

# Chpt. 25 - Anharmonic Effects

1.

Equation of State/ Thermal Expansion:	Why?
$P = - \left( \frac{\partial F}{\partial V} \right)_T$	• Corrections Dulong & Petit $T \gg \Theta_D$
$F = U - TS$	• Width phonon peaks (lifetime)
$T \left( \frac{\partial S}{\partial T} \right)_V = \left( \frac{\partial U}{\partial T} \right)_V$	• Thermal expansion (harmonic crystal. size (temp.))
	• Thermal conductivity

$$\rightarrow P = - \frac{\partial}{\partial V} \left[ U - T \int_0^T \frac{dT'}{T'} \frac{\partial U(T'; V)}{\partial T'} \right] \quad \leftarrow F$$

$$U = U^{eq} + \frac{1}{2} \sum_{k,s} \hbar \omega_s(k) + \sum_{k,s} \frac{\hbar \omega_s(k)}{e^{\beta \hbar \omega_s(k)} - 1}$$

$$\rightarrow P = - \frac{\partial}{\partial V} \left[ U^{eq} + \frac{1}{2} \sum_{k,s} \hbar \omega_s(k) + \sum_{k,s} \frac{\hbar \omega_s(k)}{e^{\beta \hbar \omega_s(k)} - 1} - T \int_0^T \frac{dT'}{T'} \sum_{k,s} \frac{\hbar \omega_s(k)}{(e^{\beta \hbar \omega_s(k)} - 1)^2} e^{\beta \hbar \omega_s(k)} \frac{\hbar \omega_s(k)}{T'} \right]$$

† Cubic unstable, but do perturbation many times

Claim:

$$P = - \frac{\partial}{\partial V} \left[ U^{eq} + \sum \frac{1}{2} \hbar \omega_s \right] + \sum_{k,s} \left( \frac{-\partial}{\partial V} (\hbar \omega_s(k)) \right) \frac{1}{e^{\beta \hbar \omega_s(k)} - 1} \quad \leftarrow \text{0 inharmonic}$$

$$\beta = \frac{1}{T}; \quad d\beta = -\frac{1}{T^2} dT; \quad \frac{\partial}{\partial \beta} = -T^2 \frac{\partial}{\partial T}$$

$$\frac{dT}{T} \frac{\partial}{\partial T} = \frac{1}{T} \left( -T^2 d\beta \frac{1}{-T^2} \frac{\partial}{\partial \beta} \right) = \frac{1}{T} \frac{\partial}{\partial \beta}$$

In the harmonic approximation  $\omega_s(k)$  does not depend on volume:

$$U^{eq} + \frac{1}{2} \epsilon^2 \sum_{RR'} R D(R-R') R' + \frac{1}{2} \sum_{R,R'} \bar{u}(R) D(R-R') \bar{u}(R')$$

$$u(R) = \epsilon R + \bar{u}(\bar{R})$$

Dynamics don't change.

Implications:

(1)  $P(V, T)$

(2)  $\left(\frac{\partial V}{\partial T}\right)_P = - \frac{(\partial P / \partial T)_V}{(\partial P / \partial V)_T} = 0$  pressure required to maintain a given volume

$\rightarrow V = \text{const. indep. } T \text{ at fixed } P.$

(3)  $\alpha = \text{thermal expansion coefficient} = 0$   
 $= \frac{1}{3V} \left(\frac{\partial V}{\partial T}\right)_P = \frac{1}{3B} \left(\frac{\partial P}{\partial T}\right)_V, \quad B = -V \left(\frac{\partial P}{\partial V}\right)$

Grüneisen Parameter:

Phonon frequencies do depend on volume.

$$\left( \frac{1}{\beta} \frac{\partial \beta}{\partial T} \right) = \alpha = \frac{1}{3B} \left( \frac{\partial P}{\partial T} \right)_V = \frac{1}{3B} \sum_{k,s} \left( -\frac{\partial (\hbar \omega_s(k))}{\partial V} \right) \frac{\partial n_s(k)}{\partial T}$$

$$n_s(k) = \frac{1}{e^{\beta \hbar \omega_s(k)} - 1}$$

$$C_V = \sum_{k,s} \frac{\hbar \omega_s(k)}{V} \frac{\partial n_s(k)}{\partial T}$$

Define:  $C_{Vs}(k) = \frac{\hbar \omega_s(k)}{V} \frac{\partial n_s(k)}{\partial T}$

$$\rightarrow \alpha = \frac{1}{3B} \sum_{k,s} \frac{V}{\hbar \omega_s(k)} \left( -\frac{\partial (\hbar \omega_s(k))}{\partial V} \right) C_{Vs}(k)$$

$$C_V = \sum_{k,s} C_{Vs}(k)$$

Define:  $\gamma_{ps} = \frac{-V}{\omega_s(k)} \frac{\partial \omega_s(k)}{\partial V} = -\frac{\partial \ln(\omega_s(k))}{\partial \ln V}$

$$\gamma = \frac{\sum_{k,s} \gamma_{ks} C_{Vs}(k)}{\sum_{k,s} C_{Vs}(k)} = \text{Grüneisen Parameter}$$

$$\alpha = \frac{\gamma C_V}{3B} \quad (\text{observable})$$

B is weakly T dependent.  $\rightarrow$  For insulators,

$$\alpha \sim T^3 \quad \text{as } T \rightarrow 0$$

$$\alpha \sim \text{const. for } T \gg \Theta_D$$

$\Rightarrow \gamma$  approaches difference constants as  $T \rightarrow 0$  and  $T \gg \Theta_D$ .

