

## Electromagnetic waves in metals

Consider: isotropic metal (conductor)

material equations

$$\vec{D} = \epsilon_0 \epsilon_r \vec{E}$$

$$\vec{H} = \frac{1}{\mu_0 \mu_r} \vec{B}$$

Ohm's law  $\vec{j}_{\text{free}} = \sigma \vec{E}$  ( $\sigma$ : conductivity)

$\rho = 0$  (no charges)

Maxwell's equations

$$\vec{\nabla} \cdot \vec{D} = \rho$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \times \vec{H} - \frac{\partial \vec{D}}{\partial t} = \vec{j}$$

$$\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0$$

take curl of Faraday's law

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) + \underbrace{\vec{\nabla} \times \frac{\partial \vec{B}}{\partial t}} = 0$$

$$\mu_0 \mu_r \frac{\partial}{\partial t} (\vec{\nabla} \times \vec{H})$$

$$\vec{j}_{\text{free}} + \frac{\partial \vec{D}}{\partial t} = \sigma \vec{E} + \epsilon_0 \epsilon_r \frac{\partial \vec{E}}{\partial t}$$

$$\Rightarrow \underbrace{\vec{\nabla} (\vec{\nabla} \cdot \vec{E})}_0 - \Delta \vec{E} + \mu_0 \mu_r \frac{\partial}{\partial t} (\sigma \vec{E} + \epsilon_0 \epsilon_r \frac{\partial \vec{E}}{\partial t}) = 0$$

$$\Delta \vec{E} - \epsilon_0 \epsilon_r \mu_0 \mu_r \frac{\partial^2 \vec{E}}{\partial t^2} - \mu_0 \mu_r \sigma \frac{\partial \vec{E}}{\partial t} = 0$$

(Telegrapher's equation)

similar from Ampère's law

$$\Delta \vec{B} - \epsilon_0 \epsilon_r \mu_0 \mu_r \frac{\partial^2 \vec{B}}{\partial t^2} - \mu_0 \mu_r \sigma \frac{\partial \vec{B}}{\partial t} = 0$$

Ansatz:

$$\vec{E}(\vec{r}, t) = \vec{E}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

$$\vec{B}(\vec{r}, t) = \vec{B}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

insert in Telegrapher's equation

$$(-\vec{k}^2 + \epsilon_0 \epsilon_r \mu_0 \mu_r \omega^2 + i \omega \mu_0 \mu_r \sigma) \vec{E}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)} = 0$$

$$\vec{k}^2 = \epsilon \mu \omega^2 + i \omega \mu \sigma \quad \vec{k}^2 = \epsilon \mu \omega^2 = \frac{\omega^2}{u^2} \quad (\text{Wave vector of E.M. wave in insulator})$$

$$= \vec{k}^2 \left(1 + \frac{i\sigma}{\epsilon \omega}\right)$$

$\vec{k}$  is a complex vector and can be parametrized as

$$\vec{k} = \hat{k} k \quad \hat{k} = \frac{\vec{k}}{k} \quad (\text{direction})$$

$$k = k \sqrt{1 + \frac{i\sigma}{\epsilon \omega}} = k \sqrt{1 + i \frac{2}{(k \delta)^2}} = \sqrt{k^2 + \frac{2i}{\delta^2}} \quad (\text{magnitude})$$

$$= \alpha + i\beta \quad (\text{real and imaginary part}) \quad \delta = \sqrt{\frac{2}{\mu \sigma \omega}} \quad \text{length scale: "skin depth"}$$

$$\alpha = k \sqrt{\frac{\sqrt{1 + \frac{\sigma^2}{\epsilon^2 \omega^2}} + 1}{2}} \quad \beta = k \sqrt{\frac{\sqrt{1 + \frac{\sigma^2}{\epsilon^2 \omega^2}} - 1}{2}}$$

rewrite Ansatz:

$$\vec{E}(t, \vec{r}) = \vec{E}_0 e^{-\beta \hat{k} \cdot \vec{r}} e^{i(\alpha \hat{k} \cdot \vec{r} - \omega t)}$$

