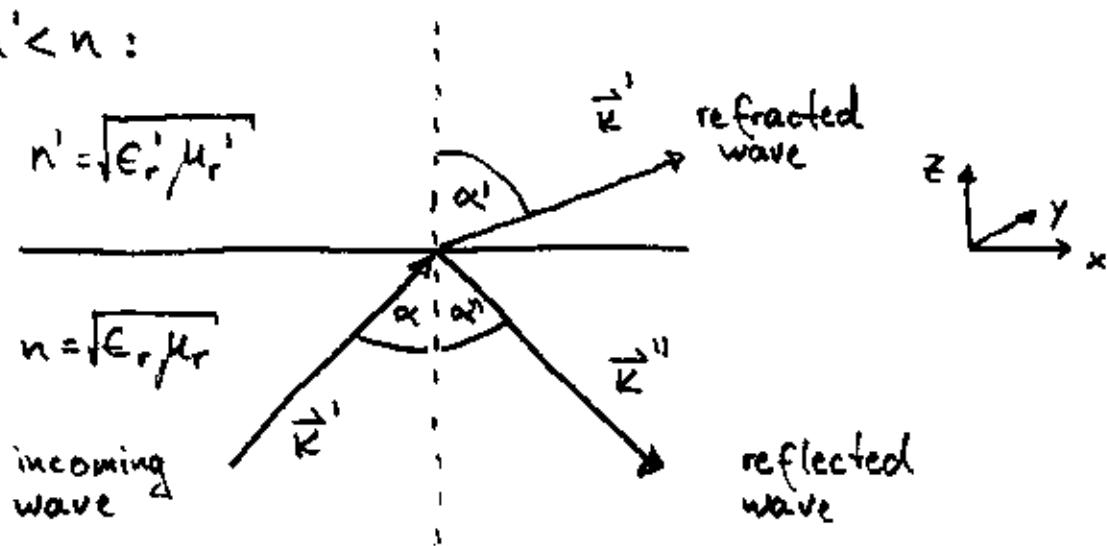


Plane wave : Reflection and refraction  
at insulating interfaces

drawing:  $n' < n$ :



$\alpha$ : incoming angle

$\alpha'$ : refracted angle

$\alpha''$ : reflected angle

kinematics (usually discussed in school already):  
define direction of  $\vec{k}'$  and  $\vec{k}''$

a) reflection law:  $\alpha = \alpha''$

b) refraction law (Snell's law)

$$\frac{\sin \alpha}{\sin \alpha'} = \frac{n'}{n} = n_r$$

(can be derived from Maxwell's equations)

However: EM waves are not only characterized by  $\vec{k}$ , but also by intensity,  
phase, polarization

→ here: derive dynamic properties from M. E.

Note: frequency fixed externally, thus we have

$$|\vec{K}| = |\vec{K}''| = \frac{\omega}{v} \quad v = \frac{c}{n}$$

$$|\vec{K}'| = k' = \frac{\omega}{v}, \quad v' = \frac{c}{n'}$$

Reminder: plane waves fulfill

incoming wave  $\vec{E} = \vec{E}_0 e^{i(\vec{K} \cdot \vec{r} - \omega t)}$

refracted wave  $\vec{E}' = \vec{E}'_0 e^{i(\vec{K}' \cdot \vec{r} - \omega t)}$

reflected wave  $\vec{E}'' = \vec{E}''_0 e^{i(\vec{K}'' \cdot \vec{r} - \omega t)}$

$$\begin{aligned}\vec{E}_0 \cdot \vec{K} &= 0 \\ \vec{B}_0 \cdot \vec{K} &= \frac{1}{\mu} \vec{K} \times \vec{E}_0 \\ \vec{E}'_0 \cdot \vec{K}' &= 0 \\ \vec{B}'_0 \cdot \vec{K}' &= \frac{1}{\mu'} \vec{K}' \times \vec{E}'_0 \\ \vec{E}''_0 \cdot \vec{K}'' &= 0 \\ \vec{B}''_0 &= \frac{1}{\mu''} \vec{K}'' \times \vec{E}''_0\end{aligned}$$

wanted:  $\vec{E}'_0, \vec{K}', \vec{E}''_0, \vec{K}''$  as function of  $\vec{E}_0, \vec{K}$

Use: Boundary conditions for the electromagnetic fields at interfaces

TP 2: derivation for static fields

tools: small volumes / surfaces across interface  
+ Gauß theorem / Stokes theorem

derivation for perpendicular components  
still valid:

$$\left. \begin{aligned}\vec{\nabla} \cdot \vec{D} &= \rho \\ \vec{\nabla} \cdot \vec{B} &= 0\end{aligned}\right\} \begin{array}{l} \text{no time} \\ \text{dependence!} \end{array}$$



