

## 22. Classical Harmonic Crystal

$$r(R) = R + u(R)$$

$$U = \frac{1}{2} \sum_{R, R'} \phi(R - R') = \frac{N}{2} \sum_{R \neq 0} \phi(R) \text{ with } u = 0$$

$$U = \frac{1}{2} \sum_{R, R'} \phi(r(R) - r(R'))$$

$$= \frac{1}{2} \sum_{R, R'} \phi(R - R' + u(R) - u(R')) \quad \dots R \neq R'$$

$$H = \sum_R \frac{p(R)^2}{2M}$$

Expand:

$$U = \frac{N}{2} \sum \phi(R) \leftarrow U_{eq}$$

$$+ \frac{1}{2} \sum_{R, R'} (u(R) - u(R')) \cdot \nabla \phi(R - R') \leftarrow \text{Force in equilibrium} = 0$$

$$+ \frac{1}{2!} \frac{1}{2} \sum_{R, R'} [(u(R) - u(R')) \cdot \nabla]^2 \phi(R - R')$$

↑  $U_{harm}$

$$+ O(u^3)$$

$$U_{harm} = \frac{1}{4} \sum_{R, R'} (u_{\mu}(R) - u_{\mu}(R')) \phi_{\mu\nu} (u_{\nu}(R) - u_{\nu}(R'))$$

$$\phi_{\mu\nu}(r) = \frac{\partial^2 \phi}{\partial r_{\mu} \partial r_{\nu}}$$

$$\begin{aligned}
 U_{\text{harm}} &= \frac{1}{4} \sum_{R, R'} u_{\mu} (R) \phi_{\mu\nu} (R-R') \phi_{\nu} (R) \\
 &\quad - u_{\mu} (R') \phi_{\mu\nu} (R-R') u_{\nu} (R') \\
 &\quad - u_{\mu} (R) \phi_{\mu\nu} (R-R') u_{\nu} (R') \\
 &\quad - u_{\mu} (R') \phi_{\mu\nu} (R-R') u_{\nu} (R)
 \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{2} \sum_R u_{\mu} (R) \sum_{R''} \phi_{\mu\nu} (R-R'') u_{\nu} (R) \\
 &\quad - \frac{1}{2} \sum_{R, R'} u_{\mu} (R) \phi_{\mu\nu} (R-R') u_{\nu} (R') \\
 &\quad \text{assuming } \phi_{\mu\nu} (R-R') = \phi_{\mu\nu} (R'-R)
 \end{aligned}$$

$$U_{\text{harm}} = \frac{1}{2} \sum_{R, R'} u_{\mu} (R) D_{\mu\nu} (R-R') u_{\nu} (R')$$

$$D_{\mu\nu} (R-R') = \delta_{RR'} \sum_{R''} \phi_{\mu\nu} (R-R'') - \phi_{\mu\nu} (R-R')$$

Adiabatic approx.: Ions move more slowly than electrons.

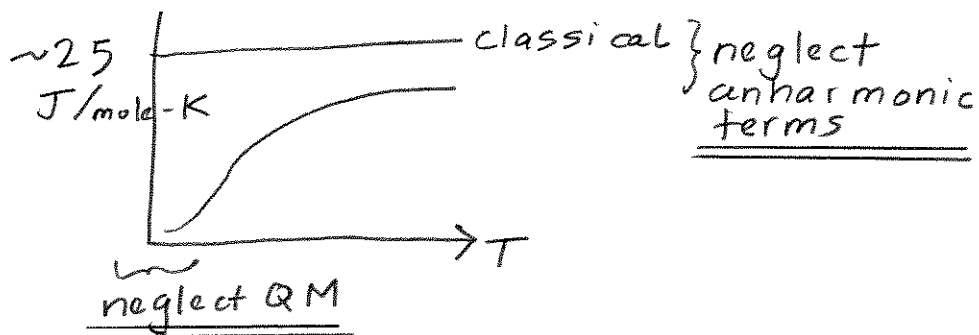
## Law of Dulong & Petit

$$\begin{aligned}
 u &= \frac{1}{\text{Vol.}} \frac{\int \prod_{R,\mu} du_{\mu}(R) dP_{\mu}(R) H e^{-\beta H}}{\int \prod_{R,\mu} du_{\mu}(R) dP_{\mu}(R) e^{-\beta H}} \\
 &= -\frac{1}{V} \frac{\partial}{\partial \beta} \ln \int \prod_{R,\mu} du_{\mu}(R) dP_{\mu}(R) e^{-\beta H} \\
 &= -\frac{1}{V} \frac{\partial}{\partial \beta} \ln (e^{-\beta U^{eq}} \beta^{-3N} \times \text{const.})
 \end{aligned}$$

$$\begin{aligned}
 u &= u^{eq} + 3n k_B T \\
 C_V &= \frac{\partial u}{\partial T} = 3n k_B
 \end{aligned}$$