Maxwell's equations yield:

$$\vec{E}_0 \cdot \hat{k} = 0$$

$$\vec{B}(t, \vec{r}) = \frac{\vec{k}}{\omega} \times \vec{E}(t, \vec{r}) = k \frac{\hat{k}}{\omega} \times \vec{E}(t, \vec{r})$$

observations

- 1) finite conductivity yields exponential decay of the wave :  $e^{-\beta \hat{k} \cdot \vec{r}}$
- 2) fields are not in phase  
 $k$ : complex

Special case: "good" conductor:  $\vec{j} = \sigma \vec{E}$

large  $\sigma$  such that  $\frac{\sigma}{\epsilon\omega} \gg 1$

$$\frac{\sigma}{\epsilon\omega} = \frac{\sigma\omega\mu}{\epsilon\mu\omega^2} = \frac{2}{(k\delta)^2}$$

$$\delta = \sqrt{\frac{2}{\mu\sigma\omega}}$$

$$k = \sqrt{\epsilon\mu}\omega$$

other interpretation:

$$k\delta = \sqrt{\epsilon\mu}\omega\delta \ll 1 \quad (\text{good conductor})$$

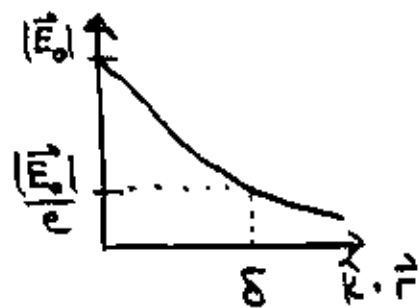
we calculate  $b = \alpha + i\beta = (1+i) \frac{1}{\delta}$

$$\alpha \approx k \sqrt{\frac{\sigma}{2\epsilon\omega}} = \frac{1}{\delta}$$

$$\beta \approx k \sqrt{\frac{\sigma}{2\epsilon\omega}} = \frac{1}{\delta}$$

exponential decay:  $e^{-\frac{k \cdot r}{\delta}}$

(skin effect)



field energies

$$\omega = \omega_e + \omega_m = \frac{1}{2} (\vec{E} \cdot \vec{D} + \vec{B} \cdot \vec{H})$$

$$\omega_e = \frac{1}{2} \epsilon |\vec{E}|^2 \quad (\text{electric contribution})$$

$$\omega_m = \frac{1}{2\mu} |\vec{B}|^2 \quad (\text{magnetic contribution})$$

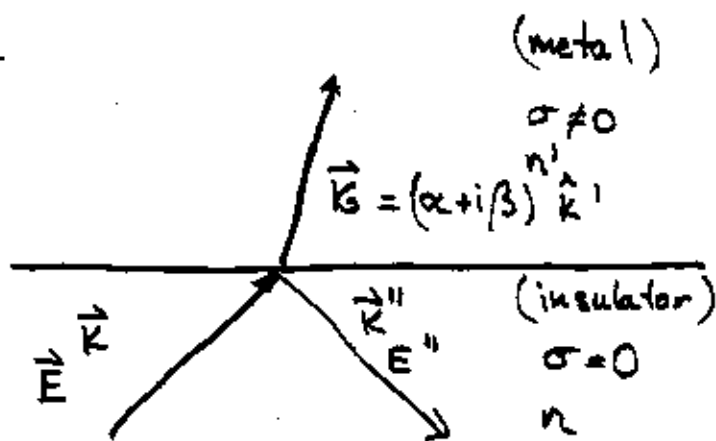
$$\vec{B} = \frac{\vec{\nabla} \times \vec{E}}{\omega} \rightarrow \omega_m = \frac{1}{2\mu} \epsilon\mu \frac{k^2}{k^2} |\vec{E}|^2 = \frac{k^2}{k^2} \omega_e$$

