{1}{2} \underline{\omega}^T \underbrace{D^T D}_E \underline{J} \underbrace{D^T D}_E \underline{\omega} = \frac{1}{2} \underline{\omega}^T \underline{J} \underline{\omega} \\ &= T_R \quad \text{(kinetic energy invariant)} \end{aligned}$$

2) Inertial tensor vs. moment of inertia

rotation around fixed axis

$$T_R = \frac{1}{2} \underline{J} \omega^2, \quad \underline{\omega} = \omega \hat{\omega} = \omega \vec{n}, \quad |\vec{n}| = 1$$

calculate with inertial tensor:

$$T_R = \frac{1}{2} \sum_{lm} \omega_l \underline{J}_{lm} \omega_m = \frac{1}{2} \omega^2 \underbrace{\sum_{lm} n_l \underline{J}_{lm} n_m}_J$$

$$J = \sum_{lm} n_l \underline{J}_{lm} n_m$$

example  $\vec{n} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$   $J = J_{33} = \sum_i m_i (x_i^2 + y_i^2)$

3) principal axis of inertia

$$\underline{J}_{lm} = \int d^3r \rho(\vec{r}) (\delta_{lm} \vec{r}^2 - x_l x_m)$$

symmetric  $\underline{J}_{lm} = \underline{J}_{ml}$   
real  $\underline{J}_{lm} = \underline{J}_{lm}^*$

can be shown (reference to linear algebra):

Exists a special rotation<sup>0</sup> such that

$$\underline{J} = D^T \underline{J} D = \text{diag}(A, B, C) = \begin{pmatrix} A & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & C \end{pmatrix}$$

$D$  : principal axis transformation

$A, B, C$  : principal moments ; eigenvalues of  $J_{cm}$

$\vec{e}_i$  : principal axis of inertia  $\vec{e}_i = D \vec{e}_i$

Denotations

$A \neq B \neq C$  asymmetric top

$A = B \neq C$  symmetric top      oblate  $C > A = B$

prolate  $C < A = B$

$A = B = C$  spherical top

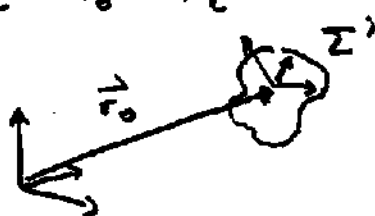
Angular momentum of rigid body

recall : fixed axis  $\hat{\omega} = \text{const}$        $L_{\omega} = J \omega$

$$\vec{L} = \sum_i m_i (\vec{r}_i \times \dot{\vec{r}}_i)$$

use  $\vec{r}_i = \vec{r}_0 + \vec{r}'_i$

$$= \sum_i m_i (\vec{r}_0 + \vec{r}'_i) \times (\dot{\vec{r}}_0 + \vec{\omega} \times \vec{r}'_i)$$



$$= \sum_i m_i \left[ \vec{r}_0 \times \dot{\vec{r}}_0 + \vec{r}_0 \times (\vec{\omega} \times \vec{r}'_i) + \vec{r}'_i \times \dot{\vec{r}}_0 + \vec{r}'_i \times (\vec{\omega} \times \vec{r}'_i) \right]$$

$$= M \vec{r}_0 \times \dot{\vec{r}}_0 + \vec{r}_0 \times (\vec{\omega} \times \underbrace{\sum_i m_i \vec{r}'_i}_{\vec{R}=0}) + \underbrace{\left( \sum_i m_i \vec{r}'_i \right)}_{\vec{R}'=0} \times \dot{\vec{r}}_0 + \sum_i m_i \vec{r}'_i \times (\vec{\omega} \times \vec{r}'_i)$$

$$= \vec{L}_S + \vec{L}_R \quad \vec{L}_S = M \vec{r}_0 \times \dot{\vec{r}}_0 = M \vec{R} \times \dot{\vec{R}}$$

$$\vec{L}_R = \sum_i m_i \vec{r}'_i \times (\vec{\omega} \times \vec{r}'_i)$$

$$a \times (b \times c) = b(a \cdot c) - c(a \cdot b)$$

$$= \sum_i m_i \left[ \vec{\omega} \cdot \vec{r}'_i \vec{r}'_i - \vec{r}'_i (\vec{\omega} \cdot \vec{r}'_i) \right]$$

projection on rotation axis

$$L_{\omega} = \hat{\omega} \cdot \vec{L}_R = \sum_i m_i [\omega r_i^2 - (\hat{\omega} \cdot \vec{r}_i)(\vec{\omega} \cdot \vec{r}_i)]$$

$$= \frac{1}{\omega} \sum_i m_i \underbrace{[\omega^2 r_i^2 - (\vec{\omega} \cdot \vec{r}_i)^2]}_{(\vec{\omega} \times \vec{r}_i)^2} = \frac{2 T_R}{\omega}$$

$$\Rightarrow T_R = \frac{1}{2} \omega L_{\omega} = \frac{1}{2} \vec{\omega} \cdot \vec{L}_R$$

$$= \frac{1}{2} \vec{\omega}^T \underline{J} \vec{\omega}$$



$\alpha < \frac{\pi}{2} : T_R > 0$

comparison :  $\vec{L}_R = \underline{J} \vec{\omega}$

can also be seen directly from

$$L_{Ri} = \sum_i m_i (\omega_i r_i^2 - x_{iE} (\vec{\omega} \cdot \vec{r}_i)^2)$$

$$= \sum_m J_{Em} \omega_m$$

in system of principal axis of inertia :  $\{\vec{e}_1, \vec{e}_2, \vec{e}_3\}$

$$\vec{L}_R = (A \omega_1, B \omega_2, C \omega_3)$$

consider rotation with  $\vec{\omega} \parallel \vec{e}_1, \vec{e}_2, \vec{e}_3$

$$\vec{L}_R = A \vec{\omega} \quad \text{or} \quad \vec{L}_R = B \vec{\omega} \quad \text{or} \quad \vec{L}_R = C \vec{\omega} \quad \left\{ \vec{L} \parallel \vec{\omega} \right.$$

coordinate transformation : keeps relative directions  
find principal axis by solving

$$\vec{L}_R = \underline{J} \vec{\omega} = \underline{J} \vec{\omega}$$

$$\underline{J} \vec{\omega} - \underline{J} \vec{\omega} = 0$$

$$(\underline{J} - \underline{E} \lambda) \vec{\omega} = 0$$

non-trivial solution for  
 $\det(\underline{J} - \underline{E} \lambda) = 0$

eigenvalue equation

$\lambda$  : eigenvalue

$\vec{\omega}$  : eigenvector



polynomial equation in  $\lambda$  :  $\alpha \lambda^3 + \beta \lambda^2 + \gamma \lambda + \delta = 0$