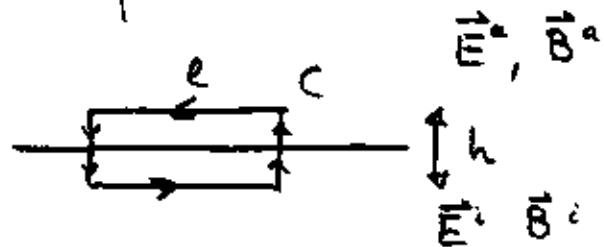
$$D_L^a - D_L^i = \sigma = 0 \quad (\text{no surface charges})$$

$$B_L^a - B_L^i = 0$$

components for parallel components

$$\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0$$

$$\vec{\nabla} \times \vec{H} - \frac{\partial \vec{B}}{\partial t} = \vec{J}$$



$$\int (\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t}) \cdot d\vec{a} = 0$$

$$\oint \vec{E} \cdot d\vec{r} + \int \frac{\partial \vec{B}}{\partial t} \cdot d\vec{a} = 0$$

$$E_u \cdot l - E_u' \cdot l = 0$$

$$\Rightarrow \vec{E}_u^a = \vec{E}_u^i$$

similar for:  $\vec{\nabla} \times \vec{H} - \frac{\partial \vec{B}}{\partial t} = \vec{J} \Rightarrow$

$$\Rightarrow H_{ii}^a - H_{ii}^i = J_z = 0 \quad (\text{no surface current})$$

Boundary conditions at  $z=0$  have to be fulfilled at all times  $t$ , thus we have:

$$e^{i(\vec{k} \cdot \vec{r} - \omega t)} = e^{i(\vec{k}' \cdot \vec{r} - \omega t)} = e^{i(\vec{k}'' \cdot \vec{r} - \omega t)}$$

$$\Rightarrow \vec{k} \cdot \vec{r} \Big|_{z=0} = \vec{k}' \cdot \vec{r} \Big|_{z=0} = \vec{k}'' \cdot \vec{r} \Big|_{z=0}$$

or explicitly  $k_x = k'_x = k''_x \quad \text{for } \vec{r} = \hat{e}_x$   
 $k_y = k'_y = k''_y \quad \text{for } \vec{r} = \hat{e}_y$

( $\vec{k}, \vec{k}', \vec{k}''$  are linear dependent, lie in one plane)

choice of coordinate system :  $k_y = k'_y = k''_y = 0$   
 read off from geometry

$$k \sin \alpha = k' \sin \alpha' = k'' \sin \alpha''$$

$$\text{we have additionally: } k'' = k , \quad \frac{k'}{k} = \frac{n'}{n}$$

$$\text{and get } \sin \alpha = \sin \alpha'' \Rightarrow \alpha = \alpha'' \text{ (reflection law)}$$

$$\frac{\sin \alpha}{\sin \alpha'} = \frac{k'}{k} = \frac{n'}{n} \quad \text{(refraction law)}$$

write down wavevectors explicitly :

$$\vec{k} = k \begin{pmatrix} \sin \alpha \\ 0 \\ \cos \alpha \end{pmatrix} \quad \vec{k}' = k' \begin{pmatrix} \sin \alpha' \\ 0 \\ \cos \alpha' \end{pmatrix} = k \begin{pmatrix} \sin \alpha \\ 0 \\ \sqrt{n_r^2 - \sin^2 \alpha} \end{pmatrix}$$

$$k' = n_r k$$

$$n_r \sin \alpha' = \sin \alpha$$

$$\vec{k}'' = k \begin{pmatrix} \sin \alpha \\ 0 \\ -k \cos \alpha \end{pmatrix}$$

use boundary conditions

$$\text{note: } \vec{D}^a = \vec{D} + \vec{D}'' , \quad \vec{D}^i = \vec{D}' \quad (\dots)$$

$$D_{\perp}^a = D_{\perp}^i \Rightarrow \epsilon_r (\vec{E}_0 + \vec{E}_0'') \cdot \vec{e}_z = \epsilon'_r \vec{E}_0' \cdot \vec{e}_z \quad (1)$$

$$B_{\perp}^a = B_{\perp}^i \Rightarrow (\vec{k} \times \vec{E}_0 + \vec{k} \times \vec{E}_0'') \cdot \vec{e}_z = (\vec{k}' \times \vec{E}_0') \cdot \vec{e}_z \quad (2)$$

$$\vec{E}_1^a = E_1^i \Rightarrow (\vec{E}_0 + \vec{E}_0'') \times \vec{e}_z = \vec{E}_0' \times \vec{e}_z \quad (3)$$

$$\vec{H}_u^a = \vec{H}_u^i \Rightarrow \frac{1}{\mu_r} (\vec{k} \times \vec{E}_0 + \vec{k}'' \times \vec{E}_0'') \times \vec{e}_z = \frac{1}{\mu_r} (\vec{k}' \times \vec{E}_0') \times \vec{e}_z \quad (4)$$

Without loss of generality : Why?!  
 Consider linear polarized plane wave only.