$$\approx \frac{2}{k^2 \delta^2} = \left| \frac{\sigma}{\epsilon\omega} \right| \omega_e \gg \omega_e$$

good conductor

good conductor

## metallic reflection



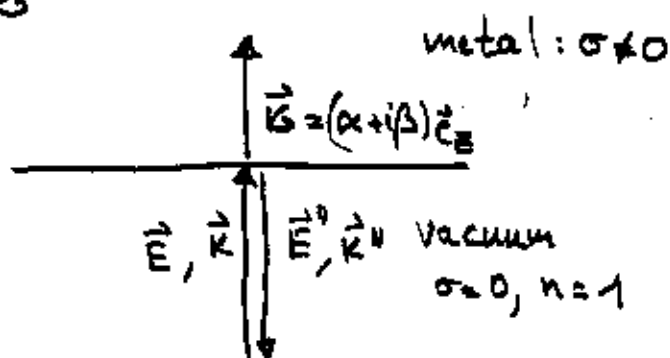
similar approach  
as for interfaces  
between insulators

→ more complicated

due to complex wave vector  $\vec{k}$

here: only special case

- 1) linear polarized wave (polarization in plane)
- 2) non-magnetic, good conductor  $\mu = \mu_0, \frac{\sigma}{\epsilon\omega} \gg 1$



Then, we obtain for the ratio of reflected to incoming intensity

$$R = \frac{|\vec{E}''|^2}{|\vec{E}|^2} \approx 1 - 2 \sqrt{\frac{2 \epsilon_0 \omega}{\sigma}} = 1 - 2 \frac{\omega}{c} \delta + O(\delta^2)$$

$R \approx 1$  : good metals shine

Note: The Fresnel formula  $\mu_r = \mu_r'$

$$\frac{E_0''}{E_0} = \frac{n_r \cos \alpha - \sqrt{1 - n_r'^2 \sin^2 \alpha}}{n_r \cos \alpha + \sqrt{1 - n_r'^2 \sin^2 \alpha}}$$

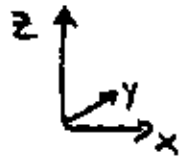
can be used with a complex optical density

$$n(\omega) = \sqrt{\epsilon_r \mu_r} \sqrt{1 + \frac{i\sigma}{\epsilon\omega}}$$

which corresponds to a complex dielectric constant  $\epsilon(\omega) = \epsilon + i \frac{\sigma}{\omega}$

# Fields on the surface and inside a conductor

Consider following interface



vacuum  
 $\epsilon_0, \mu_0$   
 $\sigma = 0$

$$\vec{E}(t, \vec{r}), \vec{B}(t, \vec{r})$$

$$\vec{D} = \epsilon_0 \vec{E}$$

$$\vec{H} = \frac{1}{\mu_0} \vec{B}$$

metal  
 $\epsilon, \mu, \sigma \neq 0$   
 $\vec{j}_{free} = \sigma \vec{E}_m$

$$\vec{E}_m(t, \vec{r}), \vec{B}_m(t, \vec{r})$$

$$\vec{D}_m = \epsilon_0 \epsilon_r \vec{E}_m$$

$$\vec{H}_m = \frac{1}{\mu_0 \mu_r} \vec{B}_m$$

given: fields in vacuum

want: fields at interface and in metal

notation: fields at interface

$$\left. \begin{aligned} \vec{E}^a(t, \vec{r}) &= \lim_{z \rightarrow 0^+} \vec{E}(t, \vec{r}) \\ \vec{E}^i(t, \vec{r}) &= \lim_{z \rightarrow 0^-} \vec{E}_m(t, \vec{r}) \end{aligned} \right\} \begin{array}{l} \text{similar for} \\ \vec{B}, \vec{D}, \vec{H} \end{array}$$

conditions for fields on interface:

$$D_{\perp}^a - D_{\perp}^i = \sigma_{free} \quad \leftarrow \text{surface charge density}$$

$$B_{\perp}^a - B_{\perp}^i = 0$$

$$E_{\parallel}^a - E_{\parallel}^i = 0$$

$$\vec{n} \times (\vec{H}_{\parallel}^a - \vec{H}_{\parallel}^i) = \vec{j}_{free, \sigma} \quad \leftarrow \text{surface current density} \quad \vec{j}_{free} = \vec{j}_{free, \sigma} \delta(z)$$