3 solutions  $\{\lambda_1, \lambda_2, \lambda_3\} = \{A, B, C\}$

proof: consider  $D \underline{\lambda} D^T = \begin{pmatrix} A & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & C \end{pmatrix}$ ,  $D D^T = 11$

$$0 = \det(\underline{\lambda} - \underline{E}) = \det(\underline{\lambda} - \underline{E}) \det D \det D^T$$

$$\det(AB) = \det A \cdot \det B \quad \uparrow$$

$$1 = \det(D D^T) = \det D \det D^T$$

$$\Rightarrow \det [D (\underline{\lambda} - \underline{E}) D^T] = \det \left( \underbrace{D \underline{\lambda} D^T}_{\begin{pmatrix} A & 0 & 0 \\ 0 & B & 0 \\ 0 & 0 & C \end{pmatrix}} - \underbrace{D \underline{E} D^T}_{11} \right)$$

$$= \det \begin{pmatrix} A-\lambda & 0 & 0 \\ 0 & B-\lambda & 0 \\ 0 & 0 & C-\lambda \end{pmatrix} = (A-\lambda)(B-\lambda)(C-\lambda)$$

$$\Rightarrow A = \lambda \text{ or } B = \lambda \text{ or } C = \lambda$$

Theory of spinning top

angular momentum law in  $\Sigma'$  (fixed to rigid body)

$$\frac{d}{dt} \Big|_{\Sigma'} \vec{L}_R = \vec{M}$$

$$\frac{d}{dt} \Big|_{\Sigma} = \frac{d}{dt} \Big|_{\Sigma'} + \vec{\omega} \times$$

$$\frac{d}{dt} \Big|_{\Sigma'} \vec{L}_R + \vec{\omega} \times \vec{L}_R = \vec{M}$$

$$\vec{L}_R = (A \omega_1, B \omega_2, C \omega_3)$$

$$A \dot{\omega}_1 + (C-B) \omega_2 \omega_3 = M_1$$

$$\vec{\omega} = (\omega_1, \omega_2, \omega_3)$$

$$B \dot{\omega}_2 + (A-C) \omega_1 \omega_3 = M_2$$

$$\vec{M} = (M_1, M_2, M_3)$$

$$C \dot{\omega}_3 + (B-A) \omega_1 \omega_2 = M_3$$

free motion  $\vec{M} = 0$ ,  $\frac{d}{dt} T_R = 0$ ,  $|\vec{L}_R| = \text{const}$

$$A \dot{\omega}_1 + (C-B) \omega_2 \omega_3 = 0$$

$$B \dot{\omega}_2 + (A-C) \omega_1 \omega_3 = 0$$

$$C \dot{\omega}_3 + (B-A) \omega_1 \omega_2 = 0$$

non-linear coupled

D.E. for  $\omega_i$

Discussion :

1) rotation around principal axis

$$\vec{\omega} = \omega_f \vec{e}_f = \text{const} \text{ is solution } \dot{\omega}_f = 0$$

$$\omega_2 = \omega_3 = 0$$

2) for  $A = B = C \equiv J$

$$\left. \begin{array}{l} \dot{\omega}_f = 0 \\ \dot{\omega}_2 = 0 \\ \dot{\omega}_3 = 0 \end{array} \right\} \vec{\omega} = \text{const} \text{ is solution}$$

3) general case consider  $\vec{\omega} = (\omega_0 + p(t), q(t), r(t))$

$$\omega_0 \gg p, q, r$$

$$A \dot{p} + (C - B) q r = 0$$

$$B \dot{q} + (A - C) (\omega_0 + p) r = 0$$

$$C \dot{r} + (B - A) (\omega_0 + p) q = 0$$

approximation

$$A \dot{p} \approx 0 \quad p = \text{const}$$

$$\left. \begin{array}{l} B \dot{q} + (A - C) \omega_0 r = 0 \\ C \dot{r} + (B - A) \omega_0 q = 0 \end{array} \right\} \text{coupled linear D.E.}$$

decouple

$$\ddot{q} + D^2 q = 0$$

$$\ddot{r} + D^2 r = 0$$

$$D^2 = \frac{(A - B)(A - C)}{BC} \omega_0^2$$

solutions : oscillatory if  $D \in \mathbb{R}, D^2 > 0$

$$D^2 > 0 \text{ if a) } A > B, A > C$$

$$\text{b) } A < B, A < C$$

# Lagrange Mechanics

Newton's equations for N-particle system

$$m_i \ddot{\vec{r}}_i = \vec{F}_i^{(ex)} + \sum_{j \neq i} \vec{F}_{ij} = \vec{F}_i$$

1) Constraints of the system      example: rigid body  
 $|\vec{r}_{ij}| = \text{const}$

2) constraints employed by forces of constraint

$$m_i \ddot{\vec{r}}_i = \vec{K}_i + \vec{Z}_i$$

↑ driving force
↑ constraint force

Problems

- a) constraint forces unknown (before solving)
- b) coordinates are not independent