Two cases:

1)  $\vec{E}_o$  is perpendicular to plane defined by  $\vec{k}$  and  $\vec{e}_z$  (plane of incidence).

$$\vec{E}_o = \begin{pmatrix} 0 \\ E_o \\ 0 \end{pmatrix} \quad \text{also } \vec{E}' \text{ and } \vec{E}'' \text{ are of that form:}$$

$$\vec{E}' = E'_o \vec{e}_y, \quad \vec{E}'' = E''_o \vec{e}_y$$

the conditions then read:

$$(3) : E_o + E''_o = E'_o$$

$$(4) : \frac{1}{\mu_r} K(E_o \cos \alpha - E''_o \cos \alpha) \vec{e}_y = \frac{1}{\mu_r} n_r K E'_o \cos \alpha \vec{e}_y$$

$$\Rightarrow \frac{1}{\mu_r} (E_o - E''_o) \cos \alpha = \frac{1}{\mu_r} n_r E'_o \cos \alpha$$

(1), (2) : trivially fulfilled

use  $n_r \cos \alpha' = \sqrt{n_r^2 - \sin^2 \alpha}$  and substitute  
 $E'_o = E_o + E''_o$  to get:

$$\frac{E''_o}{E_o} = \frac{\cos \alpha - \frac{\mu_r}{\mu_r} \sqrt{n_r^2 - \sin^2 \alpha}}{\cos \alpha + \frac{\mu_r}{\mu_r} \sqrt{n_r^2 - \sin^2 \alpha}}$$

(amplitude of reflected  
wave for polarization  
perpendicular to plane of incidence)

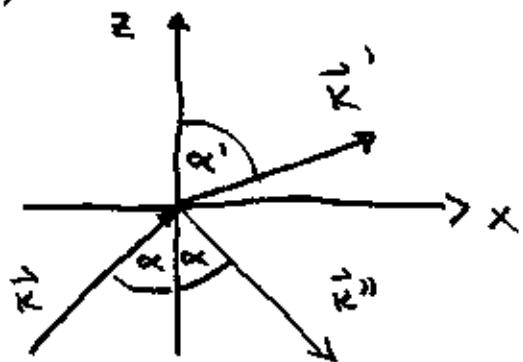
use:

$$\frac{E'_o}{E_o} = 1 + \frac{E''_o}{E_o}$$

$$= \frac{2 \cos \alpha}{\cos \alpha + \frac{\mu_r}{\mu_r} \sqrt{n_r^2 - \sin^2 \alpha}}$$

(amplitude of  
refracted wave)

2)  $\vec{E}_0$  lies in plane of incidence:



$$\vec{E}_0 = \begin{pmatrix} -E_0 \cos \alpha \\ 0 \\ E_0 \sin \alpha \end{pmatrix}$$

$$\vec{E}'_0 = \begin{pmatrix} -E'_0 \cos \alpha' \\ 0 \\ E'_0 \sin \alpha' \end{pmatrix}$$

$$\vec{E}''_0 = \begin{pmatrix} E''_0 \cos \alpha \\ 0 \\ E''_0 \sin \alpha \end{pmatrix}$$

explicit calculation yields

$$(3) \quad \cos \alpha (E_0 - E''_0) = \cos \alpha' E'_0$$

$$(4) \quad \frac{1}{\mu_r} (E_0 + E''_0) = \frac{1}{\mu'_r} n_r E'_0$$

after canceling  
common prefactors  
and using  $\sin^2 \alpha + \cos^2 \alpha = 1$

solving the linear system of equations  
yields:

$$\frac{E''_0}{E_0} = \frac{\frac{\mu_r}{\mu'_r} n_r \cos \alpha - \sqrt{1 - n_r^2 \sin^2 \alpha}}{\frac{\mu_r}{\mu'_r} n_r \cos \alpha + \sqrt{1 - n_r^2 \sin^2 \alpha}}$$

(reflected  
wave, polarized  
in plane  
of incidence)