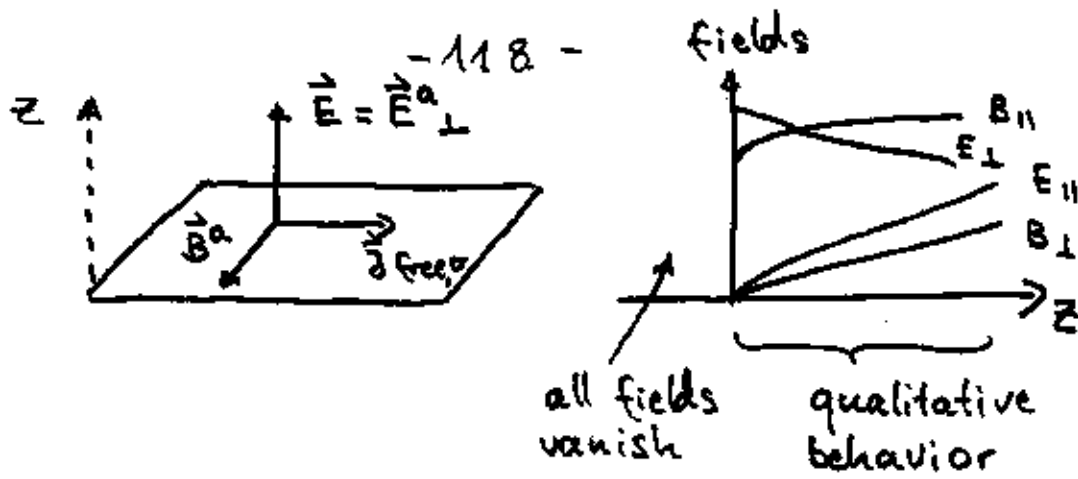
$\vec{n} = \vec{e}_z$  (surface normal)

a) ideal conductor  $\sigma \rightarrow \infty$

(charges extremely mobile, such that no fields inside the metal  $\vec{E}_m = 0, \vec{B}_m = 0, \vec{D}_m = 0, \vec{H}_m = 0$ )

conditions read:

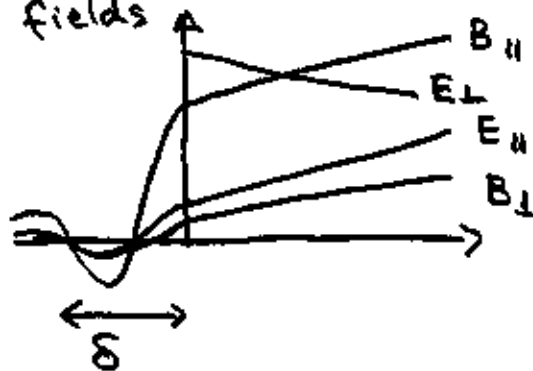
$$D_{\perp}^a = \sigma_{free}, \quad B_{\perp}^a = 0, \quad \vec{E}_{\parallel}^a = 0, \quad \vec{n} \times \vec{H}_{\parallel}^a = \vec{j}_{free, \sigma}$$



b) good conductor, but not ideal

$$0 < \frac{\epsilon \omega}{\sigma} = \frac{1}{2} (k \delta)^2 \ll 1$$

qualitative behavior:



$E_{\parallel}, B_{\perp}, B_{\parallel}$  penetrate into conductor and decay as  $e^{-\frac{|z|}{\delta}}$

detailed analysis of fields inside conductor  
small parameter:  $k \delta = \sqrt{\epsilon \mu} \omega \delta = \sqrt{\frac{2 \epsilon \omega}{\sigma}}$

1) zeroth order  $\sigma \rightarrow \infty$ , order  $(k \delta)^0$

outside (em waves in vacuum):

$$E_{\parallel}^a = 0, \quad B_{\perp}^a = 0 \quad |E_{\perp}^a| = c |B_{\parallel}^a|$$

inside  $\vec{E}^i = 0, \quad B_{\perp}^i = 0$

no surface currents in this approximation:

$$\vec{j}_{\text{free}} = \sigma \vec{E}_m$$

it follows that  $\vec{B}_{\parallel}^a = \vec{B}_{\parallel}^i$  (field  $B_{\parallel}$  continuous)

$$\vec{B}_m = \vec{B}_{\parallel}^a e^{-|z|/\delta} e^{i(z/\delta - \omega t)} \quad (\text{discussion of skin effect})$$

2) first order contribution from  $k\delta$

inside  $\vec{E}_m^{(1)} = -\frac{\omega}{k} \hat{k} \times \vec{B}_m^{(0)} \approx -\frac{\omega\delta}{1+i} \hat{k} \times \vec{B}_m^{(0)}$

field parallel to interface:  $\hat{k} = \vec{e}_z$ ,  $\vec{B}_m^{(0)} = |\vec{B}_m^{(0)}| \vec{e}_\parallel$   
 $k = (1+i) \frac{1}{\delta}$

outside:  $\vec{E}_\parallel^a = \vec{E}_m^{(1)} (z=0)$  (parallel component continuous at interface)

magnitude of field components

$$\frac{|\vec{E}_\parallel^a|}{|\vec{E}_\perp^a|} = \text{const} \cdot (k\delta)^1 \ll 1$$

use  $\frac{\partial \vec{B}}{\partial t} = -\vec{\nabla} \times \vec{E}$  to find the same for  $\vec{B}$ :

$$\frac{|\vec{B}_\perp^a|}{|\vec{B}_\parallel^a|} = \text{const}' \cdot (k\delta)^1 \ll 1$$

3) 2nd order contribution  $(k\delta)^2$   
 (only relevant for quantities that are zero so far)

→ small electric field perpendicular to surface  
 reconsider boundary condition

$$D_\perp^a - D_\perp^i = \sigma_{\text{free}}$$

$$\Rightarrow \epsilon_0 E_\perp^a = \epsilon_0 \epsilon_r E_\perp^i + \sigma_{\text{free}}$$

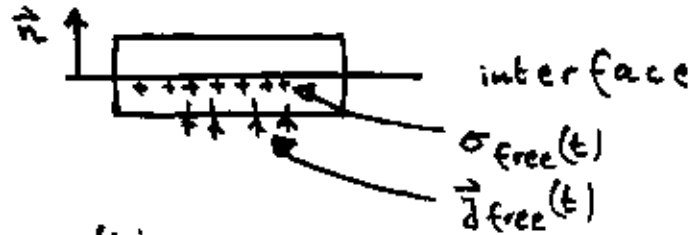
also charge density oscillates

$$\sigma_{\text{free}}(t) = \sigma_{\text{free}}^0 e^{-i\omega t}$$

$$\frac{\partial \sigma_{\text{free}}(t)}{\partial t} = -i\omega \sigma_{\text{free}}(t)$$

continuity equation  $\nabla \cdot \vec{j}_{free} = -\frac{\partial \rho}{\partial t}$

integrate over Gaussian volume



$$\vec{n} \cdot \vec{j}_{free} = -i\omega \sigma_{free}(t)$$

use Ohm's law  $\vec{j}_{free} = \sigma \vec{E}^i$

$$\vec{n} \cdot \vec{j}_{free} = \sigma \vec{n} \cdot \vec{E}^i = \sigma E_{\perp}^i = -i\omega \sigma_{free}$$