→ Solution: Lagrange mechanics

Classification of constraints, generalized coordinates

A) Holonomic constraints

$$f_r(\vec{r}_1, \dots, \vec{r}_N, t) = 0 \quad r = 1, \dots, p$$

system has  $S = 3N - p$  (independent coordinates)

examples

1) Dumbbell



$$|\vec{r}_{ij}| = l \Leftrightarrow |\dot{\vec{r}}_{ij}| - l = 0$$

$$S = 3 \cdot 2 - 1 = 5$$

independent coordinates

2) rigid body

3) particle on the x-y plane:  $z = 0$

a) holonomic-scleromic constraints

$$\frac{\partial f_r}{\partial t} = 0 \quad (\text{time-independent})$$

b) holonomic-rheonomic constraints

$$\frac{\partial f_r}{\partial t} \neq 0 \quad \text{time-dependent}$$

example: particle in elevator with  $z(t) = v_0 t$

$$f(z, t) = z(t) - v_0 t = 0$$

→ Introduction of  $S = 3N - p$  <sup>generalized</sup> coordinates fixes configuration uniquely  $\vec{q} = (q_1, \dots, q_s)$ ;  $\vec{r} = \vec{r}(\{q_i\}, t)$

$q_i$ : independent from each other, not necessarily lengths (example particle on sphere  $q_1 = \varphi, q_2 = \theta$ )  
choice of  $q_i$  not unique, but number  $i = 1 \dots S$

B) Non-holonomic constraints

(do not reduce degrees of freedom)

a) constraints as inequalities

example: particle inside sphere  $|\vec{r}| - R \leq 0$

(still 3 degrees of freedom)

b) constraints in differential, non-integrable form

$$\sum_{m=1}^{3N} f_{im} dx_m + f_{it} dt = 0 \quad i = 1 \dots p$$

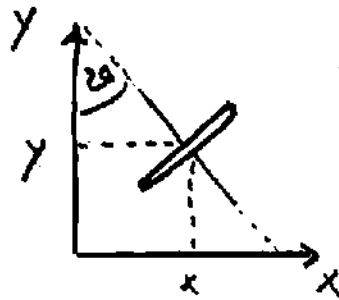
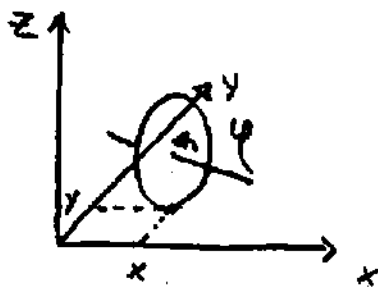
(not a total differential)

There is no function  $F_i(\{x_m\}, t)$  with

$$\frac{\partial F_i}{\partial x_m} = f_{im} \quad \text{and} \quad \frac{\partial F_i}{\partial t} = f_{it}$$

otherwise:  $F_i(\{x_m\}, t) = \text{const}$  would be holonomic constraint!

Example: rolling wheel



rigid body:  
6 degrees of freedom  
(3 angles, center of mass  $\vec{R}$ )

2 holonomic - skleronomic constraints

$$\varphi = 0 \quad (\text{wheel does not tilt})$$

$$z_0 - R = 0$$

$R$ : radius of wheel

another constraint: velocity  $\leftrightarrow$  angular velocity  $\dot{\varphi}$

$$|\vec{v}| = R \dot{\varphi}$$

$$v_x = \dot{x} = v \cos \vartheta$$

$$v_y = \dot{y} = v \sin \vartheta$$

} geometry

rewrite constraint

$$\dot{x} - R \dot{\varphi} \cos \vartheta = 0$$

$$\dot{y} - R \dot{\varphi} \sin \vartheta = 0$$

differential form

$$dx - R \cos \vartheta d\varphi = 0$$

$$dy - R \sin \vartheta d\varphi = 0$$

not integrable: need time-dependence  $\vartheta(t)$

$\rightarrow$  all 4 coordinates are independent

Note: possible to rewrite as constraint for

velocities

$$\sum_{i=1}^{3N} g_{im} \dot{x}_m + g_{it} = 0$$

(not-holonomic constraint)

# D'Alembert's principle

goal: eliminate constraint forces from equations of motion

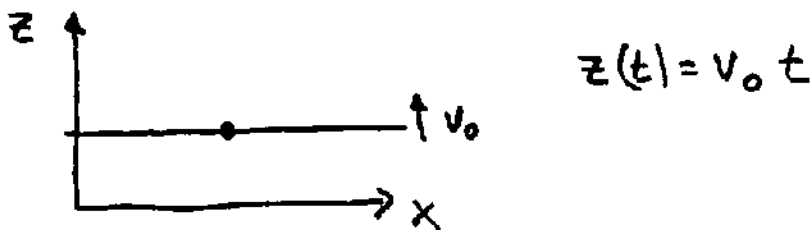
$$m_i \ddot{\vec{r}}_i = \vec{K}_i + \vec{Z}_i$$

↑ driving force (gravitation, spring force, friction)
← constraint force (thread tension, force on surface)

Definition: virtual displacement  $\delta \vec{r}$   
 infinitesimal change of coordinates (compatible with constraints), instantaneously executed:  $\delta t = 0$

notation  $\delta$ : virtual } normal differential (mathematically)  
 $d$ : real

Example: particle in an elevator



real displacement  $d\vec{r} = \begin{pmatrix} dx \\ dz \end{pmatrix} = \begin{pmatrix} dx \\ v_0 dt \end{pmatrix} \quad dt \neq 0$

virtual displacement  $\delta\vec{r} = \begin{pmatrix} \delta x \\ \delta z \end{pmatrix} = \begin{pmatrix} \delta x \\ \delta t v_0 \end{pmatrix} \Big|_{\delta t = 0} = \begin{pmatrix} \delta x \\ 0 \end{pmatrix}$

Definition: virtual work

$$\delta W_i = -\vec{F}_i \cdot \delta \vec{r}_i \quad \vec{F}_i = \vec{K}_i + \vec{Z}_i$$

$$= -\vec{K}_i \cdot \delta \vec{r}_i - \vec{Z}_i \cdot \delta \vec{r}_i$$

goal: eliminate  $\vec{Z}_i$  from  $m_i \ddot{\vec{r}}_i = \vec{K}_i + \vec{Z}_i$