$3k_B$  per ion

$$C_V^{\text{molar}} = 5.96 \text{ cal/mole K}$$



# 1D Monatomic Bravais Lattice

$$M\ddot{u}(R) = -K(u(R) - u(R-a)) - K(u(R) - u(R+a))$$

Look for soln.  $e^{i(qx - \omega t)}$  (Real or Imag part)

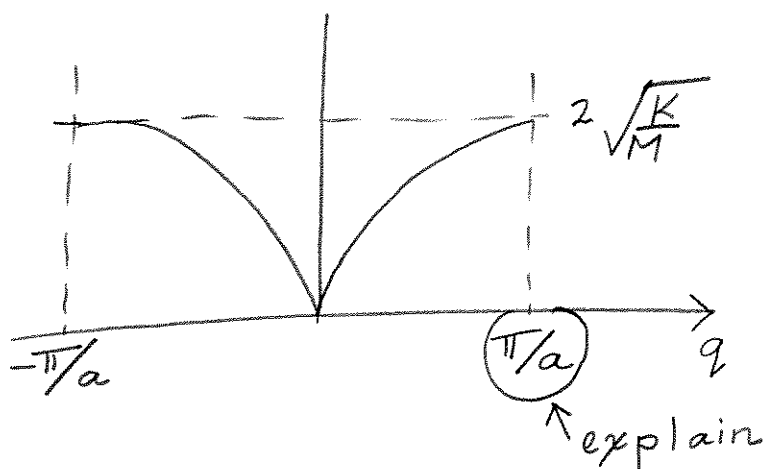
$$-M\omega^2 = -K(2 - 2\cos qa)$$

$$\omega^2 = \frac{K}{M} (2 - 2\cos qa)$$

$$= \frac{K}{M} 4\sin^2(qa/2)$$

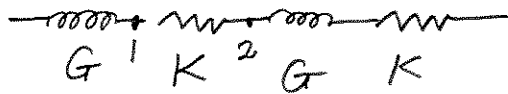
$$\boxed{\omega = 2\sqrt{\frac{K}{M}} \sin(qa/2)}$$

As  $q \rightarrow 0$ ,  $\omega \approx \sqrt{\frac{K}{M}} qa$        $\frac{\partial \omega}{\partial q} = \sqrt{\frac{K}{M}} a$



# 1D Lattice w/ Basis

5.



$$M \ddot{u}_1(R) = -K(u_1(R) - u_2(R)) - G(u_1(R) - u_2(R-a))$$

$$M \ddot{u}_2(R) = -K(u_2(R) - u_1(R)) - G(u_2(R) - u_1(R+a))$$

$$u_1(R) = \epsilon_1 e^{i(qR - \omega t)}$$

$$u_2(R) = \epsilon_2 e^{i(qR - \omega t)}$$

$$-M\omega^2 \epsilon_1 = -K(\epsilon_1 - \epsilon_2) - G(\epsilon_1 - \epsilon_2 e^{-iqa})$$

$$-M\omega^2 \epsilon_2 = -K(\epsilon_2 - \epsilon_1) - G(\epsilon_2 - \epsilon_1 e^{iqa})$$

$$0 = (M\omega^2 - K - G)\epsilon_1 + (K + G e^{-iqa})\epsilon_2$$

$$0 = (K + G e^{iqa})\epsilon_1 + (M\omega^2 - K - G)\epsilon_2$$

$$\text{Det} = 0$$

$$\rightarrow (M\omega^2 - K - G)^2 = (K^2 + G^2 + 2KG \cos qa)^2$$

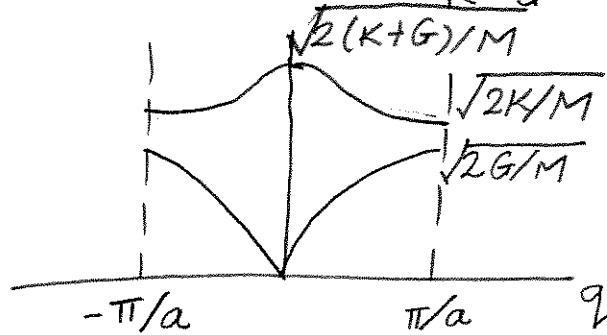
$$\omega^2 = \frac{K+G}{M} \pm \frac{1}{M} \sqrt{K^2 + G^2 + 2KG \cos qa}$$

$$\frac{\epsilon_2}{\epsilon_1} = \mp \frac{K + G e^{iqa}}{M\omega^2 - K - G} = \mp \frac{K + G e^{iqa}}{|K + G e^{iqa}|}$$

$$q \rightarrow 0: \quad \omega^2 = \frac{K+G}{M} \pm \frac{1}{M} |K+G| = 0, \frac{2(K+G)}{M}$$

$$q \rightarrow \frac{\pi}{a}: \quad \omega^2 = \frac{K+G}{M} \pm \frac{1}{M} \underbrace{|K-G|}_{K-G} = \frac{2G}{M}, \frac{2K}{M}$$