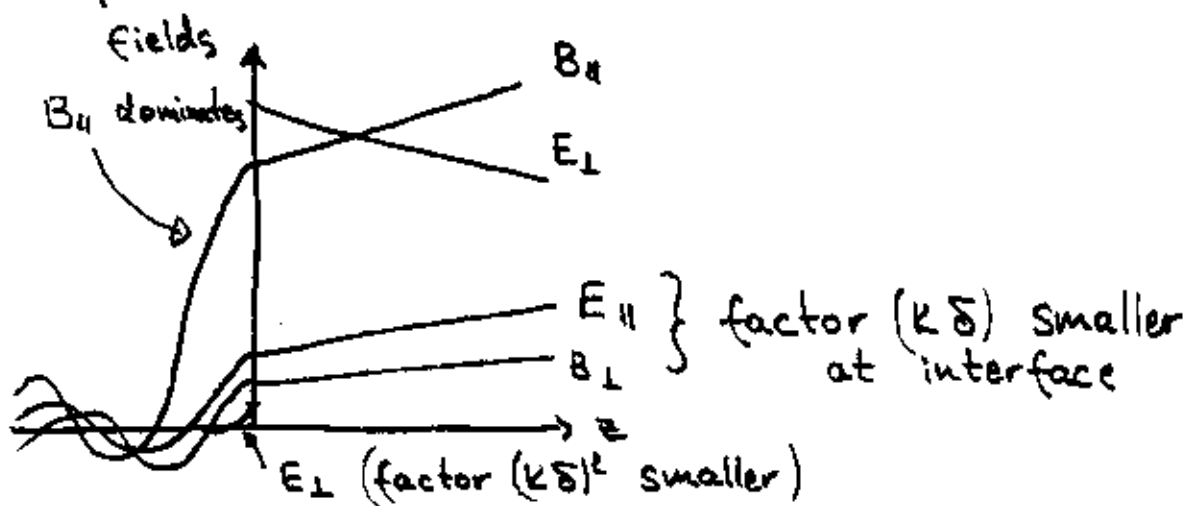
$$\Leftrightarrow \sigma_{free} = \frac{\sigma}{-i\omega} E_{\perp}^i = i \frac{\sigma}{\omega} E_{\perp}^i$$

insert:  $\epsilon_0 E_{\perp}^a = \epsilon_0 \epsilon_r E_{\perp}^i + i \frac{\sigma}{\omega} E_{\perp}^i = \epsilon_0 \epsilon_r \underbrace{\left(1 + i \frac{\sigma}{\epsilon_0 \omega}\right)}_{\text{metallic screening}} E_{\perp}^i$

calculate ratio

$$\frac{E_{\perp}^i}{E_{\perp}^a} = \frac{\epsilon_0}{\epsilon_0 \epsilon_r \left(1 + i \frac{\sigma}{\epsilon_0 \omega}\right)} \approx -i \frac{\epsilon_0 \omega}{\sigma} = \text{const. } (k\delta)^2$$

recapitulate:

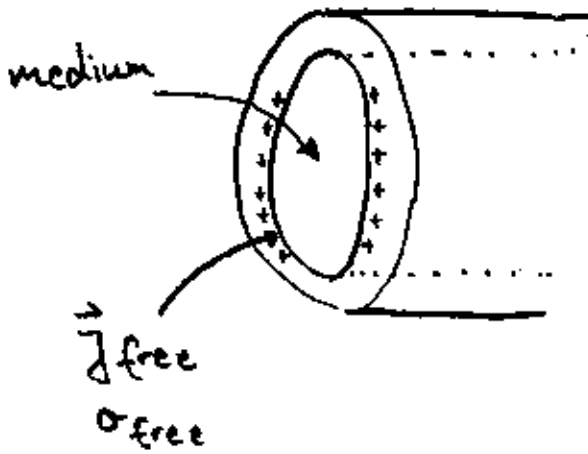


$\delta$   
magnetic field energy dominates



# Waveguides (and cavities)

consider: cylinder of ideal metal, filled with dielectric ( $\epsilon = \epsilon_0 \epsilon_r$ ,  $\mu = \mu_0 \mu_r$ )