Axiom: Principle of virtual work

$$\sum_i \vec{Z}_i \cdot \delta \vec{r}_i = 0$$

constraint forces do not execute any work for thought

multiply Newton's equation with  $\delta \vec{r}_i$ : <sup>movements</sup>

$$\sum_{i=1}^N m_i \ddot{\vec{r}}_i \cdot \delta \vec{r}_i = \sum_{i=1}^N (\vec{K}_i \cdot \delta \vec{r}_i + \vec{Z}_i \cdot \delta \vec{r}_i) \stackrel{\text{axiom}}{=} \sum_i \vec{K}_i \cdot \delta \vec{r}_i$$

$$\Rightarrow \sum_{i=1}^N (\vec{K}_i - \dot{\vec{p}}_i) \cdot \delta \vec{r}_i = 0 \quad (\text{D'Alembert's principle})$$

advantage: no constraint forces

disadvantage:  $\delta \vec{r}_i$  depend on each other; introduce generalized coordinates (Lagrange formalism)

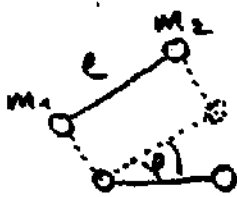
Examples (principle of virtual work)

1) particle on surface (also moving)



$$\vec{Z} \perp d\vec{r} \quad \delta W = \vec{Z} \cdot \delta \vec{r} = 0$$

2) Dumbbell



virtual displacements

$$\delta \vec{r}_2 = \delta \vec{r}_1 + \delta \vec{\psi} \times (\vec{r}_2 - \vec{r}_1)$$

(rotation around  $m_1$ )

$$\delta W = \sum_{i=1}^2 \vec{Z}_i \cdot \delta \vec{r}_i$$

$$= \vec{Z}_1 \cdot \delta \vec{r}_1 + \vec{Z}_2 \cdot (\delta \vec{r}_1 + \delta \vec{\psi} \times (\vec{r}_2 - \vec{r}_1))$$

$$= \delta \vec{r}_1 \cdot (\vec{Z}_1 + \vec{Z}_2) + \vec{Z}_2 \cdot \delta \vec{\psi} \times (\vec{r}_2 - \vec{r}_1)$$

$$\vec{Z}_1 = -\vec{Z}_2$$

$$= \delta \vec{\psi} \cdot \vec{Z}_2 \times (\vec{r}_2 - \vec{r}_1) = 0 \quad \vec{Z}_2 \parallel \vec{r}_2 - \vec{r}_1$$

Note: friction forces do not obey principle

$$\begin{aligned} \delta W &= -\vec{F}_{R,i} \cdot \delta \vec{r}_i \\ &= \mu |\vec{z}_i| \hat{v}_i \cdot \delta \vec{r}_i \end{aligned}$$

$$\vec{F}_R = -\mu |\vec{z}_i| \hat{v}_i$$

sliding friction with  
constraint force  $\vec{z}_i$

Next step: eliminate constraints, introduce  
generalized coordinates

$$\vec{r}_i = \vec{r}_i(q_1, \dots, q_s, t)$$

total differential

$$d\vec{r}_i = \sum_{j=1}^s \frac{\partial \vec{r}_i}{\partial q_j} dq_j + \frac{\partial \vec{r}_i}{\partial t} dt$$

calculate velocities (later reference)

$$\dot{\vec{r}}_i = \sum_{j=1}^s \frac{\partial \vec{r}_i}{\partial q_j} \frac{dq_j}{dt} + \frac{\partial \vec{r}_i}{\partial t} = \sum_{j=1}^s \frac{\partial \vec{r}_i}{\partial q_j} \dot{q}_j + \frac{\partial \vec{r}_i}{\partial t}$$

virtual displacement:  $\delta t = 0$

$$\delta \vec{r}_i = \sum_{j=1}^s \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j$$

$$\left. \begin{aligned} \frac{\partial \vec{r}_i}{\partial q_i} &= \sum_{j=1}^s \frac{\partial \vec{r}_i}{\partial q_j} \frac{\partial q_j}{\partial q_i} \\ \Rightarrow \frac{\partial \vec{r}_i}{\partial q_i} &= \frac{\partial \vec{r}_i}{\partial q_i} \end{aligned} \right\}$$

consider

$$\begin{aligned} -\delta W_k &= \sum_{i=1}^N \vec{K}_i \cdot \delta \vec{r}_i = \sum_{i=1}^N \sum_{j=1}^s \vec{K}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j \\ &= \sum_{j=1}^s Q_j \delta q_j \end{aligned}$$

with  $Q_j = \sum_{i=1}^N \vec{K}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j}$

generalized forces  
[ $Q_j$ ] not necessarily "force"



consider conservative system:

$$\vec{K}_i = -\vec{\nabla}_i V(\vec{r}_1, \dots, \vec{r}_N)$$

generalized forces

$$Q_j = -\sum_{i=1}^N \vec{\nabla}_i V \cdot \frac{\partial \vec{r}_i}{\partial q_j} \stackrel{\text{chain rule}}{=} -\frac{\partial V}{\partial q_j} \quad j = 1 \dots s$$

next: rewrite second term  $\vec{p}_i \cdot \delta \vec{r}_i$

$$\frac{d}{dt} \frac{\partial \vec{r}_i}{\partial q_j} = \sum_{l=1}^s \frac{\partial^2 \vec{r}_i}{\partial q_l \partial q_j} \dot{q}_l \stackrel{\frac{\partial \dot{q}_j}{\partial q_j} = 0}{=} \frac{\partial}{\partial q_j} \left( \sum_{l=1}^s \frac{\partial \vec{r}_i}{\partial q_l} \dot{q}_l + \frac{\partial \vec{r}_i}{\partial t} \right)$$

chain rule  $\nearrow$

$$\begin{aligned} \sum_i \vec{p}_i \cdot \delta \vec{r}_i &= \sum_{i=1}^N \sum_{j=1}^s m_i \dot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial q_j} \delta q_j \quad \frac{\partial \vec{r}_i}{\partial q_j} = \frac{\partial \dot{\vec{r}}_i}{\partial \dot{q}_j} \\ &= \sum_{i=1}^N \sum_{j=1}^s m_i \left[ \frac{d}{dt} \left( \dot{\vec{r}}_i \cdot \frac{\partial \vec{r}_i}{\partial \dot{q}_j} \right) - \dot{\vec{r}}_i \cdot \frac{d}{dt} \frac{\partial \vec{r}_i}{\partial \dot{q}_j} \right] \delta q_j \\ &= \sum_{i=1}^N \sum_{j=1}^s m_i \left( \frac{1}{2} \frac{d}{dt} \frac{\partial}{\partial \dot{q}_j} (\dot{\vec{r}}_i^2) - \frac{1}{2} \frac{\partial}{\partial \dot{q}_j} \dot{\vec{r}}_i^2 \right) \delta q_j \\ &= \sum_{i=1}^s \left( \frac{d}{dt} \frac{\partial T}{\partial \dot{q}_j} - \frac{\partial T}{\partial q_j} \right) \delta q_j \end{aligned}$$