(K > G)



$$\boxed{q \ll \frac{\pi}{a}}$$

$$\cos(qa) \approx 1 - \frac{(qa)^2}{2}$$

$$\omega^2 = \frac{K+G}{M} \pm \frac{1}{M} \sqrt{K^2 + G^2 + 2KG - KG(qa)^2}$$

$$= \frac{K+G}{M} \left( 1 \pm \sqrt{1 - \frac{KG}{(K+G)^2} (qa)^2} \right)$$

$$= \frac{K+G}{M} \left( 1 \pm \frac{1}{2} \frac{KG}{(K+G)^2} (qa)^2 \right)$$

$$= \frac{2(K+G)}{M} - \mathcal{O}(qa)^2 \quad (+ \text{ case})$$

$$= \frac{1}{2} \frac{KG}{(K+G)} (qa)^2 \quad (- \text{ case})$$

$$\omega \approx \sqrt{\frac{2(K+G)}{M}} - \mathcal{O}(qa)^2 \quad \text{optical, out of phase}$$

(+ case),  $\frac{\epsilon_2}{\epsilon_1} = -1$

$$\omega \approx \sqrt{\frac{KG}{2(K+G)}} (qa) \quad \text{acoustic, in phase}$$

(- case),  $\frac{\epsilon_2}{\epsilon_1} = +1$

$$q = \frac{\pi}{a}$$

+ case  $\omega = \sqrt{\frac{2K}{M}}$ ,  $\frac{\epsilon_2}{\epsilon_1} = -1$

- case  $\omega = \sqrt{\frac{2G}{M}}$ ,  $\frac{\epsilon_2}{\epsilon_1} = +1$



Symmetry 1:  $D_{\mu\nu}(R-R') = D_{\nu\mu}(R'-R)$

$$D_{\mu\nu} = \frac{\partial^2 U}{\partial u_\mu(R) \partial u_\nu(R')}$$

Symmetry 2:  $D_{\mu\nu}(R-R') = D_{\mu\nu}(R'-R)$

$$D(R) = D(-R)$$

inversion symmetry

Symmetry 3:  $\sum_R D_{\mu\nu}(R) = 0$

$$\sum_R D(R) = 0$$

energy not changed by translation

$$\rightarrow M \ddot{u}_\mu(R) = - \frac{\partial U^{\text{harm}}}{\partial u_\mu(R)} = - \sum_{R'\nu} D_{\mu\nu}(R-R') u_\nu(R')$$

$$M \ddot{u}(R) = - \sum_{R'} D(R-R') u(R')$$

$$u(R, t) = \vec{\epsilon} e^{i(k \cdot R - \omega t)}$$

$$M \omega^2 \vec{\epsilon} = D(k) \vec{\epsilon}$$

$$D(k) = \sum_R D(R) e^{-i k \cdot R}$$

$$= \frac{1}{2} \sum_R D(R) [e^{-i k \cdot R} + e^{i k \cdot R} - 2]$$

$$= \sum_R D(R) [\cos(k \cdot R) - 1]$$

$$= -2 \sum_R D(R) \sin^2\left(\frac{1}{2} k \cdot R\right)$$



$D(k)$  symmetric, even in  $k$ , real

$$D(k) \vec{\epsilon}_s = \lambda_s \vec{\epsilon}_s(k)$$

$$\epsilon_s(k) \cdot \epsilon_{s'}(k) = \delta_{ss'}$$

$$\omega_s = \sqrt{\frac{\lambda_s(k)}{M}}$$

$$k \rightarrow 0 \quad \sin^2\left(\frac{1}{2} k \cdot R\right) \approx \left(\frac{1}{2} k \cdot R\right)^2$$

$$D(k) \approx -\frac{k^2}{2} \sum_R (\hat{k} \cdot R)^2 D(R) \quad \hat{k} = k/|k|$$

$$\omega_s(k) = c_s(\hat{k}) k$$

$c_s(\hat{k})$  sq. roots of eigenvalues of

$$-\frac{1}{2M} \sum_R (\hat{k} \cdot R)^2 D(R)$$