induced currents and surface charges: screening of metallic casing

boundary conditions

$$B_{\perp}^a = 0 \Leftrightarrow \vec{n} \cdot \vec{B}^a = 0$$

$$\vec{E}_{\parallel}^a = 0 \Leftrightarrow \vec{n} \times \vec{E}^a = 0$$

↑ perpendicular to inner surface

Solutions of Maxwell eqn. with oscillating time dependence

$$\vec{E}(t, \vec{r}) = \vec{E}_{\omega}(\vec{r}) e^{-i\omega t}$$

$$\vec{B}(t, \vec{r}) = \vec{B}_{\omega}(\vec{r}) e^{-i\omega t}$$

Maxwell's equations then read

$$\vec{\nabla} \cdot \vec{E}_{\omega} = 0 \quad \vec{\nabla} \cdot \vec{B}_{\omega} = 0$$

$$\vec{\nabla} \times \vec{B}_{\omega} = -i\omega \mu_0 \epsilon_0 \vec{E}_{\omega} \quad \vec{\nabla} \times \vec{E}_{\omega} = i\omega \vec{B}_{\omega}$$

rewrite fields in transverse and longitudinal components

$$\vec{E}_{\omega}(\vec{r}) = E_z(\vec{r}) \vec{e}_z + \vec{E}_t(\vec{r})$$

$$\vec{B}_{\omega}(\vec{r}) = B_z(\vec{r}) \vec{e}_z + \vec{B}_t(\vec{r})$$

define transverse part of Nabla operator:

$$\vec{\nabla}_t = \vec{e}_x \frac{\partial}{\partial x} + \vec{e}_y \frac{\partial}{\partial y}$$

formally rewrite M.E.:

$$\begin{aligned} \vec{\nabla}_t \cdot \vec{E}_t &= -\partial_z E_z & \vec{\nabla}_t \cdot \vec{B}_t &= -\partial_z B_z \\ \vec{e}_z \cdot (\vec{\nabla}_t \times \vec{B}_t) &= -i\omega\mu\epsilon E_z & \vec{e}_z \cdot (\vec{\nabla}_t \times \vec{E}_t) &= i\omega B_z \\ \partial_z \vec{E}_t + i\omega \vec{e}_z \times \vec{B}_t &= \vec{\nabla}_t E_z & \partial_z \vec{B}_t - i\omega\mu\epsilon \vec{e}_z \times \vec{E}_t &= \vec{\nabla}_t B_z \end{aligned}$$

- two observations :
- a)  $E_z$  and  $B_z$  on r.h.s. (inhomogeneous D.E. for  $\vec{E}_t, \vec{B}_t$ )
  - b) symmetry  $\omega \leftrightarrow -\omega\mu\epsilon$   
 $\vec{E} \leftrightarrow \vec{B}$

construct solution in two steps

- a) take  $\partial_z$  derivative of last equations
- b) make ansatz for  $z$ -dependence

$$\left. \begin{aligned} \vec{E}_t &= \vec{E}'_t(x,y) e^{ikz} \\ E_z &= E'_z(x,y) e^{ikz} \end{aligned} \right\} \text{same for } \vec{B}$$

parameter to be fixed later

$$\begin{aligned} \partial_z (\partial_z \vec{E}_t + i\omega \vec{e}_z \times \vec{B}_t) &= \partial_z \vec{\nabla}_t E_z \\ -k^2 \vec{E}_t + i\omega \vec{e}_z \times (\partial_z \vec{B}_t) &= -ik \vec{\nabla}_t E_z \\ i\omega\mu\epsilon \vec{e}_z \times \vec{E}_t + \vec{\nabla}_t B_z & \end{aligned}$$