Kinetic energy  
 $T = \frac{1}{2} \sum_{i=1}^N m_i \dot{\vec{r}}_i^2$

Insert in D'Alembert principle

$$\sum_{i=1}^s \underbrace{\left( \frac{d}{dt} \frac{\partial T}{\partial \dot{q}_j} - \frac{\partial T}{\partial q_j} \right)}_{\vec{p}_i \cdot \delta \vec{r}_i} \delta q_j = \sum_{i=1}^s \underbrace{(-Q_j)}_{-\vec{K}_i \cdot \delta \vec{r}_i} \delta q_j = 0$$

Special cases

1) systems with holonomic constraints (contained in  $q_j$ )

all coordinates independent,  $\delta q_j$  independent

→ set all  $\delta q_j$ , except one to zero

→ each term has to vanish

$$\left. \begin{aligned} \frac{d}{dt} \frac{\partial T}{\partial \dot{q}_j} - \frac{\partial T}{\partial q_j} = Q_j \quad j=1 \dots s \end{aligned} \right\} \begin{aligned} 0 &= \sum_i (\dot{p}_i - K_i) \cdot \delta r_i \\ \Rightarrow \dot{p}_i &= K_i \\ & \text{(no constraints)} \end{aligned}$$

2) conservative systems

Note  $\frac{\partial V}{\partial \dot{q}_j} = 0$ , and we rewrite

$$\sum_{j=1}^s \left[ \frac{d}{dt} \frac{\partial}{\partial \dot{q}_j} (T-V) - \frac{\partial}{\partial q_j} (T-V) \right] \delta q_j = 0$$

define  $L(\{q_i\}, \{\dot{q}_i\}, t) = T(\{q_i\}, \{\dot{q}_i\}, t) - V(\{q_i\}, t)$

Lagrange function

$$\sum_{j=1}^s \left[ \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_j} - \frac{\partial L}{\partial q_j} \right] \delta q_j = 0$$

1) + 2)  $\delta q_j$  independent

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_j} - \frac{\partial L}{\partial q_j} = 0 \quad j = 1 \dots s$$

(Lagrange equations of motion of 2nd kind)

S differential equations of 2nd order  
(to be shown)

→ general solution has 2S parameters,  
fixed for example by initial conditions

## Properties of the Lagrange function

$$1) \quad L = T - V \quad T = \frac{1}{2} \sum_i m_i \dot{\vec{r}}_i^2, \quad \dot{\vec{r}}_i = \sum_{j=1}^S \frac{\partial \vec{r}_i}{\partial q_j} \dot{q}_j + \frac{\partial \vec{r}_i}{\partial t}$$

$$T = \frac{1}{2} \sum_{j \neq l} \mu_{jl} \dot{q}_j \dot{q}_l + \sum_{j=1}^S \alpha_j \dot{q}_j + \alpha$$

$$\alpha = \frac{1}{2} \sum_{i=1}^N m_i \left( \frac{\partial \vec{r}_i}{\partial t} \right)^2$$

$$\alpha_j = \sum_{i=1}^N m_i \left( \frac{\partial \vec{r}_i}{\partial t} \right) \cdot \left( \frac{\partial \vec{r}_i}{\partial q_j} \right)$$

$$\mu_{jl} = \sum_{i=1}^N m_i \left( \frac{\partial \vec{r}_i}{\partial q_j} \right) \cdot \left( \frac{\partial \vec{r}_i}{\partial q_l} \right)$$

generalized masses

Note: scleronomic constraints  $\frac{\partial \vec{r}_i}{\partial t} = 0$ ,  $\alpha = 0$ ;  $\alpha_j = 0$

rewrite

$$L = T - V = L_2 + L_1 + L_0$$

$$L_2 = \frac{1}{2} \sum_{j \neq l} \mu_{jl} \dot{q}_j \dot{q}_l$$

$$L_1 = \sum_{j=1}^S \alpha_j \dot{q}_j$$

$L_n$  are homogeneous

functions of the generalized velocities of order  $n$

$$L_0 = \alpha - V(q_1, \dots, q_s, t)$$

Definition:  $f(x_1, \dots, x_n)$  is homogeneous of order  $n$  if it holds  $f(ax_1, \dots, ax_n) = a^n f(x_1, \dots, x_n)$

2) Lagrange equations are form invariant under point transformations

$$(q_1, \dots, q_s) \leftrightarrow (\bar{q}_1, \dots, \bar{q}_s)$$

choice of  $\{q_j\}$  arbitrary, only number fixed

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_j} - \frac{\partial L}{\partial q_j} = 0$$

holds for  $\{q_j\}$  and  $\{\bar{q}_j\}$

→ change of coordinate system (cartesian  $\leftrightarrow$  curvilinear, inertial  $\leftrightarrow$  non-inertial) : no extra terms (pseudo forces) compare:

Note: Newton's equations not form invariant

$$m \ddot{x} = -\frac{\partial V}{\partial x}$$

$$m \ddot{y} = -\frac{\partial V}{\partial y}$$

polar coordinates :

$$m(\ddot{r} - r\dot{\varphi}^2) = -\frac{\partial V}{\partial r}$$

$$m(r\ddot{\varphi} + 2\dot{\varphi}\dot{r}) = -\frac{\partial V}{\partial \varphi}$$