In a metal we also have the electron contribution.  
For free electrons,

$$P = \frac{2}{3} \frac{U}{V} \rightarrow \left( \frac{\partial P^{el}}{\partial T} \right)_V = \frac{2}{3} C_V^{el}$$

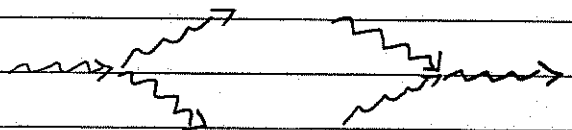
$$\alpha = \frac{1}{3B} \left( \gamma C_V^{ion} + \frac{2}{3} C_V^{el} \right)$$

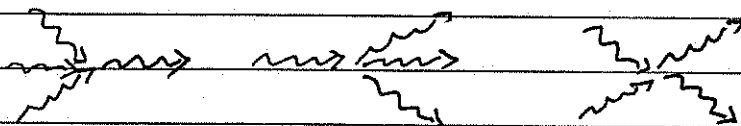
$\Rightarrow \alpha \propto T$  at low temperatures.

Lattice thermal conductivity:

Sources: (1) imperfections  
(2) sample sides  
(3) anharmonic effects

Weak anharmonicity  $\rightarrow$  Boltzmann equation

Processes:  3<sup>rd</sup> order

 4<sup>th</sup> order

Conservation laws:

$$\begin{aligned} \sum \hbar \omega_s(\mathbf{k}) n_{\mathbf{k}s} &= \sum \hbar \omega_s(\mathbf{k}) n'_{\mathbf{k}s} && \text{energy} \\ \sum \mathbf{k} n_{\mathbf{k}s} &= \sum \mathbf{k} n'_{\mathbf{k}s} + \mathbf{K} && \text{crystal momentum} \end{aligned}$$

"Drude" theory:

Local equilibrium:  $u(x) = u^{eq}[T(x)]$

$$j = \langle C_x u(x_0 - l \cos \theta) \rangle_{\theta} = \int_0^{\pi} c \cdot \cos \theta u(x_0 - l \cos \theta) \frac{2\pi \sin \theta d\theta}{4\pi}$$

$$j = \kappa \left( -\frac{\partial T}{\partial x} \right)$$

$$\kappa = \frac{1}{3} c l c_v$$

$$= \frac{1}{3} c_v c^2 \tau$$

=  $c_v$  (Diff. coeff.)

same as for els.

$$\left\{ \begin{aligned} &= \frac{1}{2} \int_{-1}^1 \mu d\mu c u(x_0 - l\mu) \\ &\approx -\frac{1}{2} \frac{\partial u}{\partial x} c l \int_{-1}^1 \mu^2 d\mu \\ &= -\frac{1}{3} c l \frac{\partial u}{\partial x} \\ &= -\frac{1}{3} c l \frac{\partial u}{\partial T} \frac{\partial T}{\partial x} \end{aligned} \right.$$

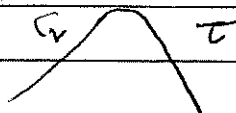
( $T \gg \Theta_D$ ) At high temperatures,  $n_s(k) \approx \frac{k_B T}{\hbar \omega_s(k)}$

More phonons  $\rightarrow$  more scattering. }  $K_c \sim \frac{1}{T^\alpha}$ ,  $1 < \alpha < 2$   
 $C_v \sim$  constant at high  $T$ .

( $T \ll \Theta_D$ ) At low temperatures or for that matter all temperatures one needs umklapp processes to degrade the thermal current. These processes involve high energy phonons  $\rightarrow \tau \sim e^{T_0/T}$   
 $\frac{1}{\tau} \sim e^{-T_0/T}$

Very low  $\frac{1}{\tau}$  indep.  $T$        $K \sim T^3$

$$\frac{1}{\tau} \sim e^{-T_0/T}$$



Second sound:

For sound need: (1) collisions conserve  $N, E, \vec{P}$   
 (2)  $\omega \ll \frac{1}{\tau}$

In a crystal, (1) number not conserved  
 (2) crystal momentum not conserved

(1) OK since  $n(T)$  only  $\leftarrow$  wave intemp.

(2) OK if  $\frac{1}{\tau_u} \ll \omega \ll \frac{1}{\tau_N}$   
 umklapp normal

Seen in solid  $He^4$  and NaF.

new HW: Chpt. 23, prob. 2

Chpt. 24, prob. 2

Chpt. 25, prob. 2 or 1