$$\begin{aligned} \Rightarrow (-k^2 + \omega^2\mu\epsilon) \vec{E}_t + i\omega \vec{e}_z \times \vec{\nabla}_t B_z &= -ik \vec{\nabla}_t E_z \\ \vec{E}_t &= \frac{i}{\mu\epsilon\omega^2 - k^2} \left( k \vec{\nabla}_t E_z - \omega \vec{e}_z \times \vec{\nabla}_t B_z \right) \\ \vec{B}_t &= \frac{i}{\mu\epsilon\omega^2 - k^2} \left( k \vec{\nabla}_t B_z + \mu\epsilon\omega \vec{e}_z \times \vec{\nabla}_t E_z \right) \end{aligned}$$

(symmetry)

$\vec{E}_t$  and  $\vec{B}_t$  fixed if  $E_z$  and  $B_z$  known

### Three different types of solutions

1) TEM waves (transverse electromagnetic)

degenerate case  $E_z = 0, B_z = 0$

(homogeneous D.E. for  $\vec{E}_t$  and  $\vec{B}_t$ )

$$\vec{\nabla}_t \times \vec{E}_t = 0 \quad \vec{\nabla}_t \cdot \vec{E}_t = 0$$

$$\vec{B}_t = \frac{\mu \epsilon \omega}{k} \hat{e}_z \times \vec{E}_t \quad (\text{compare plane wave})$$

strategy: solve first two D.E. to get  $\vec{E}_t$   
then construct  $\vec{B}_t$

→ electrostatic boundary value problem

in 2 dimensions:  $\vec{\nabla}_t \times \vec{E}_t = 0$

$\vec{E}_t$  is potential field  $\vec{E}_t = -\vec{\nabla}_t \varphi$

then: 
$$\vec{\nabla}_t \cdot \vec{E}_t = -\vec{\nabla}_t \cdot (\vec{\nabla}_t \varphi) = -\Delta_t \varphi = 0$$

2D Laplace equation:

$$\Delta_t \varphi(x, y) = \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \varphi(x, y) = 0$$

boundary: ideal conductor, i.e.

$$\varphi(x, y)|_{\text{surface}} = \text{const} \quad (\text{same potential})$$

Theorem: TP 2 Laplace equation for

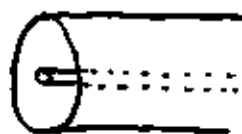
constant boundary value has only

one solution  $\varphi = \text{const}$  (everywhere)  $\Rightarrow \vec{E}_t = 0$

no solutions of TEM waves in  
cylindrical waveguide

but: TEM waves in cylindrical geometry with 2 or more surfaces exist.

Example: coaxial cable



independent of size / geometry, the waves are not damped and have the dispersion  $\omega = \pm u k = \pm \frac{1}{\sqrt{\epsilon\mu}} k$  (as plane wave in infinite media)

## 2) TM waves (transverse magnetic)

Reminder: fields fulfill the wave equation

$$\square_u \vec{E} = 0 \quad \square_u = \frac{1}{u^2} \partial_t^2 - \Delta$$

$$= \frac{1}{u^2} \partial_t^2 - \Delta_t - \partial_z^2$$

this also holds for  $E_z$ !

$$E_z = E'_z(x, y) e^{-i\omega t} e^{ikz} \quad (\text{our ansatz})$$

$$\text{then } (\Delta_t + \gamma^2) E'_z = 0 \quad \gamma^2 = \mu\epsilon\omega^2 - k^2$$

(2d Helmholtz equation)

$$\text{boundary conditions } E_z|_{\text{surface}} = 0$$

boundary value problem has only discrete solutions for certain values  $\gamma_n^2$ , corresponding functions  $E_z^{(n)}(x, y)$  are called "modes":  $\gamma_n^2 = \mu\epsilon\omega^2 - k_n^2$