Applications of the Lagrange equations of 2nd kind

Procedure

- 1) Formulate constraints (holonomic)
- 2) fix  $S = 3N - p$  generalized coordinates and transformation
- 3) write down Lagrange function  $L = T(\vec{q}, \dot{\vec{q}}, t) - V(\vec{q}, t)$
- 4) derive Lagrange equations of motion

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_i} - \frac{\partial L}{\partial q_i} = 0$$

solve  $S$  differential equations

- 5) transform to particle coordinates  $\vec{r}_i = \vec{r}_i(\vec{q}(t), t)$  and discuss solutions

2.3.1.1.1.1.1.1

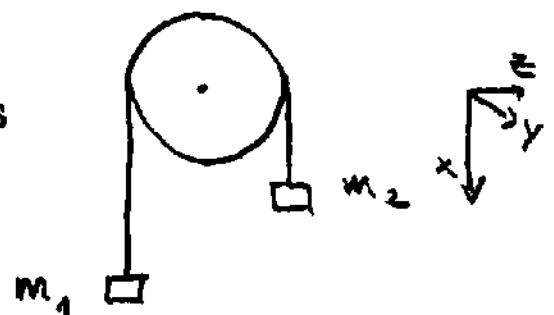
Atwood's free fall machine

- 1) holonomic-scleronomic constraints

$$y_1 = y_2 = z_1 = z_2 = 0$$

$$x_1 + x_2 = l = \text{const}$$

$$S = 6 - 5 = 1 \text{ degree of freedom}$$



2) choose: generalized coordinate

$$q = x_1 \quad \text{constraint gives } x_2 = l - q$$

3) kinetic energy  $\dot{x}_1 = \dot{q}$   
 $\dot{x}_2 = -\dot{q}$

$$T = \frac{1}{2} (m_1 \dot{x}_1^2 + m_2 \dot{x}_2^2) = \frac{1}{2} (m_1 + m_2) \dot{q}^2$$

potential energy

$$V = -m_1 g x_1 - m_2 g x_2 = -g [m_1 q + m_2 (l - q)]$$

$$= -g (m_1 - m_2) q - g m_2 l$$

4)  $L = T - V = \frac{1}{2} (m_1 + m_2) \dot{q}^2 + g (m_1 - m_2) q + \underbrace{g m_2 l}_{\text{can be omitted}}$

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$$

$$(m_1 + m_2) \ddot{q} - g (m_1 - m_2) = 0$$

$$\Rightarrow \ddot{q} = \frac{m_1 - m_2}{m_1 + m_2} g$$

solution  $q(t) = q_0 + \tilde{q}_0 t + \frac{1}{2} \frac{m_1 - m_2}{m_1 + m_2} g t^2$   
 (free fall with reduced  $m$ )

5) Transformation back:

$$x_1 = q(t), \quad x_2 = l - q(t)$$

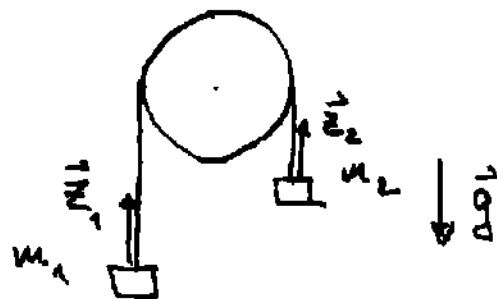
6) constraint forces by use of Newton's equations

$$m_1 \ddot{x}_1 = m_1 g + Z_1$$

$$m_2 \ddot{x}_2 = m_2 g + Z_2$$

subtracting equations

$$m_1 \ddot{x}_1 - m_2 \ddot{x}_2 = (m_1 - m_2) g + Z_1 - Z_2$$



$$\text{use } \ddot{x}_1 = \ddot{q}, \quad \ddot{x}_2 = -\ddot{q} \quad \ddot{q} = \frac{m_1 - m_2}{m_1 + m_2} g$$

$$(m_1 + m_2) \ddot{q} = (m_1 - m_2) g + z_1 - z_2$$

$$(m_1 + m_2) \frac{m_1 - m_2}{m_1 + m_2} g = (m_1 - m_2) g + z_1 - z_2$$

$$\Rightarrow 0 = z_1 - z_2, \quad z_1 = z_2$$

adding equations

$$m_1 \ddot{x}_1 + m_2 \ddot{x}_2 = (m_1 + m_2) g + 2z$$

$$(m_1 - m_2) \ddot{q} = (m_1 + m_2) g + 2z$$

$$z = \frac{1}{2} \left( (m_1 - m_2) \ddot{q} - (m_1 + m_2) g \right) = \frac{(m_1 - m_2)^2 - (m_1 + m_2)^2}{2(m_1 + m_2)} g$$

$$= -2 \frac{m_1 m_2}{m_1 + m_2} g$$

N particles in conservative force field (no constraints)

$$1) + 2) \text{ trivial} \quad q_1 = x_1, \quad q_2 = y_1, \quad q_3 = z_1$$

$$q_4 = x_2, \quad q_5 = y_2, \quad q_6 = z_2 \dots$$

$$3) L(\vec{q}, \dot{\vec{q}}, t) = T - V$$

$$= \frac{1}{2} \sum_{i=1}^N m_i (\dot{x}_i^2 + \dot{y}_i^2 + \dot{z}_i^2) - V$$

$$4) \frac{d}{dt} \frac{\partial L}{\partial \dot{x}_i} - \frac{\partial L}{\partial x_i} = 0$$

$$\frac{d}{dt} (m_i \dot{x}_i) + \frac{\partial V}{\partial x_i} = 0 \quad \Rightarrow \quad m_i \ddot{x}_i = - \frac{\partial V}{\partial x_i}$$

(Newton's equation)