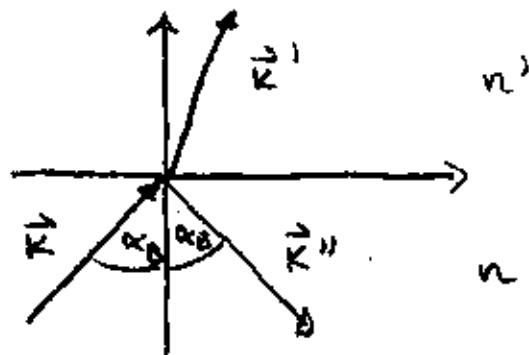
$$\begin{aligned} \frac{E'_0}{E_0} &= \frac{\mu'_r}{n_r \mu_r} \left( 1 + \frac{E''_0}{E_0} \right) \\ &= \frac{2 \cos \alpha}{\frac{\mu_r}{\mu'_r} n_r \cos \alpha + \sqrt{1 - n_r^2 \sin^2 \alpha}} \end{aligned}$$

(amplitude of  
refracted wave)

(Fresnel formulas)

## Discussion

- 1) consider interface from optically light to optically dense medium



special angle  $\alpha_B$ , when reflected wave has no polarization in the plane of incidence

Fresnel formula:

$$\frac{E_0''}{E_0} = \frac{\frac{\mu_r}{\mu_i} n_r \cos \alpha_B - \sqrt{1 - n_r^2 \sin^2 \alpha_B}}{\frac{\mu_r}{\mu_i} n_r \cos \alpha_B + \sqrt{1 - n_r^2 \sin^2 \alpha_B}} = 0$$

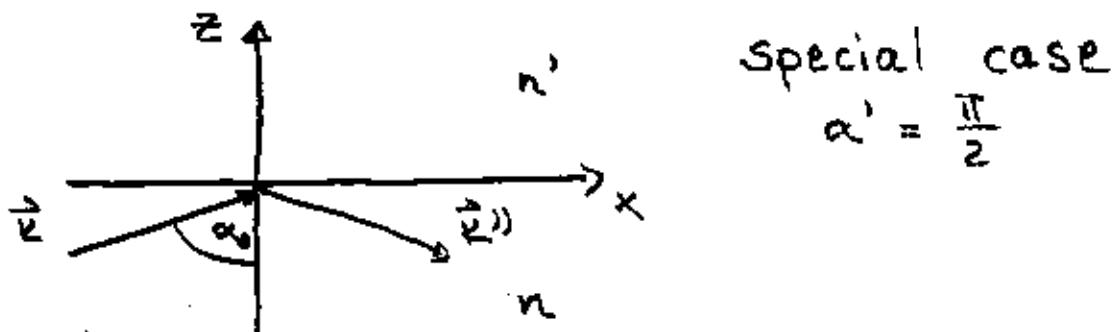
assume  $\mu_r' = \mu_r$  (usually media are not susceptible to magnetic fields)

$$n_r \cos \alpha_B = \sqrt{1 - n_r^2 \sin^2 \alpha_B}$$

equation fulfilled if

$$\tan \alpha_B = n_r = \frac{n'}{n} \quad (\text{Brewster angle})$$

2) interface from optically denser medium to lighter medium:  $n' < n$



special case

$$\alpha' = \frac{\pi}{2}$$

Snell's law:

$$\frac{\sin \alpha}{\sin \alpha'} = n_r = \frac{n'}{n} \quad \sin \alpha' = 1$$

$$\Rightarrow \sin \alpha_0 = n_r \quad (\text{critical angle})$$

for  $\alpha > \alpha_0$  no "refracted wave" (total reflection)

What happens to refracted wave?

our ansatz was:  $\vec{E}' = \vec{E}_0' e^{i(\vec{k}' \cdot \vec{r} - \omega t)}$

consider spatial dependence:

$$e^{i \vec{k}' \cdot \vec{r}} = e^{i k' (\sin \alpha' x + \cos \alpha' z)} \quad \vec{k}' = k \begin{pmatrix} \sin \alpha' \\ 0 \\ \cos \alpha' \end{pmatrix}$$

$$\sin \alpha' = \frac{1}{n_r} \sin \alpha = \frac{\sin \alpha}{\sin \alpha_0} \quad (\text{Snell's law + critical angle})$$

$$\cos \alpha' = \sqrt{1 - \sin^2 \alpha'} = \sqrt{1 - \frac{\sin^2 \alpha}{\sin^2 \alpha_0}}$$

$$= i \sqrt{\left(\frac{\sin \alpha}{\sin \alpha_0}\right)^2 - 1} \quad (\text{imaginary})$$

$$e^{i \vec{k}' \cdot \vec{r}} = e^{-k' \sqrt{\left(\frac{\sin \alpha}{\sin \alpha_0}\right)^2 - 1} z} e^{i k' \frac{\sin \alpha}{\sin \alpha_0} x}$$

propagation along x, exponential decay in z direction  
 (no energy flow into medium with  $n'$ ,  $\left| \frac{E_0'}{E_0} \right| = 1$ )  
 evaluate Fresnel formula