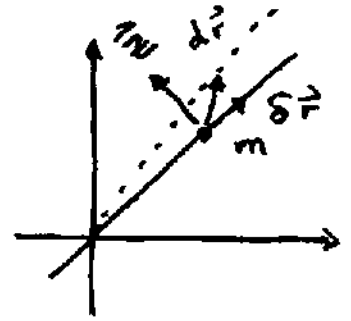
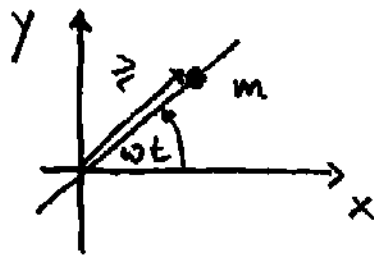
compare: D'Alembert

$$\sum_{i=1}^N (\vec{K}_i - \dot{\vec{p}}_i) \delta \vec{r}_i = 0 \quad (\text{all } \delta \vec{r}_i^k \text{ independent})$$

$$\Rightarrow \vec{K}_i - \dot{\vec{p}}_i = 0$$

$$\vec{K}_i = m_i \ddot{\vec{r}}_i$$

Gliding bead on rotating wire



1) 2 constraints

$z = 0$  holonomic scleronomic

$y = x \tan(\omega t)$  holonomic rheonomic

$$dW_z = -\vec{z} \cdot d\vec{r} < 0$$

$$\delta W_z = -\vec{z} \cdot \delta\vec{r} = 0$$

2) generalized coordinate:  $S = 3 - 2 = 1$

$r = q$

transformation:

$x = q \cos(\omega t)$   $z = 0$

$y = q \sin(\omega t)$

3) Lagrange function  $L(q, \dot{q}, t) = T - V$

$T = \frac{m}{2} (\dot{x}^2 + \dot{y}^2) = \frac{m}{2} (\dot{q}^2 + q^2 \omega^2)$   $V = 0$

$L = \frac{m}{2} (\dot{q}^2 + q^2 \omega^2) = L_2 + L_0$

4) Lagrange equation

$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$

$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} = m \ddot{q}$

$\ddot{q} - \omega^2 q = 0$

$\frac{\partial L}{\partial q} = m q \omega^2$

general solution

$q(t) = A e^{\omega t} + B e^{-\omega t}$

$\dot{q}(t) = (A e^{\omega t} - B e^{-\omega t}) \omega$

initial conditions:

$q(0) = r_0 > 0$

$r_0 = A + B$

$\dot{q}(0) = 0$

$0 = A - B$

$$q(t) = \frac{r_0}{2} (e^{\omega t} + e^{-\omega t}) = r_0 \cosh(\omega t)$$

$$\dot{q}(t) = \omega r_0 \sinh(\omega t)$$

5) Transformation back, discussion

$$x = r_0 \cosh(\omega t) \cos(\omega t)$$

$$y = r_0 \cosh(\omega t) \sin(\omega t) \quad z = 0$$

$$\ddot{q} = \omega^2 r_0 \cos(\omega t) > 0 \quad (\text{increasing acceleration})$$

$$E = T = \frac{1}{2} m (\dot{q}^2 + \omega^2 q^2) = \frac{1}{2} m \omega^2 r_0^2 [1 + 2 \sinh^2(\omega t)]$$

(increasing energy)

Generalized momentum and cyclic coordinates

Definition: generalized momentum

$$p_i = \frac{\partial L}{\partial \dot{q}_i}$$

$$\dot{p}_i = \frac{\partial L}{\partial q_i} \quad (\text{Lagrange equ. 2nd kind})$$

Definition: cyclic coordinate

$$\frac{\partial L}{\partial q_i} = 0$$

$$\Rightarrow \dot{p}_i = 0, \quad p_i = \text{const}$$

(conservation law)

→ choose  $q_i$  such that maximal number cyclic

Example 1) free particle  $V = 0$

$$L = T - V = T = \frac{1}{2} m (\dot{x}^2 + \dot{y}^2 + \dot{z}^2) = \frac{1}{2} m \sum_{i=1}^3 \dot{q}_i^2$$

$$p_i = \frac{\partial L}{\partial \dot{q}_i} = m \dot{q}_i$$

$$\dot{p}_i = 0$$

$$p_i = m \dot{q}_i = \text{const.}$$

(momentum conservation)



Example 2: Kepler problem

1) no constraints

2) suitable coordinates: spherical coordinates

$$(q_1, q_2, q_3) = (r, \vartheta, \varphi)$$

$$x = r \sin \vartheta \cos \varphi$$

$$y = r \sin \vartheta \sin \varphi$$

$$z = r \cos \vartheta$$

3) Lagrange function

$$L = T - V$$

$$= \frac{1}{2} m (\dot{r}^2 + r^2 \dot{\vartheta}^2 + r^2 \sin^2 \vartheta \dot{\varphi}^2) + \frac{\gamma m M}{r}$$

4) Lagrange equations

$$\frac{\partial L}{\partial \varphi} = 0 \quad \text{cyclic coordinate}$$

$$p_\varphi = \frac{\partial L}{\partial \dot{\varphi}} = m r^2 \sin^2 \vartheta \dot{\varphi} = \text{const}$$

recall z-component of angular momentum

$$L_z = m r^2 \sin^2 \vartheta \dot{\varphi}$$

Note: direction of z axis arbitrary, choose

$$\hat{e}_z \parallel \vec{L}, \quad \vec{L} = \vec{r} \times \vec{p} = \text{const}$$

(motion in x-y plane,  $\vec{r} \times \vec{L} = 0$ )

In this case  $\vartheta = \frac{\pi}{2}$ ,  $\dot{\vartheta} = 0$

Lagrange function simplifies:

$$L = \frac{m}{2} (\dot{r}^2 + r^2 \dot{\varphi}^2) + \frac{\gamma m M}{r}$$

remaining equation

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{r}} - \frac{\partial L}{\partial r} = 0$$

$$m \ddot{r} - m r \dot{\varphi}^2 + \frac{\gamma m M}{r^2} = 0$$

(identical to result from Newton)

Non-holonomic systems (or: no use of holonomic constraints)

→ constraints in differential form

Method: Lagrange equation of 1st kind,  
Lagrange multiplier

1) constraints: totally  $p'$   
 $p$  constraints in differential form

$$\sum_{m=1}^{3N} f_{im}(\vec{r}_1, \dots, \vec{r}_N, t) dx_m + f_{it}(\vec{r}_1, \dots, \vec{r}_N, t) dt = 0 \quad i = 1, \dots, p$$

$p' - p$  holonomic constraints

$$f_v(\vec{r}_1, \dots, \vec{r}_N, t) = 0 \quad v = p+1, \dots, p'$$

2) a) introduce  $j = 3N - (p' - p)$  generalized coordinates

$$\vec{r}_i = \vec{r}_i(q_1, \dots, q_j, t) \quad i = 1 \dots N$$

$(q_1, \dots, q_j)$  not all indep.

b) rewrite  $p$  constraints

$$\sum_{m=1}^j a_{im}(q_1, \dots, q_j, t) dq_m + b_{it}(q_1, \dots, q_j, t) dt = 0 \quad i = 1, \dots, p$$

3) rewrite constraints for virtual displacements

$$\sum_{m=1}^j a_{im}(q_1, \dots, q_j, t) \delta q_m = 0 \quad i = 1, \dots, p$$

4) introduce Lagrange multiplier  $\lambda_i(t)$  ← fixed later

$$\lambda_i(t) \sum_{m=1}^j a_{im}(q_1, \dots, q_j, t) \delta q_m = 0$$

sum equations:

$$\sum_{i=1}^p \lambda_i \sum_{m=1}^j a_{im}(q_1, \dots, q_j, t) \delta q_m = 0$$

5) D'Alembert's principle conservative systems

$$\sum_{m=1}^j \left( \frac{\partial L}{\partial q_m} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_m} \right) \delta q_m = 0 \quad L = T - V$$

↓ add eqns!

$$\sum_{m=1}^j \left( \frac{\partial L}{\partial q_m} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_m} + \sum_{i=1}^p \lambda_i a_{im} \right) \delta q_m = 0$$

6) Now we have

$q_1, \dots, q_{j-p}$  independent coordinates :  $j-p$

$q_{j-p+1}, \dots, q_j$  dependent coordinates :  $p$

fix  $\lambda_i$  such that the  $p$  equations hold:

$$\frac{\partial L}{\partial q_m} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_m} + \sum_{i=1}^p \lambda_i a_{im} = 0 \quad m = j-p+1, \dots, j$$

$\delta q_m$  independent for  $m = 1 \dots j-p$

$$\sum_{m=1}^{j-p} \left( \frac{\partial L}{\partial q_m} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_m} + \sum_{i=1}^p \lambda_i a_{im} \right) \delta q_m = 0$$

For all  $m = 1 \dots j$  holds

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_m} - \frac{\partial L}{\partial q_m} = \sum_{i=1}^p \lambda_i a_{im} \quad \left. \begin{array}{l} j+p \text{ eqns.} \\ \text{for} \\ j+p \text{ unknowns} \\ j \text{ coordinates } q_m \\ p \text{ multipliers } \lambda_i \end{array} \right\}$$

rewrite differential constraints

$$\sum_{m=1}^j a_{im} \dot{q}_m + b_i(t) = 0$$

(constraints for generalized velocities)

Interpretation of  $\lambda_i$  :  $L = T - V$

$$\frac{d}{dt} \frac{\partial T}{\partial \dot{q}_j} - \frac{\partial T}{\partial q_j} - \underbrace{\left( \frac{d}{dt} \frac{\partial V}{\partial \dot{q}_j} - \frac{\partial V}{\partial q_j} \right)}_{Q_j \text{ generalized forces}} = \sum_{i=1}^p \lambda_i a_{ij}$$

$$\Rightarrow \frac{d}{dt} \frac{\partial T}{\partial \dot{q}_j} - \frac{\partial T}{\partial q_j} = Q_j + \underbrace{\sum_{i=1}^p \lambda_i a_{ij}}_{\text{generalized constraint forces } \mathcal{F}_j}$$

Example : Atwood's free fall machine

1) constraints : totally  $\mathcal{S} = p'$

1 constraint in differential form

$$dx_1 + dx_2 = 0$$

4 holonomic constraints

$$y_1 = y_2 = z_1 = z_2 = 0$$

2)  $j = 6 - 4 = 2$  generalized coordinates

$$q_1 = x_1, \quad q_2 = x_2$$

rewrite constraints

$$dq_1 + dq_2 = 0$$

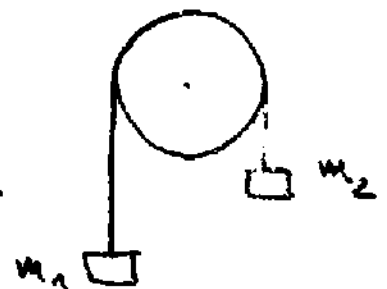
$$a_{11} = 1, \quad a_{12} = 1, \quad b_{11} = 0$$

4) introduce Lagrange multiplier  $\lambda_1$

$$\mathcal{F}_1 = \lambda_1 a_{11} = \lambda_1$$

$$\mathcal{F}_2 = \lambda_1 a_{12} = \lambda_1$$

} thread forces  
on  $m_1$  and  $m_2$



5) Lagrange function <sup>-141-</sup>

$$L = T - V = \frac{1}{2} (m_1 \dot{q}_1^2 + m_2 \dot{q}_2^2) + g (m_1 q_1 + m_2 q_2)$$

6) Lagrange equations + constraints for velocities

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}_m} - \frac{\partial L}{\partial q_m} = \lambda_1 \quad m = 1, 2$$

$$m_m \ddot{q}_m - m_m g = \lambda_1$$

$$dq_1 + dq_2 = 0 \quad \Rightarrow \quad \dot{q}_1 + \dot{q}_2 = 0$$

Solve 2+1 equations : use  $\ddot{q}_1 + \ddot{q}_2 = 0$  in

$$m_1 \ddot{q}_1 - m_1 g = m_2 \ddot{q}_2 - m_2 g$$

$$\ddot{q}_1 = \frac{m_1 - m_2}{m_1 + m_2} g \quad \ddot{q}_2 = - \frac{m_1 - m_2}{m_1 + m_2} g$$

$$\lambda_1 = -2g \frac{m_1 m_2}{m_1 + m_2} = \mathcal{T}_1 = \mathcal{T}_2 \quad (\text{thread tension})$$

